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► **To cite this version:**

Jean-Marie W Pagès, Sabine W Peslier, Thomas A Keating, Jean-Philippe Lavigne, Wright W Nichols. Role of the Outer Membrane and Porins in Susceptibility of  $\beta$  -Lactamase-Producing Enterobacteriaceae to Ceftazidime-Avibactam. *Antimicrobial Agents and Chemotherapy*, 2015, 60, pp.1349 - 1359. 10.1128/AAC.01585-15 . hal-01462965

**HAL Id: hal-01462965**

**<https://amu.hal.science/hal-01462965>**

Submitted on 9 Feb 2017

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# Role of the Outer Membrane and Porins in Susceptibility of $\beta$ -Lactamase-Producing *Enterobacteriaceae* to Ceftazidime-Avibactam

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This study examined the activity of the novel antimicrobial combination ceftazidime-avibactam against *Enterobacteriaceae* exhibiting different outer membrane permeability profiles, specifically with or without porins and with or without expression of the main efflux pump (AcrAB-TolC). The addition of the outer membrane permeabilizer polymyxin B nonapeptide increased the antibacterial activities of avibactam alone, ceftazidime alone, and ceftazidime-avibactam against the characterized clinical isolates of *Escherichia coli*, *Enterobacter aerogenes*, and *Klebsiella pneumoniae*. This enhancement of activities was mainly due to increased passive penetration of compounds since inhibition of efflux by the addition of phenylalanine-arginine  $\beta$ -naphthylamide affected the MICs minimally. OmpF (OmpK35) or OmpC (OmpK36) pores were not the major route by which avibactam crossed the outer membranes of *E. coli* and *K. pneumoniae*. In contrast, Omp35 and Omp36 allowed diffusion of avibactam across the outer membrane of *E. aerogenes*, although other diffusion channels for avibactam were also present in that species. It was clear that outer membrane permeability and outer membrane pore-forming proteins play a key role in the activity of ceftazidime-avibactam. Nevertheless, the MICs of ceftazidime-avibactam (with 4 mg/liter avibactam) against the ceftazidime-resistant clinical isolates of the three species of *Enterobacteriaceae* studied were  $\leq 8$  mg/liter, regardless of outer membrane permeability changes resulting from an absence of defined porin proteins or upregulation of efflux.

The worldwide dissemination of resistant bacteria has severely reduced the efficacy of our antibiotic arsenal and consequently contributes to increasing frequency of therapeutic failure (1–3). For bacterial pathogens, changing expression of transporters and efflux mechanisms directly alters the intracellular concentrations of antibiotics (4, 5), and mutations that decrease permeability or increase efflux contribute to multidrug resistance (6, 7).

These bacterial envelope adaptations act jointly with  $\beta$ -lactamase enzymes that inactivate  $\beta$ -lactam antibiotics in the periplasm. Consequently, several  $\beta$ -lactamase inhibitors are used in combination with  $\beta$ -lactams (e.g., piperacillin-tazobactam or amoxicillin-clavulanic acid) to restore  $\beta$ -lactam activity by inhibiting  $\beta$ -lactamases (8, 9). However, to penetrate the outer membrane, both  $\beta$ -lactams and  $\beta$ -lactamase inhibitors are understood to diffuse through porin-mediated channels, and a decrease in porin expression potentially impairs the penetration of both (6, 10–12). In addition, just as  $\beta$ -lactams are recognized and expelled by efflux pumps (7, 13), physicochemically similar  $\beta$ -lactamase inhibitors may also be recognized and pumped by efflux mechanisms (7, 14).

Avibactam is a first-in-class synthetic, non- $\beta$ -lactam  $\beta$ -lactamase inhibitor with a novel [3.2.1]-diazabicyclooctane chemical scaffold (15). It inhibits Ambler class A and C  $\beta$ -lactamases, as well as some class D enzymes, with a unique covalent and reversible mechanism (16, 17). Paired with the antipseudomonal cephalosporin ceftazidime, avibactam restores the antibacterial activity of ceftazidime against some strains of *Enterobacteriaceae* and *Pseudomonas aeruginosa* that express the above  $\beta$ -lactamases (9).

Few published studies have examined the effect of changes in the Gram-negative outer membrane barrier on the activity of ceftazidime-avibactam in  $\beta$ -lactamase-producing strains: physiological studies have focused on measuring the MICs against isolates

characterized by their complements of  $\beta$ -lactamase (*bla*) genes (18–29).

Recent data (presented at the Interscience Conference on Antimicrobial Agents and Chemotherapy [58]) suggested that avibactam can restore the  $\beta$ -lactam activity in various Gram-negative bacteria irrespective of porin expression. There is a theoretical and practical concern that avibactam may be affected by changes in porin expression and number and by efflux mechanisms (6, 11) and, owing to the avibactam structure (molecular weight, hydrophilicity, and charges), it would also be expected to pass through the outer membrane barrier via aqueous channels (30). The present study has investigated this further using a set of porin-active, porin-deficient, and efflux-active, efflux-deficient *Enterobacteriaceae*, in combination with polymyxin B nonapeptide, a chemical modulator of Gram-negative bacterial outer membrane permeability, and phenylalanine-arginine  $\beta$ -naphthylamine (PA $\beta$ N), an efflux pump inhibitor.

(This work was presented in part as an abstract at the 54th Interscience Conference on Antimicrobial Agents and Chemotherapy, Washington, DC, 5 to 9 September 2014 [31].)

Received 5 July 2015 Returned for modification 16 August 2015

Accepted 5 December 2015

Accepted manuscript posted online 14 December 2015

Citation Pagès J-M, Peslier S, Keating TA, Lavigne J-P, Nichols WW. 2016. Role of the outer membrane and porins in susceptibility of  $\beta$ -lactamase-producing *Enterobacteriaceae* to ceftazidime-avibactam. *Antimicrob Agents Chemother* 60:1349–1359. doi:10.1128/AAC.01585-15.

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TABLE 1 Bacterial strains used in this study<sup>a</sup>

Strain	Detected porin <sup>b</sup>		Efflux component (AcrAB)	Enzyme(s) detected <sup>c</sup>
	OmpF	OmpC		
<i>E. coli</i>				
AG100	+	+	+	AmpC(b)
ARS100 <sup>d</sup>	–	–	ND	AmpC(b)
ARS108 <sup>d</sup>	–	–	+	AmpC(b), CTX-M-15
ARS144 <sup>d</sup>	+	+	+	AmpC(b), CTX-M-15, DHA-1
ARS150 <sup>d</sup>	+	+	+	AmpC(b), CTX-M-15, TEM-1
ARS237 <sup>d</sup>	+	+	+	AmpC(b), CTX-M-14, TEM-1
ARS273 <sup>d</sup>	–	+	ND	AmpC(b), CTX-M-15
ARS301 <sup>d</sup>	+	+	+	CTX-M-15
<i>E. aerogenes</i>				
ATCC 15038	Omp35	Omp36	+	AmpC(b)
EA2 <sup>e</sup>	+	+	+	AmpC(d), TEM-24
EA3 <sup>e</sup>	–	+	+	AmpC(d), TEM-24
EA5 <sup>e</sup>	–	–	+	AmpC(d), TEM-24
EA27 <sup>e</sup>	–	–	+++	AmpC(d), TEM-24
EA117 <sup>e</sup>	–	– (weak signal)	+	AmpC(d), TEM-24
EA DFJ85 <sup>e</sup>	+	+	+	AmpC(d), TEM-24
EA DFJ46 <sup>e</sup>	–	–	+	ND
<i>K. pneumoniae</i>				
ATCC 11296	OmpK35	OmpK36	+/-	ND
KP45 <sup>e</sup>	+	+	+	ND
KP55 <sup>e</sup>	–	–	+	ESBL (TEM-3)
KP63 <sup>e</sup>	–	–	+	ESBL (TEM-3)
KP74 <sup>e</sup>	–	+	+	ESBL (TEM-3)
KP80 <sup>e</sup>	–	+	+	ESBL (TEM-3)
KP89 <sup>e</sup>	–	+	+	ND

<sup>a</sup> Except for AG100, ATCC 15038, and ATCC 11296, these are previously characterized clinical isolates of *E. coli*, *E. aerogenes*, and *K. pneumoniae*.

<sup>b</sup> Porins and efflux components were identified by Western blot-immunodetection (OmpC or OmpF, AcrAB, TolC): –, no signal (whatever the medium used); +, signal detected; + + +, AcrAB overproduction. ND, not determined.

<sup>c</sup> AmpC(b), AmpC basal; AmpC(d), derepressed.

<sup>d</sup> Clinical isolates from the laboratory of J. P. Lavigne, CHU Nîmes (32).

<sup>e</sup> Clinical isolates from our laboratory (33–36).

## MATERIALS AND METHODS

**Antibacterials.** Chloramphenicol, nalidixic acid, norfloxacin, ciprofloxacin, polymyxin B nonapeptide (PMBN), imipenem, ertapenem, meropenem, piperacillin, phenylalanine-arginine  $\beta$ -naphthylamine (PA $\beta$ N), ceftazidime, aztreonam, ceftaroline, and avibactam were used to assess the antibiotic susceptibility of the various isolates. Different combinations (e.g., ceftazidime-avibactam, ceftazidime plus PMBN, and ceftazidime-avibactam plus PMBN) were assayed during the study. Meropenem, avibactam, and ceftaroline were provided by AstraZeneca. Other compounds were acquired commercially from Sigma except for ciprofloxacin, which was from Fluka.

**Bacterial strains.** In order to investigate the involvement of the outer membrane and porins in the susceptibility to the ceftazidime-avibactam combination, several *Escherichia coli*, *Enterobacter aerogenes*, and *Klebsiella pneumoniae* clinical isolates and ATCC strains were selected based on their porin and efflux pump profiles and their antibiotic susceptibilities (Table 1; Fig. 1).

**MIC determination.** The MIC values of the antibiotics were determined by the microdilution method (CLSI; <http://clsi.org>) in liquid Mueller-Hinton II (MHII) medium in microplates with an inoculum of 10<sup>6</sup> CFU in 200  $\mu$ l of broth containing 2-fold serial dilutions of each antibiotic in the absence or presence of the chemosensitizers/modulators PMBN and PA $\beta$ N) used at 1/10 of their respective MICs in order to avoid their direct inhibition of growth as previously described (37, 38). Permeabilization, blocking of efflux pumps, and isolates with different levels of porin

or efflux expression were used to assess the involvement of the membrane barrier in antibiotic activity (38). Various combinations of ceftazidime and avibactam concentrations (avibactam at 1 to 4 mg/liter) were studied. The MIC values were read after 18 h of incubation at 37°C. Experiments were performed in triplicate for each antibiotic, each strain, and each condition. The resulting mean values are presented in the tables.

The MIC values of ceftazidime with or without avibactam were also determined by microdilution in nutrient broth (NB) and NB plus 20% sorbitol 20% (wt/vol) (NBS). Using specific growth conditions (NB and NBS) (39), the balance between OmpF/OmpC porin expression (OmpK35/OmpK36, Omp35/Omp36) levels was modulated and used to assess the effect of the primary porin type on the ceftazidime-avibactam activity. The MICs were determined in independent triplicate experiments. The osmolalities of the different media were determined using an osmometer apparatus according to the manufacturer's instructions (Gonotec GmbH, Berlin, Germany): MHII, 330  $\pm$  6 mosmol/kg; NB, 46  $\pm$  3 mosmol/kg; NBS, 1,290  $\pm$  23 mosmol/kg.

**Determination of membrane protein expression.** Immunodetection of porins and efflux pump components was performed using total bacterial pellets to determine the level of expression of membrane transporters (33, 40). Briefly, exponential-phase bacteria were grown in Luria-Bertani broth, and samples corresponding to similar cell concentrations were pelleted and solubilized, as previously described (33). The total bacterial proteins were separated on SDS-PAGE (final 10% acrylamide-0.27% bisacrylamide), and the gels were stained with Coomassie brilliant blue



**FIG 1** Immunodetection by Western blotting of porins in various bacterial strains grown in MHII broth. Detection was carried out with a mix of anti-OmpF antiserum and anti-OmpC antiserum. (A) *K. pneumoniae* strains; (B) *E. coli* strains; (C) *E. aerogenes* strains. Only the relevant part of the gel is shown.

R-250 to check the various protein samples (33, 40). In the second step, the corresponding gels were electrotransferred onto a nitrocellulose membrane in transfer buffer (20 mM Tris, 150 mM glycine, 20% isopropanol, 0.05% sodium dodecyl sulfate) using standardized amounts of protein samples. An initial blocking step was performed overnight at 4°C with Tris buffer (50 mM Tris-HCl, 150 mM NaCl [pH 8]) containing skimmed milk powder (10%). The nitrocellulose membranes were then incubated in Tris buffer containing skimmed milk powder (10%) and Triton X-100 (0.2%) for 2 h at room temperature in the presence of specific antisera. The primary antibodies were raised against *E. coli* proteins and used at the following final dilutions: 1:5,000, OmpF; 1:5,000, OmpC; 1:10,000, AcrA; 1:2,000, AcrB; and 1:2,000, TolC. These antibodies are able to recognize *E. coli*, *E. aerogenes*, and *K. pneumoniae* membrane proteins (33–41). Antigen-antibody complexes was detected with alkaline phosphatase-conjugated goat anti-rabbit antibodies. NBT-BCIP (nitroblue tetrazolium blue chloride-5-bromo-4-chloro-3-indolylphosphate disodium salt) was applied according to the manufacturer's instructions (Roche Molecular Biochemicals). In order to check for the presence of a correct L3 internal loop that defines the eyelet constriction determining the conductance and properties of the porin channel (6), we also used the anti-L3 antibody previously described (40). Experiments were performed in duplicate for each antibody incubation.

**Nitrocefin assay for measurement of  $\beta$ -lactamase.**  $\beta$ -Lactamase activity with nitrocefin as the substrate was determined by measuring the product of nitrocefin (Oxoid) hydrolysis at 486 nm ( $\epsilon = 20,500 \text{ M}^{-1} \text{ cm}^{-1}$ ). Strains growing in the exponential phase were collected, pelleted, and resuspended in water before sonic disruption. The working solution of nitrocefin was prepared by dissolving 1 mg of nitrocefin in 1.9 ml of phosphate buffer (0.1 M [pH 7]). The working solution of nitrocefin was then diluted 2.5-fold in phosphate buffer A (0.06 M  $\text{Na}_2\text{HPO}_4$ , 0.04 M  $\text{NaH}_2\text{PO}_4$  [pH 7]). Assays were performed on the sonicated supernatants. First, the enzymatic activity corresponding to the increase in the hydrolysis product of chromogenic  $\beta$ -lactam nitrocefin (Oxoid) was recorded (spectrophotometer, Infinite 200 PRO; Tecan) at 486 nm during 2 h of incubation at 37°C in the presence of nitrocefin and 5 to 50  $\mu\text{l}$  of sonicated extract in phosphate buffer. Specific activity was defined as activity unit per minute per milligram of protein determined using the initial near-linear slope of the curve and with protein measured by the bicinchoninic acid (BCA) method in the sample. In the text, “nitrocefinase” corresponds to “nitrocefin hydrolysis.”

**Ceftazidime hydrolysis.** The hydrolysis of ceftazidime was monitored at 260 nm ( $\epsilon = 10,500 \text{ M}^{-1} \text{ cm}^{-1}$ ) (42) in the absence or the presence of avibactam. Strains growing in the exponential phase were collected and resuspended in water before sonication (duty cycles, 50%). The working solutions of ceftazidime or avibactam were prepared in water. The enzymatic activity was monitored at 37°C (spectrophotometer, Infinite 200

PRO) using 5 to 50  $\mu\text{l}$  of sonicated suspension in the presence of ceftazidime (0.1 mM) in phosphate buffer B (0.1 M  $\text{Na}_2\text{HPO}_4$ -0.1 M  $\text{NaH}_2\text{PO}_4$  [pH 7]) with and without avibactam at 1 mg/liter or 4 mg/liter. The enzymatic unit of activity was defined as micromoles of compound (nitrocefin or ceftazidime) hydrolyzed per minute per milligram of protein (determined in bacterial lysate) at 37°C. In the text, “ceftazidimase” corresponds to “ceftazidime hydrolysis.”

## RESULTS

The MICs of ceftazidime, avibactam, ceftazidime-avibactam, and selected antibacterial agents of other classes are shown in Table 2 for *E. coli*, in Table 3 for *E. aerogenes*, and in Table 4 for *K. pneumoniae*. Three concentrations of avibactam (1, 2, and 4 mg/liter) were assayed in order to obtain comparative ceftazidime, avibactam, and ceftazidime-avibactam MIC measurements against outer membrane permeabilized cells, some of which were more susceptible to the intrinsic antibacterial activity of avibactam at 4 mg/liter when rendered more permeable. MICs were also measured in the presence of the membrane permeabilizer, PMBN (Tables 2, 3, and 4). Low concentrations (1/10 MIC) of PMBN were used to avoid any growth inhibition effect of the molecule (38). The MICs of additional antibacterial agents are shown to illustrate the types of resistance associated with each cellular phenotype and genotype and also to document the degree of multiresistance in the clinical isolates. In the following, we first analyze the results of measuring the MICs of avibactam alone, then of ceftazidime alone, and then of combined ceftazidime and avibactam.

**Effect of outer membrane permeabilization by PMBN on MICs of avibactam.** Avibactam displayed low antibacterial activity, which has been reported previously for *Enterobacteriaceae* (19, 43, 44). The mechanism of this growth inhibition is likely through weak inhibition of one or more penicillin-binding proteins (PBPs) (45), consistent with the original design concept of the diazabicyclooctane class of compounds of which avibactam is an example (15).

The intrinsic susceptibility to avibactam of the eight *E. coli* isolates when permeabilized was 8 mg/liter ( $\pm 1$  dilution in 2 cases) (Table 2). The higher MICs of 32 to 128 mg/liter observed in the absence of the permeabilizer in 3 of the isolates are interpreted as the presence of an outer membrane permeability barrier to avibactam. However, a clear inference could not be made that

TABLE 2 Antibiotic susceptibilities of *E. coli* strains, combination CAZ-AVI, and effect of PMBN

Strain	MIC (mg/liter) <sup>a</sup>																												
	CHL	PAβN	NOR	NAL	CIP	PIP	AVI	PMBN	CAZ	CAZ + AVI 1	CAZ + AVI 2	CAZ + AVI 4	CAZ + PMBN	CAZ + AVI 1	CAZ + PMBN	CAZ + AVI 2	CAZ + PMBN	CAZ + AVI 4	CAZ + PMBN	CAZ + AVI 1	CAZ + PMBN	CAZ + AVI 2	CAZ + PMBN	CAZ + AVI 4	CPT	IPM	MEM	ATM	ERT
AG100	8	512	0.125	4	0.03	2	16	512	0.5	0.25	0.25	0.25	0.03	0.03	0.03	0.03	0.03	0.03	0.03	0.03	0.03	0.03	0.03	0.03	0.125	0.125	0.02	0.125	0.02
ARS100	256	512	1,024	>1,024	64	>1,024	128	>1,024	1	0.5	0.5	0.25	0.125	0.06	0.06	0.03	0.03	0.03	0.03	0.03	0.03	0.03	0.03	0.03	32	0.25	0.06	8	2
ARS108	512	256	1,024	>1,024	256	>1,024	32	1,024	32	1	0.5	0.25	2	0.03	0.03	0.03	0.03	0.03	0.03	0.03	0.03	0.03	0.03	0.03	>128	0.25	0.25	>128	4
ARS144	32	256	512	>1,024	128	>1,024	8	1,024	1,024	8	8	2	32	0.5	0.5	0.5	0.5	0.5	0.5	0.5	0.5	0.5	0.5	0.5	>128	0.25	0.06	>128	0.5
ARS150	8	256	>1,024	>1,024	512	1,024	8	1,024	512	2	1	2	4	0.125	0.125	0.02	0.02	0.02	0.02	0.02	0.02	0.02	0.02	0.02	>128	0.5	0.06	>128	2
ARS237	64	256	512	>1,024	128	1,024	32	1,024	128	2	1	0.06	2	0.03	0.03	0.03	0.03	0.03	0.03	0.03	0.03	0.03	0.03	>128	0.125	0.5	>128	4	
ARS273	1,024	512	512	>1,024	64	>1,024	8	512	128	32	1	0.25	8	0.03	0.03	0.02	0.02	0.02	0.02	0.02	0.02	0.02	0.02	>128	0.25	1	>128	8	
ARS301	16	512	512	>1,024	256	>1,024	16	1,024	2,048	32	16	8	32	0.5	0.5	0.5	0.5	0.5	0.5	0.5	0.5	0.5	0.5	>128	0.25	0.03	>128	2	

<sup>a</sup> CHL, chloramphenicol; PAβN, phenylalanine-arginine β-naphthylamide; NOR, norfloxacin; NAL, nalidixic acid; CIP, ciprofloxacin; PIP, piperacillin; AVI, avibactam (1, 2, or 4 mg/liter); PMBN, polymyxin B nonapeptide (used at MIC/10 for combination); CAZ, ceftazidime; CPT, ceftaroline; IPM, imipenem; MEM, meropenem; ATM, aztreonam; ERT, erapenem; ND, not determined because of intrinsic susceptibility preventing the reading of a reliable endpoint.

either OmpF or OmpC formed the main diffusion pathway for avibactam, because one of the 3 isolates with the permeability barrier (ARS237) expressed both of these pore proteins (Table 1). Moreover, isolate ARS273 was susceptible to avibactam in the absence of the permeabilizer despite lacking OmpF, consistent with avibactam diffusion through pores other than those formed by OmpF. From these results, we suggest that in *E. coli* the outer membrane presents a permeability barrier to avibactam that reduces the intrinsic susceptibility to the compound by 4- to 16-fold in the absence of a diffusion pathway(s) not mainly accounted for by OmpC- or OmpF-mediated pores.

Unlike the permeabilization results for *E. coli*, those for *E. aerogenes* were consistent with Omp35 and/or Omp36 forming the main pores able to conduct avibactam across the outer membrane. The avibactam MICs with or without PMBN revealed the presence of a permeability barrier to avibactam in strains EA3, EA5, EA27, and EA117 (Fig. 1; Table 3). Three of these four strains did not express active Omp35 or Omp36, and the other one only expressed Omp36 weakly. Also, permeabilization did not alter the avibactam MICs against isolates ATCC 15038, EA2, and EADFJ85, which produced both Omp35 and Omp36.

As was observed with *E. coli* and *E. aerogenes*, the use of PMBN revealed a permeability barrier to avibactam in *K. pneumoniae* strains KP45, KP55, KP74, and KP89 (Table 4). Notably, strain KP45 expressed both OmpK35 and OmpK36, excluding pores formed by them as major routes of diffusion of avibactam into the periplasm of *K. pneumoniae* (Table 1). In agreement with this, the avibactam MICs with or without PMBN showed the absence of a permeability barrier to avibactam in KP63 despite lacking OmpK35 and OmpK36.

**Effect of outer membrane permeabilization by PMBN on the MICs of ceftazidime.** The permeability barrier to ceftazidime was clearly displayed in all isolates of *E. coli*, even in the susceptible strains AG100 and ARS100, as judged from the reductions in the MICs by 8- to 128-fold upon the addition of the permeabilizer PMBN (Table 2). The permeability barrier was also evident in OmpF/OmpC-sufficient cells, consistent with diffusion through those pores being sterically and electrostatically constrained (46). Interestingly, the extents of the reductions in the ceftazidime MICs against *E. coli* strains AG100 and ARS100 were similar (16-fold and 8-fold, respectively, Table 2), implying similar changes in permeability, despite the fact that AG100 expressed both OmpC and OmpF proteins, whereas ARS100 expressed neither. This implies that, similarly to avibactam, there are pathways for the diffusion of ceftazidime across the outer membrane other than those formed by OmpF and OmpC.

The ceftazidime-resistant strains of *E. aerogenes* tested displayed the removal of a significant permeability barrier when the ceftazidime MICs were measured in the presence of PMBN with decreases in the MICs of 8- to 32-fold compared with the MICs of ceftazidime alone (Table 3). These reductions in the MICs did not depend on selective production of Omp35 or Omp36, because the fold decreases were similar regardless of the production of those two proteins (Tables 1 and 3). For example, the ceftazidime MICs against strains EA2 and EA5 decreased 8-fold with the addition of PMBN even though strain EA2 produced both Omp35 and Omp36, whereas EA5 produced neither.

As was found with *E. coli* and *E. aerogenes*, the outer membrane permeability barrier was demonstrable in the ceftazidime-resistant isolates of *K. pneumoniae* by the 4- to 64-fold reductions in

the MICs of ceftazidime observed on addition of PMBN (Table 4). Addition of PMBN did not cause any change in the ceftazidime MIC of 0.25 mg/liter against the susceptible strain ATCC 11296, which produced both porins OmpK35 and OmpK36 (Table 4). The MIC shift observed with resistant strain *K. pneumoniae* KP45, which also produced both OmpK35 and OmpK36, was 4-fold, whereas the MIC shifts observed with strains KP55 and KP63 lacking both proteins were greater, being 32- and 64-fold, respectively. High MIC shifts of 16- to 64-fold were obtained with strains KP74, KP80, and KP89 (Table 4), which only lacked one of the two proteins assessed (OmpK35 but not OmpK36) (Table 1). We conclude that OmpK35 forms a pore through which ceftazidime diffuses across the outer membrane of *K. pneumoniae*. However, in strain KP45 which produced OmpK35 (but not in strain ATCC 11296) ceftazidime diffusion was still restricted somewhat, because the permeability barrier was still measurable by the 4-fold decrease in the ceftazidime MIC upon the addition of PMBN (Table 4).

**Effect of outer membrane permeabilization by PMBN on MICs of combined ceftazidime-avibactam.** Against many of the strains tested, an MIC of ceftazidime with avibactam at 4 mg/liter could not be reliably measured in the presence of PMBN owing to bacterial growth being weak or completely inhibited at all ceftazidime concentrations under that condition (Tables 2, 3, and 4). This was likely caused by simultaneous enhancement of the antibacterial activities of avibactam and ceftazidime, resulting from the removal of the outer membrane permeability barrier to both compounds. It was thus necessary in the combination experiments to test avibactam at concentrations lower than the standard 4 mg/liter (CLSI 2015) (44).

The addition of PMBN to ceftazidime plus avibactam (1 mg/liter) caused 8- to 1,024-fold decreases in the MICs for all 8 strains of *E. coli* (Table 2), demonstrating the removal of the common outer membrane permeability barrier to both compounds. The magnitudes of the decreases in the MICs could not clearly be attributed to the presence/absence of OmpC and/or OmpF. For example, the MICs decreased 8- and 32-fold, respectively, against strains ARS100 and ARS108 that lacked OmpC and OmpF, but decreased similarly by 16-, 16-, and 64-fold, respectively, against strains ARS144, ARS150, and ARS237 that produced both porins (Tables 1 and 3).

Against intact cells of ceftazidime-resistant isolates of *E. aerogenes*, the addition of avibactam at 1 mg/liter resulted in a decrease in all ceftazidime MICs (Table 3). Thus, avibactam penetrated to the periplasm and inhibited ceftazidime hydrolysis there, even in isolates EA5, EA27, and DFJ46 lacking both Omp35 and Omp36 (Table 3), revealing additional pathways of diffusion. This agreed with the effect of PMBN on the MICs of avibactam tested singly against *E. aerogenes* analyzed above. The further decreases in the MICs of ceftazidime plus avibactam (1 mg/liter) by the addition of PMBN against all 7 of the ceftazidime-resistant isolates of *E. aerogenes* demonstrated that a permeability barrier was still present before permeabilization. This likely resulted from simultaneous permeabilization of the cells to both compounds. Analysis of the different mutants did not identify specific diffusion pathways.

Identical conclusions were also made for *K. pneumoniae*. Avibactam at 1 mg/liter decreased the MICs of ceftazidime by 32- or 64-fold against intact cells of strains KP55 and KP63 (Table 4), both of which lacked porins OmpK35 and OmpK36 (Table 1), demonstrating the existence of diffusion pathways for avibactam

TABLE 3 Antibiotic susceptibilities of *E. aerogenes* strains, combination CAZ-AVI, and effect of PMBN

Strain	MIC (mg/liter) <sup>a</sup>																						
	CHL	PABN	NOR	NAL	CIP	PIP	AVI	PMBN	CAZ	CAZ + AVI 1	CAZ + AVI 2	CAZ + AVI 4	PMBN	CAZ + AVI + PMBN	CAZ + PMBN + AVI 1	CAZ + PMBN + AVI 2	CAZ + PMBN + AVI 4	CPT	IPM	MEM	ATM	ERT	
ATCC 15038	4	1,024	0.125	4	0.125	2	8	256	0.06	0.06	0.06	0.06	0.06	8	0.06	0.06	ND	0.125	0.125	0.02	0.03	0.01	
EA2	512	1,024	64	>1,024	32	16	4	256	256	2	1	ND	32	4	0.5	0.125	ND	8	0.25	0.01	64	0.25	
EA3	512	1,024	64	>1,024	32	128	64	1,024	1,024	16	8	2	64	16	2	0.5	0.25	32	0.5	0.03	128	0.5	
EA5	256	1,024	64	>1,024	16	128	64	1,024	1,024	64	16	8	128	16	1	0.5	0.125	32	4	0.5	128	8	
EA27	512	1,024	64	>1,024	16	128	256	512	1,024	64	64	4	32	8	0.5	0.03	0.03	64	16	1	128	64	
EA117	512	1,024	128	>1,024	32	128	128	1,024	1,024	16	16	2	128	8	1	0.5	0.125	64	2	0.125	>128	2	
EADJF 85	1,024	1,024	64	>1,024	32	64	16	1,024	512	8	8	2	64	16	1	1	ND	16	1	0.02	>128	0.25	
EADJF 46	1,024	1,024	256	>1,024	256	128	128	1,024	1,024	32	8	8	128	64	1	1	ND	64	8	1	>128	16	

<sup>a</sup> CHL, chloramphenicol; PABN, phenylalanine-arginine-β-naphthylamide; NOR, norfloxacin; NAL, nalidixic acid; CIP, ciprofloxacin; PIP, piperacillin; AVI, avibactam (1, 2, or 4 mg/liter); PMBN, polymyxin B nonapeptide (used at MIC/10 for combination); CAZ, ceftazidime; CPT, ceftriaxone; IPM, imipenem; MEM, meropenem; ATM, aztreonam; ERT, eretapenem; ND, not determined because of intrinsic susceptibility preventing the reading of a reliable endpoint.

TABLE 4 Antibiotic susceptibilities of *K. pneumoniae* strains, combination CAZ-AVI, and effect of PMBN

Strain	MIC (mg/liter) <sup>a</sup>															
	CHL	PABN	NOR	NAL	CIP	PIP	AVI	PMBN	CAZ	CAZ + AVI 1	CAZ + AVI 2	CAZ + AVI 4	CAZ + PMBN AVI 1	CAZ + PMBN AVI 1 + AVI 2	CAZ + PMBN AVI 4	CAZ + PMBN + AVI 4
ATCC 11296	4	4	0.25	16	0.06	16	>128	256	0.25	0.5	0.5	0.125	0.5	0.25	ND	1
KP45	16	1,024	0.25	4	0.06	8	128	1,024	0.5	0.125	0.06	0.5	0.06	0.06	0.06	0.25
KP55	16	1,024	16	1,024	2	128	128	>1,024	128	4	4	1	0.25	0.125	0.06	8
KP63	512	1,024	1	32	0.125	64	16	512	512	8	8	2	0.5	1	1	8
KP74	8	512	0.25	4	0.06	>1,024	64	512	2,048	64	16	8	0.5	0.25	0.25	128
KP80	128	1,024	16	>1,024	8	>1,024	16	1,024	8	4	2	0.25	0.125	0.125	0.125	8
KP89	128	1,024	16	>1,024	8	128	32	1,024	2	0.5	0.5	0.25	0.125	0.125	0.06	1

<sup>a</sup> CHL, chloramphenicol; PABN, phenylalanine-arginine β-naphthylamide; NOR, norfloxacin; NAL, nalidixic acid; CIP, ciprofloxacin; PIP, piperacillin; AVI, avibactam (1, 2, or 4 mg/liter); PMBN, polymyxin B nonapeptide (used at MIC/10 for combination); CAZ, ceftazidime; CPT, ceftazidime; CPT, ceftazidime; MEM, meropenem; ATM, aztreonam; ERT, eripenem; ND, not determined because of intrinsic susceptibility preventing the reading of a reliable endpoint.

other than the channels formed by those two proteins. The further addition of PMBN elicited additional reductions in the MICs of 16- to 128-fold against the ceftazidime-resistant isolates, KP55, KP63, KP74, and KP80, demonstrating the permeability barrier to the combination. However, again, it was not possible to conclude that either OmpK35 or OmpK36 formed the major diffusion pathways determining the MICs of ceftazidime-avibactam against intact *K. pneumoniae*.

**Effect of inhibition of efflux by PAβN.** In order to investigate the role of efflux pumps in the activities of ceftazidime, avibactam, and ceftazidime-avibactam, the susceptibilities of the strains selected were determined in the presence and absence of the efflux inhibitor PAβN, as previously reported (7, 41). As a control, all of the isolates studied displayed chloramphenicol efflux revealed by decreases in the MIC by 4-fold or more on testing in the presence of PAβN (Table 5).

There was a moderate level of efflux of avibactam in *E. coli* ARS100 based on a 4-fold decrease of the MIC of avibactam in the presence of the efflux inhibitor (Table 5). However, there was no evidence of avibactam efflux in any of the other *E. coli* strains (Table 5), implying that it is not a general property of the species. There was no evidence of any PAβN-inhibitable efflux of avibactam in any of the 8 isolates of *E. aerogenes* or the 7 isolates of *K. pneumoniae* studied (the addition of PAβN did not decrease avibactam MICs by more than 2-fold) (Table 5).

Three *E. coli* strains, ARS144, ARS150, and ARS301, showed some effect of inhibition of the efflux of ceftazidime as judged by 4- or 8-fold decreases in the MICs in the presence of PAβN (Table 5). However, there was no evidence of ceftazidime efflux in any of the isolates of the other two species (Table 5).

Comparison of the MICs of ceftazidime plus avibactam (1 mg/liter) in the absence (Tables 2, 3, and 4) or presence of PAβN (Table 5) yielded no evidence of any effect of efflux on the antibacterial activity of the combination against isolates of any of the 3 species, with the exception of one strain of *E. coli* (ARS273), against which the MIC decreased from 32 to 2 mg/liter on the addition of the efflux inhibitor. The reason for the enhancement of the activity of the combination by apparently inhibiting efflux in this strain was unclear, because neither the MIC of ceftazidime nor that of avibactam was affected by PAβN when each was tested singly (128 mg/liter or 32 mg/liter, respectively), whether PAβN was present or not (Table 5).

**Antibiotic susceptibility, porin expression, and osmotic variation.** The osmotic strength of the growth medium regulates the OmpC/OmpF balance in *E. coli*, *E. aerogenes*, *K. pneumoniae*, and other *Enterobacteriaceae* (6, 11). We investigated the role of the two families, OmpC (OmpK36, Omp36) versus OmpF (OmpK35, Omp35), by using different test media. The MICs of avibactam alone against porin-sufficient isolates of all 3 species were generally 2- to 4-fold higher in the test media of low (NB, 46 mosmol/kg; high OmpF/OmpC ratio) than high (NBS, 1,290 mosmol/kg; low OmpF/OmpC ratio) osmolality (data not shown). However, the same result occurred against porin-deficient cells of *E. coli* or *K. pneumoniae*, indicating that the effect was likely not mediated through differential expression of OmpF/OmpC. In contrast, the MIC of avibactam against the porin-deficient *E. aerogenes* was higher in the higher-osmolality NBS medium than in the NB medium, but because OmpC and OmpF were not expressed, the difference between the results in the two media could not be ascribed to osmolality-dependent porin ex-

TABLE 5 Antibiotic susceptibility of *E. coli*, *E. aerogenes*, and *K. pneumoniae* strains and combination with the efflux blocker (PAβN 20 mg/liter)

Strain	MIC (mg/liter) <sup>a</sup>								
	CHL	CHL + PAβN	PAβN	AVI	CAZ	CAZ + PAβN	AVI + PAβN	CAZ + AVI 1 + PAβN	CAZ + AVI 2 + PAβN
<i>E. coli</i>									
AG100	8	2	512	16	0.5	1	32	0.5	ND
ARS100	256	64	512	128	1	0.5	32	0.5	ND
ARS108	512	32	256	32	32	32	32	0.5	ND
ARS144	32	2	256	8	1,024	128	16	8	ND
ARS150	8	4	256	8	512	128	16	1	1
ARS237	64	8	256	32	128	128	32	2	ND
ARS273	1,024	64	512	8	128	128	16	2	8
ARS301	16	1	512	16	2,048	256	16	16	ND
<i>E. aerogenes</i>									
ATCC 15038	4	1	1,024	8	0.06	0.125	16	0.125	0.125
EA2	512	128	1,024	4	256	128	8	4	2
EA3	512	128	1,024	64	1,024	1,024	128	16	ND
EA5	256	128	1,024	64	1,024	1,024	64	64	ND
EA27	512	128	1,024	256	1,024	1,024	512	128	64
EA117	512	256	1,024	128	1,024	1,024	64	32	16
EADJF 85	1,024	64	1,024	16	512	1,024	64	16	ND
EADJF 46	1,024	64	1,024	128	1,024	1,024	>1,024	>128	ND
<i>K. pneumoniae</i>									
ATCC 11296	4	1	4	256	0.25	0.5	128	0.5	0.5
KP45	16	2	1,024	128	0.5	0.5	64	0.5	ND
KP55	16	2	1,024	128	128	128	256	32	32
KP63	512	128	1,024	16	512	512	32	32	ND
KP74	8	1	512	64	2,048	1,024	64	64	64
KP80	128	8	1,024	16	8	16	32	4	4
KP89	128	32	1,024	8	2	1	16	1	ND

<sup>a</sup> CHL, chloramphenicol; PAβN, phenylalanine-arginine β-naphthylamide; AVI, avibactam (1 or 2 mg/liter); CAZ, ceftazidime; ND, not determined.

pression. Ultimately, the effects on the MICs of the combination of ceftazidime plus avibactam could not be unequivocally interpreted owing to the complexity of the system of two different compounds, coupled with the potential variation of the sensitivity of the peptidoglycan synthetic pathway to PBP inhibitors with test medium osmolality.

**Antibiotic susceptibility and enzymatic activity, ceftazidimase versus nitrocefinase.** Determinations of ceftazidimase and nitrocefinase activity were performed in order to verify the activity of β-lactamases and the effect of inhibitors. The results indicated a large heterogeneity regarding the expression of the two activities analyzed. Figures 2A and B present the nitrocefinase and ceftazidimase activities measured in the various strains. As expected, the addition of avibactam to bacterial lysates containing the enzyme strongly decreased ceftazidime hydrolysis, and the residual activities were similar with avibactam at 1 or 4 mg/liter (Fig. 2C). The results confirmed that the outer membrane constituted a permeation barrier for the avibactam, as follows. The addition of avibactam at 1 mg/liter reduced the ceftazidime MIC against *K. pneumoniae* KP63 by 64-fold, and increasing the concentration to 4 mg/liter reduced the MIC by a further 4-fold. In contrast, the same two avibactam concentrations reduced the enzyme activity in disrupted cell extracts by about the same amount (25% in each case) (Fig. 2C). An identical observation was made with *E. aerogenes* EA5. The MIC change between testing ceftazidime plus avibactam at 1 mg/liter and at 4 mg/liter against *E. aerogenes* EA5 was 8-fold (64 and 8 mg/liter) (Table 3), whereas the difference in the ceftazidime hydrolysis activity of disrupted cells in the presence of avibactam at 1 or 4 mg/liter was negligible (Fig. 2C).

zidime hydrolysis activity of disrupted cells in the presence of avibactam at 1 or 4 mg/liter was negligible (Fig. 2C).

## DISCUSSION

Hydrophilic compounds do not readily cross the hydrophobic lipid bilayer of the Gram-negative outer membrane (11, 30). Thus, based on general principles, the outer membrane of members of the *Enterobacteriaceae* is predicted to form a permeability barrier to avibactam. The work reported here confirmed that hypothesis experimentally. Significant increases in the antibacterial activities of ceftazidime alone, avibactam alone, and ceftazidime-avibactam in combination were elicited by the addition of subinhibitory concentrations (1/10 MIC) of PMBN, an outer membrane permeabilizer, as has been previously reported for other antibacterial drugs (14, 38). In the case of ceftazidime-avibactam, addition of PMBN enhanced the activity of the pair of compounds against bacterial isolates that exhibited a permeability barrier and enzymatic resistance mechanisms simultaneously.

We also propose that the permeability barrier to ceftazidime-avibactam described above is a passive barrier, with little contribution from active efflux, based on an absence of any consistent strain- and species-wide effect on the MICs of the efflux inhibitor PAβN.

Although the Gram-negative bacterial outer membrane presents a permeability barrier to hydrophilic compounds, such compounds do cross the barrier via transmembrane channels that connect the external and periplasmic aqueous compartments (6, 11,

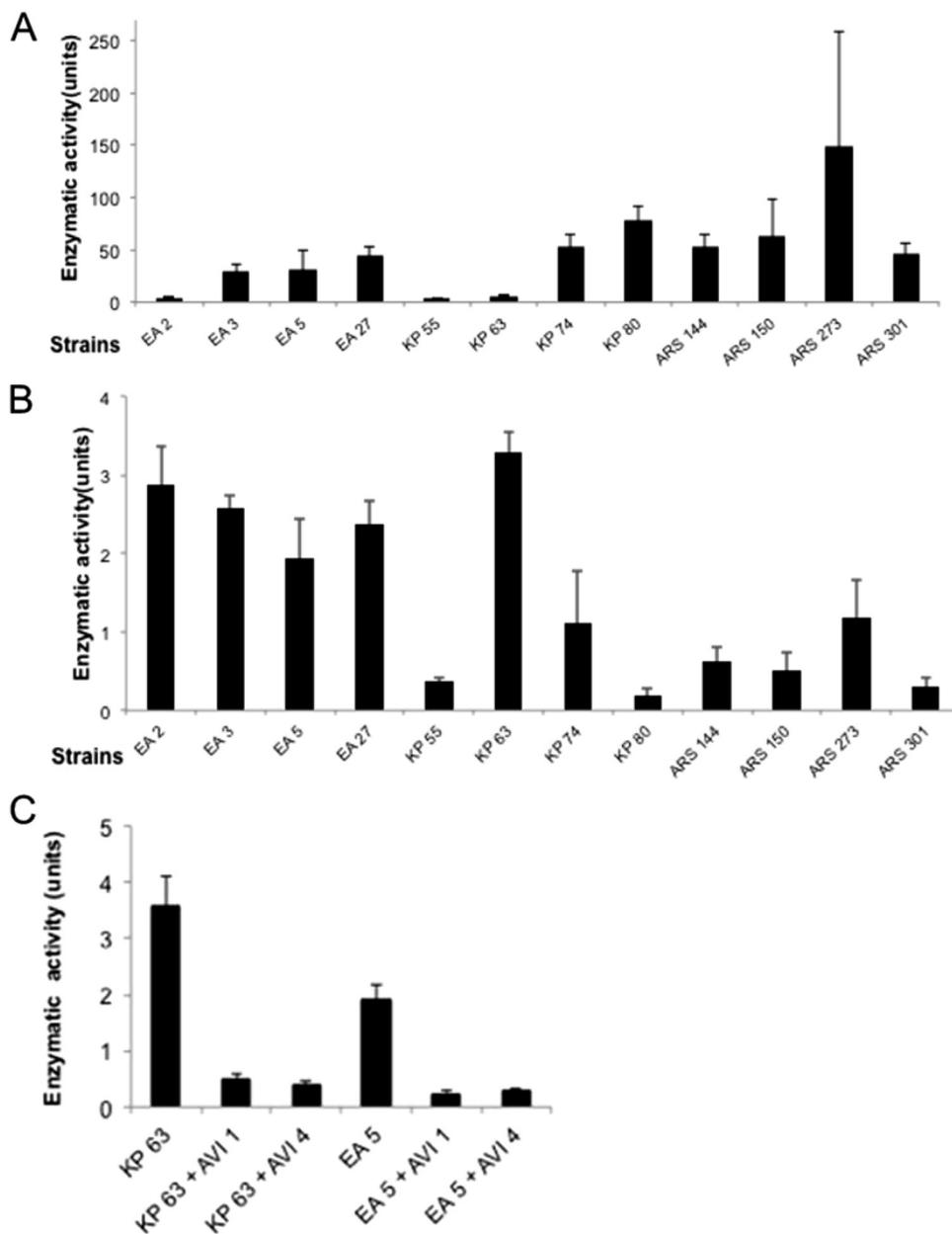


FIG 2 Enzymatic assay in selected strain lysates. (A)  $\beta$ -Lactamase activity for the bacterial lysates obtained from the various bacterial suspensions was measured.  $\beta$ -Lactamase activity was determined using the chromogenic substrate nitrocefin. Units are micromoles of nitrocefin hydrolyzed per minute per milligram of protein. (B) Ceftazidimase activity on the different bacterial lysates was measured. (C) Inhibition of ceftazidimase activity by avibactam. Ceftazidimase activity on the different bacterial lysates in the presence of avibactam at 1 or 4 mg/liter was determined. Units are micromoles of ceftazidime hydrolyzed per minute per milligram of protein. The standard deviations (error bars) were obtained from three independent experiments.

47). For example, ceftazidime diffuses through pores formed by OmpC and/or OmpF in *E. coli* (5-fold faster through OmpF pores) at rates consistent with those observed for other  $\beta$ -lactam and non- $\beta$ -lactam compounds (48, 49). However, diffusion also occurs via other pathways, because the MIC against an OmpF/OmpC double mutant remained low at 0.5 mg/liter (48). From the MICs observed against the ceftazidime-resistant  $\beta$ -lactamase-producing isolates of *Enterobacteriaceae*, avibactam reaches the periplasm at high enough concentrations and rapidly enough to restore the activity of ceftazidime against ceftazidime-resistant,  $\beta$ -lactamase-producing clinical isolates and engineered strains

(19, 26–29). We were able to exclude pores formed by OmpF and OmpC of *E. coli* and OmpK35 and OmpK36 of *K. pneumoniae* as the major channels by which avibactam penetrates to the periplasm in those species (see Results). Avibactam might still diffuse through those channels, but other channels were clearly accessible because avibactam inhibited  $\beta$ -lactamases and displayed its moderate antibacterial activity against isolates lacking those proteins. In contrast, Omp35 and Omp36 were not similarly excluded as forming the major channels for the influx of avibactam in *E. aerogenes*, but neither was it possible to exclude other channels.

The present work has also addressed the important question of whether the  $\beta$ -lactamase inhibitory activity of avibactam (8) and hence the antibacterial activity of the combination agent ceftazidime-avibactam (9) would be lost against clinical isolates exhibiting reduced permeability. Against the *E. coli*, *E. aerogenes*, and *K. pneumoniae* clinical isolates devoid of porins of both the OmpC and OmpF types (ARS100, ARS108, EA3, EA5, EA27, EA117, EADFJ46, KP55, and KP63), the MICs of ceftazidime-avibactam were still lower than or equal to the pharmacokinetic/pharmacodynamic cutoff of  $\leq 8$  mg/liter (50), which was recently adopted by the U.S. Food and Drug Administration as the interpretive criterion of susceptibility for *Enterobacteriaceae* and *Pseudomonas aeruginosa* (51). This is important because the loss of porins combined with the production of extended-spectrum  $\beta$ -lactamases (ESBLs) or class C  $\beta$ -lactamases can cause a lack of susceptibility to carbapenems in isolates of the *Enterobacteriaceae* without a carbapenemase (52–55). Of the 9 isolates listed above, an imipenem-meropenem-nonsusceptible phenotype was observed in strains EA5, EA27, and EADFJ46, demonstrating a molecular mechanism-based difference between the activities of ceftazidime-avibactam and carbapenems, even in the absence of carbapenemases. This is consistent with studies of the activity of ceftazidime-avibactam against *Enterobacteriaceae* resistant to erapenem by mechanisms of ESBL or AmpC production combined with loss of one or more outer membrane pore-forming proteins (22).

Using growth conditions in which the osmolality was varied (nutrient broth with or without sorbitol) (39), we modulated the balance of OmpF/OmpC (OmpK35/OmpK36, Omp35/Omp36) to evaluate the effect of the porin balance on the activity of ceftazidime-avibactam. The results obtained against the clinical isolates suggested a relationship between the moderate antibacterial activity of avibactam and osmolality. However, a precise diagnosis of specific diffusion channels was not possible owing to the complexity of the results.

This is the first study that has investigated the role of membrane permeability in the activity of ceftazidime-avibactam against *Enterobacteriaceae*. It is clear that permeation is a significant factor governing the efficacy of this combination. It will be interesting in the future to assess the specific route and the penetration rate of avibactam through the pores formed by porins (OmpF or OmpC) or other hydrophilic outer membrane channels by using electrophysiological approaches (49, 56) or by determining the killing rate in isogenic strains expressing diverse outer membrane channels (57). Understanding the kinetics and mechanisms of influx of the individual components of  $\beta$ -lactam- $\beta$ -lactamase inhibitor combinations such as ceftazidime-avibactam may inform the design of the next generation of such combinations as well as identify potential points of future resistance.

#### ACKNOWLEDGMENTS

We thank Anne Davin-Regli and Jacqueline Chevalier for their fruitful discussions.

Wright W. Nichols and Thomas A. Keating are former employees of AstraZeneca.

#### FUNDING INFORMATION

This work was supported by Aix-Marseille University and IRBA and by a research grant “Ceftazidime-Avibactam” from AstraZeneca.

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