

Influence of music therapy on the rehabilitation of children with severe brain damage Auditory Cognitive Neuroscience

Maria L Bringas, Marilyn Zaldivar, Jorge Bosch, Karelia Martinez-Montes, Eduardo Martínez-Montes, Pedro A Rojas, Dora M Chongo, Maria A Ortega, Reynaldo Galvizu, Alba E Perez, et al.

▶ To cite this version:

Maria L Bringas, Marilyn Zaldivar, Jorge Bosch, Karelia Martinez-Montes, Eduardo Martínez-Montes, et al.. Influence of music therapy on the rehabilitation of children with severe brain damage Auditory Cognitive Neuroscience. Frontiers in Human Neuroscience, 2014. hal-02437775

HAL Id: hal-02437775 https://amu.hal.science/hal-02437775v1

Submitted on 13 Jan 2020

HAL is a multi-disciplinary open access archive for the deposit and dissemination of scientific research documents, whether they are published or not. The documents may come from teaching and research institutions in France or abroad, or from public or private research centers.

L'archive ouverte pluridisciplinaire **HAL**, est destinée au dépôt et à la diffusion de documents scientifiques de niveau recherche, publiés ou non, émanant des établissements d'enseignement et de recherche français ou étrangers, des laboratoires publics ou privés.





Influence of music therapy on the rehabilitation of children with severe brain damage

Maria L Bringas, Marilyn Zaldivar, Jorge Bosch, Karelia Martinez-Montes, Eduardo Martínez-Montes, Pedro A. Rojas, Dora M. Chongo, Maria A. Ortega, Reynaldo Galvizu, Alba E Perez, Lilia M Morales, Carlos Maragoto, Hector Vera and Mirielle Besson

Journal Name: Frontiers in Neuroscience

ISSN: 1662-453X

Article type: Original Research Article

First received on: 04 Apr 2014

Frontiers website link: <u>www.frontiersin.org</u>

Influence of music therapy on the rehabilitation of children with severe brain damage

- 3 Maria L. Bringas^{1,4*}, Marilyn Zaldivar¹, Jorge Bosch², Karelia Martinez-Montes¹, Eduardo Martinez-Montes²,
- 4 Pedro A. Rojas², Dora Ma. Chongo¹, Maria A. Ortega¹, Reynaldo Galvizu¹, Alba E. Perez¹, Lilia Morales¹,
- 5 Carlos Maragoto¹, Hector Vera¹, Mireille Besson¹, ^{2,3}
- 7 ¹Centro Internacional de Restauracion Neurologica CIREN Habana, Cuba
- 8 ²Centro de Neurociencias de Cuba, Habana, Cuba
- 9 ³ Laboratoire de Neurosciences Cognitives, CNRS and Marseille Université, Fédération 3C, Marseille, France
- ⁴Laboratory of Neuroinformation, School of Life Sciences, University of Electronic Sciences and Technology of China,
- 11 Chengdu China

1

2

6

- 12 * Correspondence: Maria L. Bringas, Centro Internacional de Restauracion Neurologica CIREN Habana, Cuba.
- Maria.luisa.bringas@gmail.com
- 14 15 Keywords: rehabilitation, children, ERPs, music therapy, neurological disorders
- 16 Contributions: MLB and MB designed and wrote the paper. All authors contributed to data collection and
- 17 analysis.

18

21 22

37

- 19 Word count: 8,476
- Number of figures: 2

Abstract

- The aim of this experiment was to test for the efficiency of a music therapy (MT) program
- implemented at the Centro Internacional de RestauracionNeurologica (CIREN), La Habana, Cuba.
- All the children who participated in this study had severe neurological disorders and were involved in
- an intense neuro-restoration program for 4 to 8 weeks. Children were randomly assigned to the MT
- 27 group or to a control group. Overall results from questionnaires filled in by the occupational and
- 28 speech therapists showed that all children improved from Week 1 to Week 4 on three dimensions
- 29 (motor, social and emotional behaviours). Importantly, improvements on the motor behaviour
- 30 dimension were larger for children in the experimental MT group than for children in the control
- 31 group. Moreover, results in the MT group revealed that improvements on musical tests were larger
- 32 after 8 weeks than after 4 weeks of MT. Finally, although analyses of the Event-Related brain
- Potentials (ERPs) showed large inter-individual variability, it was nevertheless possible to isolate
- subgroups of children with similar patterns of results (P250 vs N300 components). Taken together,
- 35 these results are encouraging in showing that the use of different methodologies can provide
- 36 complementary information on the efficiency of neuro-restoration and MT programs

1. Introduction

- 38 Music Therapy (MT) defined as the use of music for clinical purposes has been used for a long time
- 39 (e.g., Van de Wall, 1946) and MT is often recommended as a treatment for infantile autism, for
- 40 instance (Accordino, et. al. 2006). Until the past ten years, however, empirical support for MT was
- 41 relatively scarce and not entirely convincing for several reasons. First, support was mainly coming

- from case studies conducted by music therapists with limited use of controlled research
- 43 methodologies. Second, when conducting group studies, a number of factors were not controlled. For
- instance, groups involved in MT were often heterogeneous without control groups matched on age,
- sex, level of school education, socio-economic status of the family etc... Patients were not randomly
- assigned to the experimental (MT) or control group. Data were not always analyzed using appropriate
- 47 statistical tools and the number of participants was often too small to obtain statistically reliable
- results (Mrazova&Celec, 2010). Finally, and may be most importantly, these first studies were not
- 49 theoretically-based and the reasons for using MT in clinical settings were not explicitly described.
- 50 Importantly, these shortcomings have been fully considered and rigorously controlled studies have
- been conducted in the past ten years to test for the effects of MT in different groups of patients (see
- Robb et al. 2011; Robb & Carpenter, 2009 for reviews of music-based interventions and Wan et al.
- 53 2010, for a review of singing therapy). For instance, Särkämo (Särkämö et al., 2008) and
- collaborators tested a group of 60 stroke patients with acute middle cerebral artery stroke in left or
- right hemisphere to determine whether music listening improved cognitive recovery and mood.
- Patients were randomly assigned to music listening (20 patients), audiobook listening (20 patients) or
- to a control group (20 patients). Brain magnetic activity, Magnetoencephalography (MEG) was
- recorded and the Mismatch Negativity (MMNm) was analyzed. The MMN is considered as a good
- index of preattentive auditory processing (Näätänen et al. 1978). The main advantage of the MMN is
- 60 that it can be recorded under passive listening conditions from many different types of patients
- without requiring any specific action from their part (Kujala & Naatanen, 2010). Patients typically
- watch a silent movie while a sequence of sounds is delivered that comprises standard and deviant
- stimuli. The MMN is computed by subtracting the Event-Related Potentials (ERPs) to the standard
- stimulus from the ERPs to the deviant stimuli. Results of Särkämo et al. showed that both music and
- audiobook listening enhanced recovery of auditory sensory memory functions as reflected by
- enhanced amplitude MMNm compared to the control group. However, only music listening
- 67 improved cognitive recovery (verbal memory and focused attention) and prevented depression in the
- 68 6 months following the stroke. In a subsequent study from the same group (Forsblom et al. 2010), the
- authors tried to disentangle two factors that may have contributed to improved cognitive recovery
- and mood in the stroke patients tested by Särkämo (Särkämö et al., 2008), namely enhanced neuronal
- 71 plasticity and stimulation or emotional and psychological factors related to the music listening
- experience. Using a phenomenological approach based on the analysis of the patients' own
- 73 narratives, they found that both types of activities were considered as refreshing stimulations and that
- both evoked thoughts and memories. However, only music listening was considered as favoring
- 75 relaxation and increased motor activity and as contributing more than audiobook listening to
- 76 recovery and positive mood changes.
- 77 Still with stroke patients, Rodriguez-Fornells and collaborators (Grau-Sanchez et al., 2013;
- Rodriguez-Fornells et al., 2012), tested the hypothesis that by improving auditory-motor coupling
- 79 (e.g., Bangert et al., 2006; Baumann et al., 2007; Lahav et al. 2007), playing a musical instrument can
- 80 promote neuroplastic changes in the motor cortex and the restoration of motor abilities. Patients were
- involved in a Music-Supported-Therapy (MST) program (Schneider, et al. 2007) during 4 weeks (20
- 82 individual sessions of 30min each) in which they trained on a MIDI-piano for fine movements and on
- an electronic drum set for gross movements. Improvements in motor abilities were monitored using
- 3D movement analysis and clinical motor tests. The authors also employed different brain imaging
- methods, functional Magnetic Resonance Imaging (fMRI) coupled with functional connectivity
- analyses and Trans-Magnetic Stimulation (TMS). Patients involved in MST showed restored
- 87 activation in different brain regions (primary auditory cortex, precentralgyrus, inferior frontal regions

and supplementary motor area) as well as increased functional connectivity between these different 88 89

brain regions. Moreover, applying TMS revealed changes in the excitability of the motor cortex and

- in the organization of sensori-motor cortex accompanied by a reduction of motor deficits. The 90
- authors suggested that MST may restore the inherent dynamics of the auditory-motor loops involved 91
- in music processing. While these results are highly promising, one caveat is that no patients were 92
- involved in another activity as interesting and motivating as MST that would allow controlling for 93
- attention and motivation. This is important insofar as neuroplasticity may depend on the motivational 94
- value of the activity (Sanes& Donoghue, 2000). 95
- 96 MT has also been used with Alzheimer patients. For instance, Narme (Narme et al., 2012) compared
- musical (12 patients) vs non-musical (painting: 10 patients or cooking, 5 patients) interventions (2 97
- hours, twice a week) in 27 patients with moderate to severe dementia (Mini-mental state: 3-18/30). 98
- The largest improvements in emotional states were found in the music group both immediately and 2 99
- weeks after the interventions. Särkämo and collaborators, (Särkämö et al., 2013) tested a larger 100
- number of patients (89) with mild to moderate dementia and involved in singing (n = 30), music 101
- listening (n = 29) or in usual care (control group, n = 30) for 10 weeks. Results showed positive 102
- changes in the mood and the quality of life of the patients immediately after the musical intervention 103
- 104 and 6 months after. Results of neuropsychological assessments also revealed improvements in
- general cognition, orientation, short-term memory, attention and executive functions after singing 105
- and music listening. They concluded that simple musical activities that are easy to implement can 106
- have positive long-term cognitive, emotional, and social benefits in mild/moderate dementia. Another 107
- example of cost-effective music-based interventions is provided by the results of Janata (2012) 108
- showing that playing individually-selected music programs in the rooms of patients with moderate-109
- 110 to-severe dementia, several hours per day each day for 12 weeks reduced the average levels of
- agitation and depression among the residents. 111
- Music listening was also shown to facilitate motor activities and the initiation of walking in 112
- Parkinson patients (McIntosh et al. 1997) as well as to reduce the speech deficits often associated 113
- with Parkinson disease (see Wan et al., 2010, for review). More generally, Stahl et al (2013) showed 114
- that singing and rhythmic therapies in non-fluent aphasic patients did facilitate the production of 115
- formulaic phrases but only standard therapy allowed transfer to the production of unknown phrases 116
- (non formulaic language). These results led the authors to conclude that propositional (left 117
- perilesional brain regions) and formulaic speech (right corticostriatal areas) may rely on different 118
- neural pathways. Similarly, recent results from Schlaug and collaborators (Vines et al. 2011; Wan et 119
- al., 2010) showed that combining singing therapy with trans-Direct Current Stimulation (t-DCS), to 120
- increase the excitability of the right posterior inferior frontal gyrus known as a key region for the 121
- recovery of aphasia, improved the fluency of speech in non fluent aphasic patients. To our 122
- knowledge, only a few controlled randomized studies have tested the effects of MT in children. For 123
- instance, Kim and collaborators (Kim et al. 2008; Kim et al. 2009) employed a single subject 124
- comparison design to investigate social interactions between children with autism and the therapist 125
- during improvisational music therapy and toy play. Within these two conditions they measured 126
- emotional, motivational and inter-personal responsiveness in the children during joint engagement 127
- episodes using video recordings (analyses of facial expressions, eye contacts...). Results indicated 128
- more and longer events of joy, 'emotional synchronicity' and 'initiation of engagement' behaviors in 129
- improvisational music therapy than in toy play sessions. 130
- In sum, this short review of the literature shows that the number of well-controlled studies, including 131
- random assignment of patients to experimental or control groups and large groups of patients is 132
- 133 rapidly increasing. Overall, results are positive and encouraging but this may also result from a

150

the positive influence of MT in several pathologies as described above are currently investigated 135 using different behavioral and brain imaging methods.. Several hypotheses have been proposed. For 136 instance, music listening and performance engage extensive brain networks that are also involved in 137 other perceptual, cognitive and motor activities. Moreover, many results have shown that music 138 training promotes neuroplastic changes in different brain regions (see Münte et al. 2002, for review) 139 including auditory (e.g., Meyer et al. 2007) and motor-related brain areas (e.g., Bangert et al., 2006; 140 Baumann et al., 2007; Lahav et al., 2007), thereby enhancing auditory-motor coupling (Rodriguez-141 Fornells et al., 2012). It has also been proposed that the preservation of the medial prefrontal cortex 142 143 may explain why familiar music is still remembered and able to evoke emotions in persons with severe and advanced dementia (Janata, 2012). The short-term positive effects of music on mood and 144 arousal are possibly mediated by the dopaminergic mesolimbic [reward] system and noradrenaline 145 system (Särkämö & Soto, 2012). Finally, results of epidemiological studies have shown that 146 stimulating cognitive activities (and cognitive reserve) in late life have protective effect against 147 dementia (Verghese et al., 2003) and can slower cognitive decline in dementia (Hall et al., 2009; 148 Treiber et al., 2011). Findings from Alzheimer transgenic mice are interesting in this respect: they 149

publication bias with negative results remaining unpublished. Importantly, mechanisms underlying

deposition, and increased hippocampal synaptic immunoreactivity (Cracchiolo et al., 2007).
Following Mrazova and Celec (2010), "The ultimate goal of mechanistic research is the molecular understanding of music therapy". While this goal may still require time to be reached, important progress is made in this direction (e.g., Gomez-Pinilla & Hillman, 2013).

showed that enriched environments can protect against cognitive impairment, decreased beta-amyloid

- The aim of the present research project was to implement an experiment aimed at investigating the 155 156 influence of MT in children with severe brain damages. These children were patients from the "Centro Internacional de RestauracionNeurologica (CIREN)", directly dependent from the Ministry 157 of Health of Cuba. This center is well-known for receiving patients (adults and children) from all 158 over the world and, in particular from Latin America (but also Portugal, Spain, United States...). The 159 general procedure is similar for all incoming patients. The first week is dedicated to complete 160 evaluation of the patient by a multi-disciplinary team of neurologists, neuropsychologists, 161 neurophysiologists and clinicians to establish a diagnostic. Based upon this diagnostic, individualized 162 therapies are implemented to fulfill the specific needs of the patients (e.g. motor, cognitive, speech, 163 memory etc...). Typically, patients are involved in these different therapies from 8:30 until 12:00 am 164 and from 2:00 until 5:30 pm every day except Sundays (Saturday from 8:00 to 12:00). Each therapy 165 session lasts for one hour minimum. Importantly, at least one member of the family remains at the 166 CIREN during the entire stay of the patient to take care of him(her) when (s)he is not involved in the 167 therapies. The neuro-restorative program lasts for a minimum of 4 weeks but may last longer 168 depending upon the patient needs and the family economic situation. 169
- We took advantage of the organization of the neuro-restorative program at the pediatric clinic of the 170 CIREN to implement a protocol based on a Test – Training – Retest procedure. We had three main 171 objectives. First, we aimed at testing whether 10 to 15 min of MT would increase children's attention 172 and motivation in the subsequent speech and cognitive therapies that they received several times a 173 day. Second, it was of importance to determine whether music therapy improved musical abilities, 174 social behavior (verbal and nonverbal) and the quality of life of the children enrolled in the program 175 and of their parents. To reach the first and second aim, speech and occupational therapists were asked 176 to fill in questionnaires specifically designed for this experiment based on standard questionnaires 177 (see methods) and parents expressed their opinions in interviews. Finally, we aimed at testing the 178 feasibility of recording the Mismatch Negativity (MMN) from these children. As mentioned above, 179

- 180 the MMN is recorded under passive listening conditions and is taken to reflect preattentive auditory
- processing (Kujala & Näätänen, 2010; Näätänen et al., 1978). In this project, we implemented a 181
- typical MMN design with sequences of standard syllables intermixed with deviant syllables varying 182
- in pitch, duration and Voice Onset Time (VOT, a phonological parameter that allows differentiating 183
- "Ba" from "Pa", for instance). Our aim in recording the MMN from a sample of the children included 184
- in the present experiment was to gain objective information on the specific acoustic cues (pitch, 185
- duration or VOT) that the child would be most sensitive to and thereafter use this cue to orient 186
- subsequent speech therapy. For instance, if one child is most sensitive to frequency, this is interesting 187
- information for the speech therapist that can then use voice frequency modulations in the speech 188
- 189 therapy program. Moreover, it was also of specific interest to examine changes in MMN amplitude
- and/or latency before and after the restorative program and the MT. 190

2. Materials and methods

2.1. **Participants**

191

192

- A total of twenty-seven children participated to this project that lasted from January to October 2013. 193
- The inclusion criteria were as follows: participation in the neuro-restorative program at CIREN for at 194
- least 4 weeks, age between 3 and 12 years old; Spanish as native language; functional Auditory 195
- Brainstem Responses (ABRs) and parents' consent. Out of the 27 children, all from Latin American 196
- countries (Argentina, Chile, Mexico and Venezuela), 17 were randomly assigned to the experimental 197
- group (7 girls) and 10 to the control group (3 girls). The mean age of the children was not 198
- significantly different in the experimental (mean=6.83, SD=3.22) and in the control group 199
- (mean=6.80, SD=3.77; U-Mann Whitney test for independent samples: p= 0.44). The socio-200
- economic background of the family was assessed based on the family income as determined from the 201
- parent(s) profession (scale from 1 to 4: Working class=1, Low middle class=2, Middle class=3, 202
- Upper middle class=4) and was not significantly different between the experimental (mean = 2.66, 203
- SD= 1.18) and control groups (mean=2.55, SD=1.13; p=0.71). The level of education of the parents 204
- was also assessed based on the number of years of education (including primary school) and was 205
- again not significantly different between the experimental (mean= 14.3 years, SD=4.14) and control 206
- groups (mean= 13.1 years, SD=4.9; p=0.36). 207
- During the first evaluation week, a comprehensive battery of standard psychometric and 208
- neuropsychological tests (Progressive Matrices Test (Raven et al.1998), Wechsler intelligence scale 209
- children WISC-r (Wechsler, 1974), Brunet-Lezine psychomotor scale (Brunet & Lezine, 1978), 210
- Children neuropsychological scale ENI (Rosselli et al. 2001), as well as routine 211
- ElectroEncephaloGram (EEG) recordings and neuroimaging (structural anatomy using Magnetic 212
- Resonance Imaging (1.5 T MRI) were performed to establish or to confirm neurological diagnosis 213
- and to propose individualized neuro-restorative programs. Screening included search for associated
- 214
- epilepsy, mental retardation, ophthalmologic and/or hearing impairments, speech and language 215
- 216 disorders as well as oral-motor dysfunctions. Cognitive assessments revealed a high proportion of
- mental and psychomotor retardation (n=6), apraxia (n=12) and attention (n=16) impairments. 217
- Moreover, out of the 27children with brain damage, 19 suffered from Static Lesions of the Central 218
- Nervous System (SLCNS; 12 in the experimental group and 7 in the control group) of prenatal and/or 219
- perinatal origins that were expressed in cerebral palsy (n=12, with spastic diparesia subtype) or in 220
- cognitive and/or language disorders (n=9). Seven children (5 in the experimental group and 2 in the 221
- 222 control group) were diagnosed with other disorders including Autistic Spectrum Disorder (ASD,
- n=2), generalized dystonia (n=1), neurofibromatosis (n=1) and paraplegia due to spinal cord injury 223
- (n=2). Finally, 12 children showed abnormal EEG, 6 children had unilateral impairments in the 224
- 225 Auditory Brainstem Responses (ABRs) and MRI showed that 22 children had brain structural

- abnormalities (see Table 1). While we are aware that ideally, homogenous groups of patients should
- be included in such an experiment, this was not possible considering the heterogeneity of the specific
- lesions and associated behaviors of the children who were enrolled at CIREN.
- 229 **2.2.** Ethics
- This study was approved by the scientific council of CIREN and received necessary support from the
- 231 direction of the hospital. The project was conducted in accordance with norms and guidelines for the
- protection of human subjects. Informed consent from the doctors and therapists of the neuro-pediatric
- clinic was granted before the start of the project. Parents were informed in details of the procedure
- (see below) and on the music training program that was described as an interesting and rewarding
- experience for their children. Parents who agreed with the project signed an informed consent form.

236 2.3. Occupational and speech therapy questionnaires

- 237 The study comprised three phases: Test Rehabilitation –ReTest.
- During the evaluation week (Week 1), the occupational and speech therapists met the child and filled
- a questionnaire build from standard questionnaires (MacArthur-Bates Inventario I and II, (Jackson-
- 240 Maldonado et al. 2003); Escala Autónoma Asperger-Autismo, (Belinchon et al., 2008); CUMANIN,
- 241 (Portellano Perez et al. 2000) around three main dimensions: motor behavior, social behavior and
- emotional behavior. The therapists received specific training to use the quantitative scale (no
- reaction= 1, relevant reaction= 5 best). Both therapists filled the same questionnaires again at Week 4
- 244 (and at Week 8 for the children that remained at CIREN for 8 weeks).
- 245 **Data Analysis:** Data from the questionnaires filled in by the occupational and speech therapists were
- analyzed first, by computing the means and standard deviation related to the three dimensions. These
- data were then submitted to non-parametric Sign-tests and to the Kruskal Wallis one way ANOVA to
- compare results in the Experimental MT group and in the Control group.
- The parent's questionnaire was administered by a specialist in Physical Medicine and Rehabilitation
- in an interactive interview that took around one hour and that was conducted twice, once at Week 1
- 251 (evaluation week) and once at the end of the restoration program (Week 4 or Week 8, depending
- upon how long the children remained at CIREN). The overall aim was to determine whether the
- parents observed changes in the behavior of their children (from MT or control groups) and how they
- evaluated different aspects of the rehabilitation program. Moreover, parents from children included in
- 255 the MT program were also asked whether they felt that their children was happy to participate in the
- 256 MT and whether they considered that MT increased the quality of life of their children.

2.4. Music Therapy

257

- MT was implemented three times a day, three days per week during 4 to 8 weeks for 10 to 15 min.
- before the speech and cognitive therapies (Total MT=360 min after 4 weeks and 720 minutes after 8
- weeks). Musical stimuli were presented to the children in a quiet, dedicated room, using a computer
- and external speakers. MT involved active music listening: while listening to the different musical
- excerpts the music therapist played games with the children to orientate their attention toward
- specific aspects of the music and to favor their active participation (e.g. throwing a ball to other child
- when changing from one music excerpt to the other, clapping hands/feet/fingers/moving head in
- 265 rhythm with the music, etc...). Four different sequences of musical excerpts were prerecorded and
- each was used in different sessions to avoid habituation. Musical pieces with different characteristics

301 302

- 267 (rhythm, melody, intensity and timber) were selected based on a pilot study conducted in the same
- 268 clinical settings at CIREN (April to July 2012) with a sample of 17 pediatrics patients (the list of
- 269 musical pieces is available upon request and included excerpts from, Vivaldi, Benny More, Mozart,
- Piazzola, etc.). Each sequence was built in such a way as to start with quiet music pieces, continue
- with more dynamic, entraining musical pieces and end with more quiet pieces.
- 272 Children in the control group were not involved in extra activity equivalent to MT, but they received
- 273 more of the classic neuro-restoration program. Ideally, it would have been important for children in
- 274 the control group to participate in an extra activity to control for attention and motivation but this was
- 275 not possible to implement for practical reasons.

2.5. Evaluation of children in the music therapy group

- 277 The level of performance of the children in the experimental group was evaluated by the music
- therapist by using three different tests. *Performance Scale*: this scale comprised 5 domains: motor
- ability, attention, emotion, imitation and communication. At the end of each MT day, the therapist
- filled a 5 points scale for each child (from 0 -poor level of performance- to 5 -high level of
- performance). Averaged individual scores were computed weekly (i.e., over 9 MT sessions).
- Comparisons are reported between Week 1, Week 4 (all children) and Week 8 (for the subset of
- children who stayed for 8 weeks).
- 284 *Rhythm test* (subtest of CUMANIN, (Portellano Perez et al., 2000): children were asked to reproduce
- a rhythmic sequence played by the therapist by clapping her hands. The number of taps and their
- grouping increase in difficulty (from level 1 to 7). Rhythmic performance was evaluated using a 5
- points scale (0 poor and 5 exact reproduction). For data processing, a score equal or superior to 4 was
- 288 considered as an index of successful rhythmic reproduction.
- 289 "Musical" test: this test was developed by KMM & MB to evaluate the orientation response,
- 290 perceptual discrimination and attention. Fifteen music excerpts were presented twice to the children,
- once in their original version and once in a modified version that included abrupt changes in timber,
- 292 harmony and melody. This test was presented twice, under implicit and explicit conditions. In the
- implicit condition, the music therapist watched the child reaction (1:no reaction and 5: child clearly
- reacted to the changes). In the explicit conditions, children were given the following instructions:
- "We are going to play a little game. You listen to the music and you raise a finger/arm/foot/leg/move
- your head... if you hear something surprising" (scores varied from 1: (no reaction to 5: (detect
- surprising events at the right time).
- The Rhythm and "musical" tests were administered to each child individually at different time points
- during the therapy (Week 1, Week 4 and Week 8) and scores corresponding to the three time points
- were compared using pairwise nonparametric Sign Tests and Kruskal Wallis one-way ANOVA.

2.6. Electrophysiology experiment

- 303 **Stimuli:** Children were presented with a sequence of syllables (Consonant-Vowel structure) with the
- 304 syllable "Ba" serving as standard stimulus and deviant stimuli in vowel frequency, vowel duration
- and Voice Onset Time (VOT; the syllable "Pa"). The standard stimulus "Ba" had a fundamental
- frequency (F0) of 103 Hz, vowel duration of 208 msec and VOT of 70msec for a total duration of
- the stimulus of 278 msec. For frequency deviant syllables, the F0 of the vowel was increased to 155
- Hz using Praat (Boersma & Weenink, 2001). For duration deviant syllables, vowel duration was
- shortened by 75 msec using Adobe Audition, for a total syllabic duration of 203 msec. Finally, for
- VOT deviant syllables, the VOT was 70 msec. shorter than for the standard syllable for a total
- 311 duration of 208 msec.

- Procedure: The EEG was recorded from a subset of 12 children (out of 17) from the experimental
- MT group (mean age 7.78, SD 3.01; 5 girls) and from 6 children (out of 10) from the control group
- 314 (mean age 7.47, SD 3.7; 2 girls; see Table 1). Children who required anesthesia for EEG recordings
- during the first evaluation week were not included in the subgroup because we did not want to repeat
- the anesthesia at the end of the MT program (Retest session, see below). Thus, EEG was recorded pre
- and post training without anesthesia. During EEG recordings, children were told to watch a silent
- movie without paying attention to the sounds that were presented through headphones. Standard
- 319 syllables and frequency, duration, and VOT deviant syllables were randomly presented within the
- auditory sequence with a fixed Stimulus Onset Asynchrony of 600 msec. A total of 920 stimuli were
- presented binaurally and pseudo-randomly (at least one standard stimulus between each deviant
- stimulus) with 76% standard stimulus and 8% for each type of deviant stimulus. All stimuli were
- 323 presented within a single block that lasted for 8 min.
- Recordings: EEG was recorded continuously at a sampling rate of 200 Hz using a MEDICID IV
- amplifier system (Neuronic, Cuba) from 19 active Ag-Cl electrodes at standard positions of the
- 326 International 10/20 System (Jasper, 1958): Fp1, Fp2, F7, F8, F3, F4, C3, C4, T5, T6, T3, T4, P3, P4,
- O1, O2, Fz, Cz, Pz and nose. Data were re-referenced off-line to the algebraic average of the left and
- right mastoids and filtered with a bandpass of 1-30 Hz (12 dB/oct).
- Data analyses: EEG data were analyzed using EEGLab(Delorme & Makeig, 2004) and the Neuronic
- Analysis software (Version 3.0.2.0; <u>www.Neuronicsa.com</u>). EEG recordings were segmented into 600
- msec. epochs (from -100 msec. until 495 msec. post-stimulus onset) time-locked with stimulus
- presentation. Epochs with electric activity exceeding baseline activity by $\pm 100 \,\mu\text{V}$ were considered
- as artifact and were automatically rejected from further processing. The percent of rejected trials was
- calculated by experimental condition (25% standard, 24% frequency, 22% duration, 25% VOT). The
- selected EEG artifacts free trials of each child were averaged for the four types of stimuli (standard,
- frequency, duration and VOT) in the PRE and POST conditions.

337 **3. Results**

338 3.1. Comparison between Experimental MT group and Control group

- Results are reported for the comparisons between Week 1 (before therapies started) and Week 4
- 340 (after therapies started). The number of children who remained at CIREN for 8 weeks was too
- unbalanced (Occupational therapy: MT n=8 and Control n=4) or too small (Speech therapy: MT n= 3
- and Control n = 3) to conduct further analyses.
- Occupational therapy: This questionnaire relates to all children involved in the neuro-restoration
- program (MT: n= 17 and Control = 10). Using pairwise Sign-tests, results showed significant
- improvements on motor behavior: Week 1 (mean=3.30) and Week 4 (mean=3.76, z=2.69, p=0.007),
- social behavior: Week 1 (mean=2.64) and Week 4 (mean=3.33, z=3.20, p=0.001) and emotional
- behavior: Week 1 (mean=3.07) and Week 4 (mean=3.60, z=2.91, p=0.003).
- Using the Kruskal Wallis non parametric one way ANOVA, results showed that the main effect of
- Group was only significant for motor behavior (ChiSq(2) = 3.84, p<.04) with larger improvements
- from Week 1 to Week 4 in the experimental MT group (0.66) than in the control group (0.35). No
- 351 significant between-groups differences were found on the other dimensions.
- **Speech therapy:** This questionnaire relates to the subset of children who followed speech therapy
- (MT: n= 9 and Control = 8). For the three main dimensions that were considered, motor behavior,
- social behavior and emotional behavior, results of pairwise Sign-tests showed that the improvement
- between Week 1 and Week 4 was significant for motor behavior (z=2.75, p<0.005) but not

- 356 significant for the other dimensions.
- Using the Kruskal Wallis non parametric one-way ANOVA, results showed that the main effect of
- 358 Group was also significant for motor behavior (ChiSq=4.5, p<.03): the improvement between Week1
- and Week 4 was larger in the MT group (1.0) than in the control group (.69).
- Evaluation of the parents' interview: Complete information was obtained from 18 parents (MT, n=
- 15 and Control, n = 3). Because of the unbalanced number in the two groups, it was not possible to
- 362 conduct quantitative analyses. Qualitative observations are reported below.
- In the initial interview, all parents (n=18) reported that their children enjoyed music and were able to
- pay attention to music. They also believed that music can help their children in their everyday life.
- Only one question, related to rhythmic movement associated with music, was negatively rated by
- parents (n=4) of children with spasticity or dystonia. The majority of children had previous
- experiences with different types of music therapy in their countries and parents generally agreed on
- 368 the importance of this intervention.
- In the final interviews, the positive aspects noted by parents of children in the MT group (n=15) were
- 370 related to increased motivation, sociability and auditory attention. In particular, they noted that MT
- improved motivation so that children more easily engaged in functional games during the speech and
- occupational therapies, were less angry and less irritable and were more likely to allow facial
- massages. Related to sociability, parents noted that their children were more understanding and
- 374 complying with complex requests and that the collaboration with adults was improved. Overall,
- 375 children seemed more communicative and more relaxed. Finally, related to auditory attention, parents
- noted that their children listened more carefully to adult' speech, that they tend to apply during
- speech and occupational therapies the listening strategies learned during MT and that they paid more
- attention to music outside the MT therapy. Some children spontaneously started singing songs to
- their parents. May be most importantly, parents noted that their children were very happy to go to the
- 380 music therapy sessions.

- 381 The positive aspects noted by the parents of children in the control group (n=3) were mainly related
- to social behavior (e.g., improved verbal and nonverbal communication, increased contact with other
- children and adults). Finally, two parents (from one child in MT group and from one child in control
- group) also mentioned negative aspects related to increased irritability in their children, possibly
- because children were taking new anti-epileptic medications.

3.2. Evaluation of children in the experimental MT group

- **Behaviour:** the music therapist did evaluate the level of performance of the children at Week 1
- 388 (n=17), Week 4 (n=17) and at Week 8 (n=9) on five psychological scales related to motor ability,
- attention, emotional behaviour, imitation and communication. Using pairwise Sign tests, results
- revealed significant improvements from Week 1 to Week 4 on all dimensions (all p<0.005,) and
- from Week 1 to Week 8 (all p<0.04) but only marginally significant improvements from Week 4 to
- 392 Week 8 (all p<0.08; see Table 2).
- 393 Using Kruskal Wallis tests, results showed that the main effect of Session was significant for each
- dimension (p<.00l, see Table 2). Moreover, results of post hoc Tukey HSD tests revealed that the
- improvements from Week 1 to both Week 4 and Week 8 were significant for each dimension (p<.05)
- but improvements from Week 4 to Week 8 were not significant (p>.05) except for the attention and
- communication dimensions (p<.05; see Table 2).
- 398 **Specific music tests:** Three children could not perform either the rhythm reproduction tests or the
- "musical" test. The rest were tested at Week 1 (n=14), at Week 4 (n=14) and at Week 8 (n=9).

- 400 **Rhythm reproduction test** (subtest of CUMANIN): Using pairwise Sign-tests, results showed that
- 401 the improvement was not significant from Week 1 (mean=0.43, Std=1.16, median=0) to Week 4
- 402 (mean=1.43; std=2.14, median=0; z=1.22, p>.22) and from Week 1 to Week 8 (mean=2.75, std=1.83,
- median=2.50; z=1.51, p>.13). However, the improvement was significant from Week 4 to Week 8
- 404 (z=2.27, p<.03), that is for the subset of children (n=9) that remained longer.
- 405 Using the Kruskal Wallis test, results showed that the main effect of Session was significant
- 406 (ChiSq(2) = 10.13, p<.007). Results of post hoc Tukey HSD tests showed significant improvements
- from Week 1 (0.43) to Week 8 (2.75, p<.05). However, mean scores in Week 4 (1.43) were in
- between and not significantly different either from Week 1 or from Week 8 (both p>.05).
- 409 **Explicit "musical" test:** Using sign tests, results showed increased level of performance from Week
- 410 1 (mean score = 23.57, Std = 11.48, median = 19.00) to Week 4 (mean score = 34.00; std= 14.28,
- 411 median=29.50; z=2.60, p<0.01), from Week 1 to Week 8 (mean score =46.88, std=12.14,
- 412 median=47.00; z=2.47, p<0.02) and from Week 4 to Week 8 (z=2.47, p<0.02).
- 413 Using the Kruskal Wallis non parametric test, results showed that the main effect of Session was
- significant (ChiSq(2) = 12.17, p<.003). Results of post hoc Tukey HSD tests showed significant
- improvements from Week 1 to Week 8 (p<.05). However, mean scores in Week 4 were in between
- and not significantly different from Week 1 or Week 8 (p>.05).
- 417 **Implicit "musical" test:** Using pairwise Sign-tests, results showed increased level of performance
- 418 from Week 1 (mean score=29.43, std=11.61, median=27.50) to Week 4 (mean score=41.21,
- 419 std=16.33, median=42.50; z=2.77, p<.006), from Week 1 to Week 8 (mean score=54.13, std=13.34,
- 420 median=53.50; z=2.47, p<.02) and from Week 4 to Week 8 (z=2.47, p<.02).
- 421 Using the Kruskal Wallis non parametric test, results showed that the main effect of Session was
- significant (ChiSq(2) = 11.49, p<.004). Results of post hoc Tukey HSD test showed improvements
- from Week 1 to Week 8 (p<.05). Again, mean scores in Week 4 were in between and not
- significantly different from Week 1 or Week 8 (p>.05).
- Finally, results of pairwise Sign-tests showed that implicit mean scores were higher than explicit
- mean scores at Week 1 (z=3.18; p<.0002), Week 4 (z=3.18; p<.0002) and Week 8 (z=2.47; p<.02).
- Results of the Kruskal Wallis test also showed that mean scores in the implicit musical test were
- significantly higher than mean scores in the explicit test (ChiSq(1) = 4.32, p<.04).

429 3.3. Correlation analyses between different instruments

- To determine whether results at the different scales and questionnaires employed in our study were
- correlated, we compared scores at Week 1 and at Week 4 using one-tailed Spearman correlation
- 432 coefficient. Importantly, results revealed significant correlations between the social behavior subscale
- of the parent's interview and the subscales of the occupational therapy questionnaire; social behavior
- 434 (p=0.009), emotional behavior (p=0.04) and motor behavior (p=0.05). Thus, the improvements noted
- by the parents were in agreement with the ratings of the occupational therapists. Turning to results in
- 436 the MT group, scores on emotional behavior were significantly correlated in MT performance and
- speech therapy subscales (r=0.528, p=0.02).

438 **3.4. ERPs data**

- 439 Close examination of the ERPs data revealed large inter-individual variability which was expected
- due to the heterogeneity of the various brain lesions and neurological disorders of the children tested
- in this study. No clear pattern emerged from a quantitative comparison of the experimental and
- control group. Nevertheless, on the basis of visual inspection of the data, two suggestive patterns

- emerged, independently of whether children belonged to the experimental or to the control groups:
- 444 For a group of children, called the "P250 responsive group", ERPs were more positive between 200
- and 300 ms post-stimulus onset (P250 component) after the neuro-restoration and MT programs than
- before this intervention.
- 447 For the other group of children, called the "N300 responsive group", ERPs were more negative
- between 300 and 400 ms post-stimulus onset (N300 component) after the neuro-restoration and MT
- programs than before this intervention.
- Thus, we computed separate averages for these two sub-groups of children both in the experimental
- and in the control groups.
- 452 For the P250 responsive group, as can be seen in Figure 1, the ERPs to standard stimuli and VOT
- deviant stimuli overlap for the experimental and control subgroups before the start of the restoration
- and MT programs. By contrast, after the programs, the amplitude of the P250 components elicited by
- standard stimuli and VOT deviant stimuli were clearly larger in the experimental than in the control
- group. The parietal scalp distribution of this positive component is an indication that this component
- may belong to the P3 family (P3b) and may reflect increased auditory reactivity after the MT
- 458 program.

- 459 For the N300 responsive group, as can be seen on Figure 2, the pattern of results is quite different
- 460 from the P250 responsive group. Again, there is good overlap of the ERPs to standard stimuli and
- VOT deviant stimuli in the experimental and control subgroups before the start of the restoration and
- MT programs. By contrast, after the programs, a negative component peaking around 300 ms (N300)
- developed after both standard stimuli and VOT deviant stimuli, with larger amplitude in the
- experimental than in the control group.
- While these observations are encouraging for further studies, the small number of children included
- in the subgroups (from 3 to 6), precluded to conduct further analyses

4. Discussion

- Overall results of this experiment showed that all children improved from Week 1 to Week 4 on the
- three dimensions (motor, social and emotional behaviors) that were tested through the occupational
- and speech therapies questionnaires. Children from the experimental MT group only differed from
- children in the control group on the motor behavior dimension. Moreover, children in the MT group
- showed improvements on the five dimensions (attention, emotion, imitation, communication and
- motor behavior) of the psychological scales with larger improvements from Week 1 to Week 4 than
- from Week 4 to Week 8. By contrast, overall results of the specific music tests (rhythm reproduction
- and explicit and implicit "musical" tests) showed significant improvements from Week 1 to Week 8
- but not from Week 1 to Week 4. Importantly, in the MT group and for the emotional dimension,
- scores on the psychological scale were correlated with scores in the speech therapy questionnaire.
- 478 More generally, results of correlation analyses showed that the improvements noted by the parents
- were correlated with those reported by the occupational therapist on the social, emotional and motor
- behavior subscales. Finally, results of parents' interviews revealed that they considered that MT had
- a positive influence on their children motivation, sociability and auditory attention. These different
- a positive influence on their clindren motivation, sociability and additory attention. These differen
- aspects are considered in turn in the following discussion.
- Considering first results from the occupational and speech therapies questionnaires for all children
- enrolled in the experiment, the finding of significant improvements from Week 1 to Week 4 on the
- three dimensions that were tested (social, emotional and motor behaviors) shows the positive impact
- of the neuro-restoration program implemented at the neuro-pediatric clinic of the CIREN. However,
- one can argue that since the occupational and speech therapists were involved in the rehabilitation,
- 488 there is a potential bias toward obtaining positive results. While this may be the case, correlation

489 analyses showed that the improvements noted by the occupational therapist were correlated with those reported by the parents (who tend to be more critical because of their high expectations 490 regarding the outcome of the program) on the social, emotional and motor behavior subscales. Even 491 if results converge in showing improvements on these dimensions, it will be important in future 492 experiment for children to be tested by occupational and speech therapists that are not directly 493 involved in the neuro-restoration program at CIREN. 494 Results from the occupational and speech therapies questionnaires revealed significant between-495 groups differences for the motor behavior dimension with larger motor improvements in the MT 496 group than in the control group. The neuro-restoration program includes motor rehabilitation and all 497 498 children involved in the experiment were receiving this therapy to variable extents depending upon their specific impairments. However, dancing (or moving any part of the body) was encouraged 499 during music therapy and children very much enjoyed this aspect. Thus, MT provided increased 500 motor training possibly linked to an increased motivation to move due to the entraining function of 501 music (e.g., salsa, tango etc...). These two effects may contribute to the further improvement found in 502 the MT group. This interpretation is in line with results of McIntosh et al. (McIntosh et al., 1997) 503 504 showing that music facilitates initiation of walking in Parkinson patients. Forsblom et al (Forsblom et al., 2010) also showed that the stroke patients tested in their study considered music listening as 505 favoring engagement in motor activity. Based on these results, it would be useful to implement motor 506 rehabilitation programs associated with entraining musical pieces. 507 Turning to children in the MT experimental group, results also showed improvements on the five 508 dimensions (attention, emotion, imitation, communication and motor behavior) of the psychological 509 scales. Several results in the psychology of music and neuroscience of music literature have shown 510 511 that music training is associated with increased attentional abilities (Tervaniemi et al., 2009); see Besson et al. (2011) for a review). For instance, recording brain electrical activity and analyzing 512 ERPs, Marie (Marie et al. 2011) reported shorter latency and larger amplitude of ERP components 513 associated to categorization and decision processes (N2/N3 and P3b components, respectively) in 514 adult French musicians compared to nonmusicians in a same-different task on tone and segmental 515 variations in Mandarin Chinese. These results were interpreted as reflecting enhanced auditory 516 517 cognitive and attentional abilities in musicians. Similarly, Bauman (Baumann et al., 2007) showed that focussed attention on sine wave tones was associated with an early negative component in 518 519 musicians but not in nonmusicians which again suggested that music training influenced top-down 520 attentional processes. Moreover, Särkämo(Särkämö et al., 2008) also reported enhanced focussed 521 attention in stroke patients after music listening. While fewer studies have been conducted with children, Chobert (Chobert, et al., 2011) reported enhanced preattentive processing of French 522 523 syllables varying in pitch, duration and VOT in musician than in nonmusician children. The relationship between music and emotion has been extensively studied and it is common 524 knowledge that different types of music can induce different emotions and mood. Importantly, and as 525 mentioned in the introduction, Forsblom (Forsblom et al., 2010) found that music listening 526 contributed more than audiobook listening to recovery and positive mood changes in stroke patients 527 and Särkärmo (Särkämö et al., 2008) showed that only music listening prevented depression in the 6 528 529 months following the stroke. Moreover, Narme(Narme et al., 2012) showed that music interventions were associated with larger improvements in emotional states than painting or cooking interventions. 530 Similarly, Särkämo and collaborators (Särkämö et al., 2013) showed positive changes in the mood 531 532 and the quality of life of Alzheimer patients immediately after and 6 months after the music intervention and Janata (Janata, 2012) reported reduced level of agitation and depression in 533 Alzheimer patients listening to music programs several hours per day. Finally, our results showed 534 535 that MT improved imitation and communication possibly because the music therapist encouraged children to reproduce the movements that she performed on-line with the music (e.g., clapping hands 536

- in rhythm with the music, rising hands or fingers or moving the head with melodic changes in the
- musical excerpts, etc...). Children were also encouraged to share with each other's the emotions and
- movements induced by the music.
- However, and as discussed above for the results of the occupational and speech therapies
- questionnaires, the music therapist was at the same time providing the MT interventions and filling
- 542 up the questionnaires to test for the results of the therapy. Even if the therapist performing the
- therapy is probably the best person to evaluate changes in children's behavior because she worked
- with them regularly three days per week, there is a potential bias toward obtaining positive results.
- However, two sets of results provide evidence that such a bias is not the unique explanatory source.
- First, correlation analyses showed that for the emotional dimension, scores on the psychological scale
- were correlated with scores in the speech therapy questionnaire and the two therapists did not discuss
- results together. Moreover, analyses of the parents' interviews also revealed that they considered that
- MT had a positive influence on motivation, sociability and auditory attention. Second, results showed
- larger improvements from Week 1 to Week 4 than from Week 4 to Week 8 which is not expected
- based on the hypothesis of a positive bias toward "more music therapy better results". Note that the
- reasons why the effects of MT were smaller during the second month of the intervention are unclear
- but it may be that children tend to habituate to the music sessions with decreased positive effects of
- MT with longer therapy. Based on these results, it would be of interest in future experiments to test
- for the effect of an On-Off music therapy program (e.g., On for 4 weeks, Off for 2 weeks, On for 2
- weeks...). It would also be important to compare the results obtained by the music therapist
- performing the therapy and those obtained by another therapist not directly involved in the
- rehabilitation program.
- It is interesting to note that in contrast to results showing larger improvements from Week 1 to Week
- 4 than from Week 4 to Week 8 on the several dimensions of the psychological scales, results of the
- rhythm reproduction test (CUMANIN) showed significant improvements from Week 1 to Week 8
- but not from Week 1 to Week 4. Thus, a rather long period of training is necessary for the
- improvement in rhythmic reproduction to be significant. The level of performance of the children in
- Week 1 was 0.43, a score close to what reported for children with Down syndrome (0.36, SD= 0.50;
- (Barba Colmenero & Robles Bello, 2012)). In the subset of children who stayed for 8 weeks, the
- level of performance increased to 2.75 (SD= 1.83) which should not, however, be considered as
- normal level (2.72, SD = 1.70) (Barba Colmenero & Robles Bello, 2012) because level 4 rather than
- level 7 was considered as correct reproduction and some of the children were older than in the Barba
- Colmenero 2012 study. That music therapy improved the reproduction of rhythmic patterns with
- increasing complexity was expected based on several results showing that musicians are more
- sensitive to several aspects of the music rhythmic structure than nonmusicians(e.g., (Vuust et al.,
- 572 2006). However, it is important that similar results can be obtained from children with severe
- 573 neurological impairments as those tested in the present study.
- Turning to the "musical" test, results were somewhat different depending upon the statistical test that
- was used to conduct the analyses (Sign-tests vs Kruskal Wallis tests). While results from the Sign-
- tests revealed significant improvements from Week 1 to Week 4, results of the more conservative
- 577 Kruskal Wallis tests only showed significant improvements from Week 1 to Week 8. Thus, more
- than one month of MT seems to be necessary for the children tested here to increase their sensitivity
- to abrupt changes (i.e., a combination of timber, harmonic and melodic changes) in the musical
- pieces. Finally, while similar results were obtained in the explicit and implicit music tests, mean
- scores in the implicit test were higher, as expected, than mean scores in the explicit test.
- In sum, results of this experiment are encouraging in showing effects of music therapy both on
- specific music tests (rhythm reproduction and detection of timber, harmonic and melodic changes in
- the musical pieces) and on more general aspects of the children behavior, in particular motor

585 behavior. In line with the literature, we used questionnaires to assess the effectiveness of music therapy (Mrazova & Celec, 2010). However, two caveats are in order. First, while the questionnaires 586 that we used were built from questionnaires published in the literature (MacArthur-Bates Inventario I 587 and II. (Jackson-Maldonado et al., 2003); Escala autónoma Asperger-Autismo, (Belinchon et al., 588 2008), they were not normalized with children without neurological problems. Second, our results are 589 based on the analyses of questionnaires filled by therapists actively involved in the neuro-restoration 590 591 program or the MT so that these measures may not be objective. Moreover, the use of questionnaires may not be sensitive enough to detect subtle changes in the children's behavior. However, because 592 the group of children involved in this program was very heterogeneous, including children with 593 594 different types of brain damage and diverse etiologies (see methods and Table 1), it was not possible to find an experimental test that can be performed by all of them. Moreover, the number of children 595 was not sufficiently larger to allow making subgroups of children with similar neurological problems. 596 In an attempt to find an objective measure of the influence of the neuro-restoration and MT 597 programs, we analyzed the ERPs from a subset of children at Week 1 (evaluation week) and at Week 598 4 (end of the neuro-restoration and MT programs). While the sample of children was too small to 599 compute statistical analyses and to draw firm conclusions from these data, they nevertheless lead to 600 interesting observations. First, while individual averages were highly variables from one child to the 601 other, it was nevertheless possible to note differences between Week 1 and Week 4. Furthermore, in 602 some children it was also possible to detect the type of deviants they were more sensitive to. While 603 these individual differences are difficult to quantify, it is our hope that new methods are developed 604 for analyzing clinical data (e.g., (Aarts et al., 2014) and for allowing the use of electrophysiological 605 measures as biomarkers bof the prognosis and the efficacy of the therapeutic intervention. Second, 606 607 even if the individual data were variable, it was nevertheless possible to identify two sub-groups of children with similar patterns of results (i.e., the P250 responsive group and the N300 responsive 608 group). While the small number of children in these subgroups precluded further analyses, these 609 between-group differences are encouraging us to collect more data in this experimental design. 610 In conclusion, results of this study provided clear indications that MT had a positive influence on 611 motor behavior, possibly because the children in the MT group benefitted from the entraining and 612 613 motivational effects of music. Moreover, they are encouraging in showing that the use of different methodologies based on the analyses of questionnaires, specific tests and recording of the ERPs 614 provide complementary information on the efficiency of the neuro-restoration and MT programs. 615

616 617

618 619

620

5. Acknowledgement

Thanks to all the children and parents who participated in the study. This experimental program was implemented during Prof. Besson was on sabbatical (2012) at the CIREN and CNEURO. We are very grateful to the nurses and physical therapists who devoted a lot of their time to this investigation. Finally, our special thanks to Dr. Lidice Galan for her valuable statistical advices.

621622623

6. References

- Aarts, E., Verhage, M., Veenvliet, J. V, Dolan, C. V, & Sluis, S. Van Der. (2014). perspective A
- solution to dependency: using multilevel analysis to accommodate nested data. *Nature Neuroscience*,
- 626 17(4), 491–496. doi:10.1038/nn.3648
- Accordino, R., Comer, R., & Heller, W. B. (2006). Searching for music 's potential: A critical
- examination of research on music therapy with individuals with autism. *Research in Autism Spectrum*
- 629 *Disorders*. doi:10.1016/j.rasd.2006.08.002

- Ashwal, S., Russman, B. S., Blasco, P. A., Miller, G., Sandler, A., Shevell, M., & Stevenson, R.
- 631 (2004). Practice parameter: diagnostic assessment of the child with cerebral palsy: report of the
- Ouality Standards Subcommittee of the American Academy of Neurology and the Practice
- 633 Committee of the Child Neurology Society. *Neurology*, 62(10), 851–863.
- Bangert, M., Peschel, T., Schlaug, G., Rotte, M., Drescher, D., Hinrichs, H., & Altenmüller, E.
- 635 (2006). Shared networks for auditory and motor processing in professional pianists: evidence from
- 636 fMRI conjunction. *Neuroimage*, *30*(3), 917–926.
- Barba Colmenero, F., & Robles Bello, M. A. (2012). Utility of the CUMANIN questionnaire for
- detecting differences in two groups of preschool aged children in an Early Care program. *Electronic*
- 639 *Journal of Research in Educational Psychology*, 10(1), 311–332.
- Baumann, S., Koeneke, S., Schmidt, C. F., Meyer, M., Lutz, K., & Jancke, L. (2007). A network for
- audio-motor coordination in skilled pianists and non-musicians. *Brain research*, 1161, 65–78.
- Belinchon, M., Hernandez, J. M., Martos, J., Sotillo, M., Marquez, M. O., & Olea, J. (2008). Escala
- Autonoma para la detección del sindrome de asperger y el autismo de alto nivel de funcionamiento.
- Madrid: Universidad autonoma de Madrid.
- Besson, M., Chobert, J., & Marie, C. (2011). Transfer of training between music and speech:
- 646 common processing, attention, and memory. Frontiers in Psychology, 2(May), 1–12.
- 647 doi:10.3389/fpsyg.2011.00094
- Boersma, P., & Weenink, D. (2001). Praat. A system for doing phonetics by computer. [Computer
- software.
- Brunet, O., & Lezine, I. (1978). Escala para medir el desarrollo psicomotor de la primera infancia.
- Manual de instrucciones. Madrid: MEPSA.
- 652 Chobert, J., Marie, C., François, C., Schön, D., & Besson, M. (2011). Enhanced Passive and Active
- Processing of Syllables in Musician Children. Journal of Cognitive Neuroscience, 23(12), 3874–
- 654 3887.
- 655 Cracchiolo, J. R., Mori, T., Nazian, S. J., Tan, J., Potter, H., & Arendash, G. W. (2007). Enhanced
- cognitive activity—over and above social or physical activity—is required to protect Alzheimer's
- mice against cognitive impairment, reduce $A\beta$ deposition, and increase synaptic immunoreactivity.
- 658 Neurobiology of learning and memory, 88(3), 277–294.
- 659 Delorme, A., & Makeig, S. (2004). EEGLAB: an open source toolbox for analysis of single-trial
- EEG dynamics including independent component analysis. Journal of Neuroscience methods, 134,
- 661 9–21. doi:10.1016/j.jneumeth.2003.10.009
- Forsblom, A., Särkämö, T., Laitinen, S., & Tervaniemi, M. (2010). The Effect of Music and
- Audiobook Listening on People Recovering From Stroke: The Patient's Point of view. Music and
- 664 *Medicine*, 2(4), 229–234. doi:10.1177/1943862110378110
- 665 Gomez-Pinilla, F., & Hillman, C. (2013). The Influence of Exercise on Cognitive Abilities.

- 666 *Comprehensive Physiology*, 3(January), 403–428. doi:10.1002/cphy.c110063
- 667 Grau-Sanchez, J., Amengual, J., Rojo, N., Veciana, M., Montero, J., Rubio, F., Altenmuller, E., et al.
- 668 (2013). Plasticity in the sensorimotor cortex induced by Music-supported therapy in stroke patients:
- a TMS study. Frontiers in human neuroscience, 7(September), 1–11. doi:10.3389/fnhum.2013.00494
- Hall, C. B., Lipton, R. B., Sliwinski, M., Katz, M. J., Derby, C. A., & Verghese, J. (2009). Cognitive
- activities delay onset of memory decline in persons who develop dementia. Neurology, 73(5), 356–
- 672 361.
- Jackson-Maldonado, D., Bates, E., & Thal, D. J. (2003). MacArthur-Bates Inventario I Primeras
- Palabras y Gestos. Paul H. Brookes.
- Janata, P. (2012). Effects of widespread and frequent personalized music programming on agitation
- and depression in assisted living facility residents with Alzheimer-type dementia. Music and
- 677 *Medicine*, 4(1), 8–15.
- Kim, J., Wigram, T., & Gold, C. (2008). The Effects of Improvisational Music Therapy on Joint
- Attention Behaviors in Autistic Children: A Randomized Controlled Study. J Autism Dev Disord,
- 680 38, 1758–1766. doi:10.1007/s10803-008-0566-6
- Kim, J., Wigram, T., Gold, C., & Kim, J. (2009). Emotional, motivational and interpersonal
- responsiveness of children with autism in improvisational music therapy. *Autism*, 13, 389–409.
- 683 doi:10.1177/1362361309105660
- Kujala, T., & Naatanen, R. (2010). The adaptive brain: A neurophysiological perspective. *Progress*
- *in Neurobiology*, *91*, 55–67. doi:10.1016/j.pneurobio.2010.01.006
- Lahav, A., Saltzman, E., & Schlaug, G. (2007). Action representation of sound: audiomotor
- recognition network while listening to newly acquired actions. *The journal of neuroscience*, 27(2),
- 688 308–314.
- Marie, C., Delogu, F., Lampis, G., Olivetti Belardinelli, M., & Besson, M. (2011). Influence of
- 690 musical expertise on tone and phoneme processing in Mandarin Chinese. *Journal of Cognitive*
- 691 *Neuroscience*, 23(10), 2701–2715. doi:doi: 10.1162/jocn.2010.21585
- McIntosh, G. C., Brown, S. H., Rice, R. R., & Thaut, M. H. (1997). Rhythmic auditory-motor
- facilitation of gait patterns in patients with Parkinson 's disease. Journal of Neurology,
- 694 *Neurosurgery and Psychiatry*, 62, 22–26.
- Meyer, M., Elmer, S., Baumann, S., & Jancke, L. (2007). Short-term plasticity in the auditory
- 696 system: differential neural responses to perception and imagery of speech and music. *Restorative*
- 697 *neurology and neuroscience*, 25(3), 411–431.
- 698 Mrazova, M., & Celec, P. (2010). A Systematic Review of Randomized Controlled Trials Using
- 699 Music Therapy for Children. The Journal of Alternative and Complementary Medicine, 16(10),
- 700 1089–1095. doi:10.1089/acm.2009.0430

- Münte, T. F., Altenmüller, E., & Jäncke, L. (2002). The musician's brain as a model of
- neuroplasticity. *Nature Reviews Neuroscience*, *3*(6), 473–478.
- Narme, P., Tonini, A., Khatir, F., Schiaratura, L., Clement, S., & Samson, S. (2012). Thérapies non
- médicamenteuses dans la maladie d'Alzheimer : comparaison d'ateliers musicaux et non musicaux.
- 705 Geriatr Psychol Neuropsychiatr Vieil, 10(2), 215–224. doi:10.1684/pnv.2012.0343
- Näätänen, R., Gaillard, A. W. K., & Mäntysalo, S. (1978). Early selective attention effect on evoked
- potential reinterpretes. Acta Psychol. (Amst.), 42, 312–3.
- Portellano Perez, J. A., Mateos Mateos, R., Martinez Arias, R., Tapia, A., & Granados, M. (2000).
- 709 *Manual CUMANIN, Cuestionario de Madurez, Neuropsicologica Infantil.* Madrid TEA Ediciones.
- Raven, J., Raven, J. C., & Court, J. H. (1998). Raven manual: Section 3. Standard progressive
- 711 matrices. Oxford, England: Psychologists Press.
- Robb, S. L., Burns, D. S., & Carpenter, J. S. (2011). Reporting Guidelines for Music-Based
- 713 Interventions. *Music and Medicine*, *3*(4), 271–279. doi:10.1177/1943862111420539
- Robb, S. L., & Carpenter, J. S. (2009). A Review of Music-based Intervention. *Journal of Health*
- 715 *Psychology*, *14*(4), 490–501. doi:10.1177/1359105309103568
- Rodriguez-Fornells, A., Rojo, N., Amengual, J. L., Ripolles, P., Altenmuller, E., & Munte, T. F.
- 717 (2012). The involvement of audio motor coupling in the music-supported therapy applied to stroke
- 718 patients. Annals of the New York Academy of Sciences, 1252, 282–293. doi:10.1111/j.1749-
- 719 6632.2011.06425.x
- Rosselli, M., Ardila, A., Bateman, J. R., & Guzman, M. (2001). Neuropsychological test scores,
- academic performance, and developmental disorders in Spanish-speaking children. *Developmental*
- *neuropsychology*, 20(1), 355–373.
- Sanes, J. N., & Donoghue, J. P. (2000). Plasticity and primary motor cortex. *Annual review of*
- 724 *neuroscience*, 23(1), 393–415.
- Schneider, S., Schonle, P. W., Altenmuller, E., & Munte, T. F. (2007). Using musical instruments to
- improve motor skill recovery following a stroke. J Neurol, 254, 1339–1346. doi:10.1007/s00415-
- 727 006-0523-2
- Stahl, B., Henseler, I., Turner, R., Geyer, S., & Kotz, S. A. (2013). How to engage the right brain
- hemisphere in aphasics without even singing: evidence for two paths of speech recovery. Frontiers
- 730 *in human neuroscience*, 7(February), 1–12. doi:10.3389/fnhum.2013.00035
- Särkämö, T., & Soto, D. (2012). Music listening after stroke: beneficial effects and potential neural
- mechanisms. Annals of the New York Academy of Sciences, 1252(1), 266–281.
- Särkämö, T., Tervaniemi, M., Laitinen, S., Forsblom, A., Soinila, S., & Mikkonen, M. (2008). Music
- 734 listening enhances cognitive recovery and mood after middle cerebral artery stroke. *Brain*, 131(3),

- 735 866–876.
- Särkämö, T., Tervaniemi, M., Laitinen, S., Numminen, A., Kurki, M., Julene, K., & Rantanen, P.
- 737 (2013). Cognitive, Emotional, and Social Benefits of Regular Musical Activities in Early Dementia:
- Randomized Controlled Study. *The Gerontologist*, 1–17. doi:10.1093/geront/gnt100
- 739 Tervaniemi, M., Kruck, S., Baene, W. D., Schröger, E., Alter, K., & Friederici, A. D. (2009). Top-
- down modulation of auditory processing: effects of sound context, musical expertise and attentional
- 741 focus. Eur. J. Neurosci, 30, 1636–1642.
- 742 Treiber, K. A., Carlson, M. C., Corcoran, C., Norton, M. C., Breitner, J. C., Piercy, K. W., DeBerard,
- M. S., et al. (2011). Cognitive stimulation and cognitive and functional decline in Alzheimer's
- 744 disease: the Cache County Dementia Progression Study. *The Journals of Gerontology Series B*:
- 745 Psychological Sciences and Social Sciences, 66(4), 416–425.
- Van de Wall, W. (1946). *Music in hospitals*. New York, USA: Russell Sage Foundation.
- Verghese, J., Lipton, R. B., Katz, M. J., Hall, C. B., Derby, C. A., Kuslansky, G., & Buschke, H.
- 748 (2003). Leisure activities and the risk of dementia in the elderly. New England Journal of Medicine,
- 749 *348*(25), 2508–2516.
- Vines, B. W., Norton, A. C., & Schlaug, G. (2011). Non-invasive brain stimulation enhances the
- effects of melodic intonation therapy. Frontiers in Psychology, 2(September), 1–10.
- 752 doi:10.3389/fpsyg.2011.00230
- Vuust, P., Roepstorff, A., Wallentin, M., Mouridsen, K., & Ostergaard, L. (2006). It don't mean a
- thing. Keeping the rhythm during polyrhythmic tension, activates language areas (BA47).
- 755 *NeuroImage*, *31*(8), 832–841.
- Wan, C. Y., Hohmann, A., & Schlaug, G. (2010). The therapeutic effects of singing in neurological
- disorders. *Music Perception*, 27(4), 287–295. doi:10.1525/MP.2010.27.4.287
- Wechsler, D. (1974). Manual for the Wechsler intelligence scale for children, revised. Psychological
- 759 Corporation.
- 760 7. Figure legends
- 761 Figure 1.Sub-averages for the "P250 responsive group". ERPs elicited by Standard stimuli (left
- side) and deviant stimuli in Voice Onset Time (VOT) before and after rehabilitation are compared in
- the Control (blue trace) and Experimental (red trace) groups. After rehabilitation, the amplitude of
- the parietally-distributed P250 component was larger in the Experimental than in the Control group.
- Time is in abscissa and the amplitude of the effects is in ordinate in microvolt (μV).
- Figure 2.Sub-averages for the "N300 responsive group". ERPs elicited by Standard stimuli (left
- side) and deviant stimuli in Voice Onset Time (VOT) before and after therapy are compared in the
- 768 Control (blue trace) and Experimental (red trace) groups. After rehabilitation, amplitude of the
- 769 frontally-distributed N300 component was larger in the Experimental group than in the Control
- 770 group.

771 **8. Tables**

772

Table 1. Clinical Characteristic of the Children

| Patient/gender | Age | Age Nationality Type of disease | | Expressed by | MRI | EEG/ MMN | ABRs |
|---------------------------|------|---------------------------------|-------------------------------|--|--|-------------------|------|
| Experimental G | roup | Į. | | | L | IVIIVII | |
| D.F./f | 6.85 | Argentina | SLCNS | Cognitive Deficit | Dysplasia in left temporal region | EA /MMN | Ok |
| A.J./f | 9.93 | Chile | SLCNS | Cerebral Palsy Cortical atrophies in insular region. Vascular lesion at left occipito- mesial area. Lesion at caudate nucleus | | MMN | Abn. |
| A.E.V. */f | 9.22 | Mexico | SLCNS | Cognitive deficits | Bilateral atrophy of hippocampus. | Abn /MMN | Ok |
| C.A.P./m | 8.79 | Mexico | SLCNS | Spastic Cerebral Cortical heterotopias deforming lateral ventricles at oval centers. Hypoxia signs. | | MMN | Abn. |
| Y.D./m | 5.43 | Venezuela | SLCNS | Cerebral Palsy | Left fronto-parietal sulci absents | EA /MMN | Abn. |
| A.Y.H./m | 7.67 | Venezuela | SLCNS | Cerebral Palsy | Subcortical lesions adjacent to upper lateral ventricles. Mild bilateral hippocampal atrophy. Pineal gland cyst. | | Ok |
| B.A.A. */f | 11.6 | Mexico | SLCNS | Cerebral Palsy | Cortical heterotopias deforming lateral ventricles. Right frontal atrophy. | | Ok |
| D.A. */m | 8 | Mexico | Neurodevelop ment Disorder | Non-verbal Learning Disability | Mild right fronto-temporal atrophy | EA /MMN | Ok |
| C.G.*/m | 4 | Mexico | SLCNS | Language impairment | Ok | MMN | Ok |
| A.H.H./m | 3.2 | Venezuela | SLCNS | Cerebral Palsy | Hypoxic lesions in peri- ventricle areas | MMN | Ok |
| S.C./m | 3.1 | Venezuela | SLCNS | Cerebral Palsy | Subcortical lesions adjacent to lateral ventricles. Mild atrophy left temporal lobe. Hypoplasia Corpus Callosum | MMN | Ok |
| X.B.L. */f | 7 | Mexico | SLCNS | Cognitive deficit | Ok | | Ok |
| A.S.N./f | 6 | Venezuela | Spinal Cord Lesion | Paraplegia | Ok | | Ok |
| L.Y.T./f | 11 | Venezuela | Idiopathic Dystonia | | Cortical atrophy (temporal lobe). Cavum vergae. Brainstem hypoplasia | No | Ok |
| M.S./m | 7 | Angola | Neurofibromat osis | | Tumor. Frontal atrophy. | EA | Abn. |
| D.F.*/m | 4.2 | Mexico | SLCNS | | Bilateral atrophy hippocampus. | EA | Ok |
| O.E.T.*/m | 5.8 | Mexico | ASD | | Left occipital lesion related to heterotopia area | EA | Abn |
| Control Group D.N.Q./f | 9.48 | Venezuela | SLCNS | Cerebral Palsy | Cortical atrophy. Cavum vergae. | Ok/ | Ok |
| A.J.B./m | 12 | Venezuela | Spinal Cord | Paraplegia | Ok | MMN No/ | Ok |
| E.C./m | 4.71 | Mexico | Lesion SLCNS | Cognitive deficits | Left occipital lesion (heterotopia) | MMN EA /MMN | Ok |
| S.G.*/f | 3.99 | Mexico | ADS | Rett Syndrome | Mild cortical atrophy more evident at temporal lobes. | | Abn |
| J.P.M./m | 3.95 | Venezuela | SLCNS | Cerebral Palsy | Periventricular heterotopias | /MMN MMN | No |
| K.P.R.*/f | 9.64 | Mexico | SLCNS | Cerebral Palsy | Ok | | Ok |
| L.A.C./m | 3 | Venezuela | SLCNS | Cerebral Palsy | Periventricular heterotopias. Corpus Callosum hypoplasia | | No |
| A.A.M.*/m | 4.96 | Mexico | SLCNS | Language Disorder | Diminished cranial diameter. Temporal Operculum atrophy | EA | Ok |
| E.H.S./m | 12 | Mexico | SLCNS post surgery | Cognitive Deficit | Generalized cortical atrophy. Hypoxic lesion at basal ganglia | Abn. | Abn |
| J.P.R.*/m | 4.6 | Mexico | SLCNS | Cerebral Palsy | Periventricular heterotopias | EA | ok |

SLCNS: Static Lesions of the Central Nervous System (when not explicit is by prenatal and/or perinatal causes); ASD: Autistic Spectrum Disorder. ABRs: Auditory Brainstem responses. * = quit after 4 weeks. Sex f=feminine, m=masculine. EEG/MMN: EA indicates Epileptiform abnormalities, SW: predominant slow waves, Abn: abnormal EEG or ABRs.

776

773

774

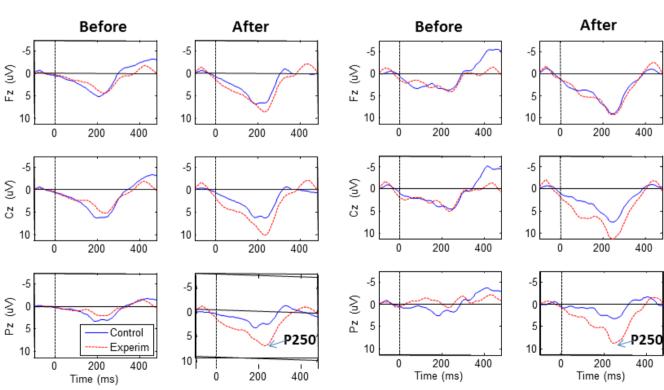
775

Table 2. Results of questionnaires related to five dimensions administered in the MT group. Means, Standard deviations (STD) as well as p-values from the non-parametric Kruskal-Wallis test and from post hoc Tukey HSD tests (correcting for multiple comparisons) are reported to compare scores in Week 1 (17 children), Week 4 (17 children) and Week 8 (9 children).

| | Week 1 | | Week 4 | | Week 8 | | K-W | W1 | W1 | W4 |
|---------------|----------------|----------|----------------|--------|----------------|--------|----------------|-----------|-----------|-----------|
| Item | Mean (STD) | uvienian | Mean (STD) | Median | Mean (STD) | Median | ChiSq(2)(pval) | vs. W4 | vs. W8 | vs. W8 |
| Motor ability | 1.95 (0.39) | 1.79 | 3.42 (0.80) | 3.38 | 4.48 (0.52) | 4.63 | 30.01 (<4e-07) | < 0.05 | < 0.05 | >0.05 |
| Attention | 1.69 (0.48) | 1.83 | 3.17 (1.10) | 3.17 | 4.64 (0.55) | 4.88 | 26.63 (<2e-06) | <0.05 | < 0.05 | <0.05 |
| Affection | 2.74 (0.75) | 3.20 | 3.77 (0.70) | 3.88 | 4.60 (0.64) | 4.99 | 21.69 (<2e-05) | <0.05 | < 0.05 | >0.05 |
| Imitation | 1.45 (0.40) | 1.67 | 3.73 (0.71) | 3.95 | 4.58 (0.58) | 4.75 | 33.81 (<5e-08) | < 0.05 | < 0.05 | >0.05 |
| Communication | 1.58 (0.48) | 1.75 | 2.85 (0.98) | 3.10 | 4.45 (0.64) | 4.75 | 26.94 (<2e-06) | <0.05 | < 0.05 | <0.05 |



VOT Deviant stimuli





VOT Deviant stimuli

