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Kinship Verification Through Facial Images Using CNN-Based Features

Abdelhakim Chergui^{1*}, Salim Ouchtati¹, Sébastien Mavromatis², Salah Eddine Bekhouche³, Mohamed Lashab⁴, Jean Sequeira²

¹ Electronics Research Laboratory of Skikda, August 20th, 1955 University Skikda, Skikda 21000, Algeria

² LIS Laboratory (UMR CNRS 7020) Aix Marseille University, Marseille 13288, France

³ CIAD, University Bourgogne Franche-Comté, UTBM, Belfort, 90010, France

⁴ Electronics Department, Larbi Ben Mehidi University, Oum El-bouaghi 04000, Algeria

Corresponding Author Email: a.chergui@univ-skikda.dz

1. INTRODUCTION

The likeness between two people based on their facial appearance is one of the most important factors for kinship verification. Generally, four main types of kinship relationships were used in most studies which are: fatherdaughter relationship (F-D), mother-son (M-S), father-son (F-S) and mother-daughter (M-D). Although, there are other types of kinship relationships such as siblings and grandparents, and these types are rarely studied.

Kinship verification through facial images has attracted more attention from biometrics and computer vision

In practice, it has many applications such as finding missing children, family album organization and creation of family trees. Among the difficulties found in the study of kinship verification, the apparent similarity of two people who have no relationship and the case when people have blood relationship and don't have a similar appearance. In addition, effect of the different conditions of images captured in the wild.

In this paper, we propose a new system of kinship verification that is composed of five stages: starting by obtaining pairs facial images (child/parent) after the face preprocessing step, then we used the deep features based on VGG-FACE model that is able to extract discriminant pairs features of faces, these pairs features are transformed into a single features vector using the proposed features representation. These latter features are ranked based on Fisher's Score weights to select the best discriminative features. Finally, the SVM classifier is used for the final decision (Kin or No-Kin).

Our main contributions include:

• The use of deep learning model called VGG-Face for the features extraction step to further improve the result of the kinship verification system compared with VGG-16.

• The advantages of the proposed features representation equation which gives the best representative between the parent and the child, and its effect on the results.

• The effectiveness of the features Normalization equation which transforms features values into other values that improve results.

• Considerable experiments on five public databases (Cornell kinface, UB KinFace, Familly 101, KinFace W-I and KinFace W-II) along with good and stable results of the proposed approach compared with most of the existing approaches.

The rest of this paper is organized as follows: Section 2 presents some background about the field of kinship verification. Section 3 explains the main five stages of the proposed kinship verification system. Section 4 mentions all the databases used to validate the proposed system and discusses the obtained results of this study. Finally, we conclude the paper in section 5.

2. RELATED WORK

Many research works have been conducted on facial kinship verification, all these research works can be categorized based on two main stages the feature extraction stage and the verification stage.

Fang et al. [1] proposed a system based on PSM (Pictorial structure model) for feature extraction and they used KNN for

the classification phase, a fair result was obtained on the Cornell KinFace database. Xia et al. [2] applied the transfer learning approach to acquire the kinship verification knowledge, they evaluated their experiments on the UB KinFace database. Shao et al. [3] also evaluate their work on the same database, they verified the kinship relationships using the transfer subspace learning. Interesting work was done by Lu et al. [4] where they used the neighborhood repulsed metric learning (NRML) method to verify the kinship relationship. In addition, they proposed an extension of NRML called multiview NRML (MNRML) approach to seeking a common metric distance in order to better use the multiple-image descriptor features, they applied their approach on KinFaceW-I and W-II databases.

Wang et al. [5] proposed a deep kinship verification (DKV) model and evaluate this model on KinFaceW-I and KinFaceW-II databases. DKV model is integrating excellent deep learning architecture into metric learning. Yan et al. [6] done a work that aims to learn discriminative mid-level features. Also, it introduced a kinship verification system where a new prototype-based discriminative feature learning (PDFL). In this system, each entry is the corresponding decision value from one SVM. Moreover, the performance evaluation was carried out on Cornell KinFace and UB Kin databases.

Chergui et al. [7] applied Discriminant Analysis in color images for the kinship verification task. This work was tested on Cornell KinFace, family 101, KinFaceW-I and KinFaceW-II databases. Chergui et al. [8] proposed the used of another image descriptor called LTP with another face representation called ML for extracting selected facial features. Moreover, an approach based on mixed image descriptors with ML features representation was done by Chergui et al. [9], and in other work [10] they proposed kinship verification system based on the deep features applied to the same databases. Moujahid et al. [11] proposed a system based on the covariance (secondorder statistics) between diverse local features using PML representation for modeling the face images, they evaluate the performance of the system on the UB Kinface, Kinface W-I and KinFace W-II databases.

A deep architecture called towards young cross-generation model was proposed by Wang et al. [12] where they extracted features based on a newly designed Sparse Discriminative Metric Loss (SDM-Loss), and the testing was done on RFIW database. Liang et al. [13] developed a framework of weighted graph embedding based metric learning (WGEML) for facial kinship verification. They extract four types of features which are LBP, HOG, SIFT and VGG-FACE. Then they constructed an intrinsic graph and two penalty graphs to characterize the intraclass compactness and interclass separability for each feature representation. They conducted extensive experiments on KinFaceW-I, KinFaceW-II and TSKinFace databases.

Kohli et al. [14] they proposed a hierarchical Kinship Verification via Representation Learning (KVRL) framework is utilized to learn the representation of different face regions in an unsupervised manner. They proposed an approach for feature representation termed as filtered contractive deep belief networks (fcDBN), they applied their method on five databases Cornell, UB KinFace, KinFaceW-I, KinFaceW-II and WVU Kinship databases. Rehman et al. [15] proposed a deep learning system of kinship verification where they adopted Alexnet for the process of feature extraction and used SVM as a classifier, they examined this system on KinFace W-II and KinFace W-II databases. Jain et al. [16] work aims at the extraction of facial-based features and integrates them with a Siamese neural network to achieve higher accuracy.

3. PROPOSED FRAMEWORK

Figure 1 shows the block diagram of main stages of the proposed approach. First, we detect and crop the face. Second, we extract the deep features using the VGG-face descriptor. Then, we apply the features representation and normalization function. After that, we select the best discriminative features using FS. Finally, we used the SVM as a classifier for the final decision (kin or No-kin).

Figure 1. General structure of the proposed approach

3.1 Face preprocessing

In our approach, the face preprocessing stage consists of three steps: face detection using the Viola and Jones algorithm [17], the Pictorial Structure Model [18] for the eye's localization and pose correction, then the face cropping [19]. Figure 2 shows the three steps of the face preprocessing stage.

Figure 2. Example of face alignment: (a) face detection, (b) eye localization, and (c) face cropping

3.2 CNN-based features

A Convolutional Neural Network (CNN) is a Deep Learning algorithm that can take in an input image, assign importance to various objects in the image and be able to differentiate one from the other. CNNs use relatively little preprocessing compared to other algorithms and this means that the network learns the filters that in traditional algorithms were hand-engineered. Several models have been extracted from CNN. In our research, study two important models VGG-16 and VGG-Face. VGG16 is a convolutional neural network model proposed by Simonyan and Zisserman [20].

This model was trained by the ImageNet dataset where ImageNet is a dataset of over 14 million images belonging to 1000 classes. The VGG-16 architecture contains the input and output layer, as well as multiple layers. the input layer is of fixed size, the image is passed through a stack of convolutional layers, using filters with the small field (3*3) and it also uses (1*1) convolution filters, and always used the same padding and the max-pool layer of 2x2 filter. It follows this arrangement three FC layers follow a stack of convolutional layers: the first two have 4096 channels each, the third performs 1000-way ILSVRC, and finally a 1000-way softmax layer. Figure 3 shows the general architecture of VGG-16 with different layers (input, convolution layers, max pool, fully connected, and soft-max).

The VGG-Face CNN descriptors are computed using CNN implementation based on the VGG-16 CNN architecture but this model trained by VGG-Face, which is a dataset especially for facial images of over (2.6M images, 2.6K people), that was assembled by a combination of automation and human in the loop. It has the same architecture and contains the same layers (convolution layers, max pool, fully connected, and soft-max). The facial deep features are extracted from FC7 layer (fully connected layer), and the number of these features is 4096. Figure 4 presents the extraction of the learned face image features from VGG-face (a pretrained convolutional neural network). The layers FC7 was chosen since deeper layers contain higher-level features compared with earlier layers.

Figure 3. The general architecture of the VGG-16 model

layer	$\bf{0}$						6		8	9	10	11	12	13	14	15	16	17	18
type	Input	conv	relu	conv	relu	mpool	conv	relu	conv	relu	mpool	conv	relu	conv	relu	conv	relu	mpool	conv
name		conv11	relul_1		$conv1_2$ relu1_2 pool1		$conv2_1$	relu2_1	$conv2_2$ relu2_2		pool ₂					conv3_1 relu3_1 conv3_2 relu3_2 conv3_3 relu3_3 pool3			$conv4_1$
support	$\qquad \qquad$																		
filt dim	$\overline{}$		\sim	64			64	-	128	$\hspace{0.5cm}$		128	$\qquad \qquad$	256	-	256	\sim		256
num filts	\sim	64		64			128	$\overline{}$	128			256	\sim	256	\sim	256			512
stride	$\overline{}$																		
pad	$\hspace{0.1mm}-\hspace{0.1mm}$		θ		0	θ		$\bf{0}$					θ		θ		Ω	0	
layer	19	20	21	22	23	24	25	26	27	28	29	30	31	32	33	34	35	36	37
type	relu	conv	relu	conv	relu	mpool	conv	relu	conv	relu	conv	relu	mpool	conv	relu	conv	relu	conv	softmx
name		relu4_1 conv4_2 relu4_2 conv4_3 relu4_3 pool4 conv5_1 relu5_1 conv5_2 relu5_2 conv5_3 relu5_3											pool ₅	fc6	relu6	fc7	relu7	fc8	prob
support																			
filt dim	$\hspace{0.1mm}-\hspace{0.1mm}$	512	$\overline{}$	512	\sim	$\overline{}$	512	$\overline{}$	512	$\hspace{0.5cm}$	512	-	$\overline{}$	512	\sim	4096		4096	
num filts	$\overline{}$	512		512			512	\sim	512		512			4096	$\frac{1}{2}$	4096		2622	
stride																			
pad	θ		0		0	Ω		0					Ω	Ω	Ω	θ	Ω	0	

Figure 4. CNN layers of the VGG-FACE model

3.3 Feature representation and normalization

Features Normalization is a method often applied as part to standardize the range of features, since, the range of values of features may vary widely, which made it an important stage.

At this point, we normalize the features using Euclidean norm as the following formula shows.

$$
F_{Norm} = \frac{F}{\sqrt{\sum_{j=1}^{N} F(j)}} \tag{1}
$$

where, F_{Norm} normalized features vector, F is the features vector and F_j is a one feature with the index j.

Formula 2 is used to transform the two feature vectors to one feature vector.

$$
F = \frac{F_{parent} + F_{Child}}{(F_{parent} - F_{child})^2}
$$
 (2)

where, F , F_{parent} , F_{child} are the new feature vector, the feature vector of the parent and the feature vector of the child respectively.

3.4 Features selection

Feature Selection or data cleaning is one of the core concepts and very important step which impacts the performance of our approach.

Fisher's score is one of the most widely used supervised features selection methods, the Fisher vector selects each feature independently [21]. Its equation is given as follow:

$$
W_i = \frac{\sum_{j=1}^{2} N_j (m_j - \bar{m})^2}{\sum_{j=1}^{2} N_j \sigma_j^2}
$$
 (3)

where, W_i is the weight of feature F_i , \overline{m} is the mean of the features, N_j is the total number of samples related to class j $(1 \rightarrow kin/2 \rightarrow non - kin), m_j$ and σ_j^2 are the mean and the variance of the class *j* of the studied feature F_i .

3.5 Kinship verification

This is the last step in our approach to give the results (Kin or No-Kin). The cross-validation of five folds scheme was done in the evaluation process by following two steps. First, we create a model of classification from 80% of training pairs, then We use this model to test the remaining 20% of testing pairs. Last, we obtained the final accuracy after repeating the process five times along with changing the testing pairs each time.

3.5.1 Classifier (SVM)

The kinship verification task is a binary classification problem, so we pick out SVM as a classifier. The idea behind SVM is to find a maximum margin hyperplane to separate the features of the positive pairs from the negative pairs. We used the Gaussian kernel, also known as Radial Basis Function (RBF) kernel, with SVM [22].

3.5.2 Cross-validation

To fine-tune the hyperparameters of SVM we follow the grid search strategy, and we follow the cross-validation technique to have a fair evaluation of the kinship system. Cross-validation is defined as a procedure that organizes the partitions needed to evaluate the classifier's performance, the cross-validation is performed on five partitions, for this reason it is called a five-partition cross-validation. In a first step, the database is divided into five disjoint stratified partitions of equal size.

In the first iteration, the first four partitions are used for learning the classifier, and the last one is used for testing. In the second iteration partitions 2 to 5 are used for learning and partition 1 is used for testing. In the third iteration, partitions 3 to 5 and partition 1 are used for learning, and partition 2 is used for testing, and so on.

Figure 5 shows the principle of cross-validation based on five partitions. The classification algorithm is trained five times for many different data segments and five independent tests are conducted each time. The number of partitions can be modified to meet the particular needs for a given experiment.

Figure 5. Cross-validation steps

4. EXPERIMENTAL RESULTS AND SETTINGS

This section contains two subsections, the details of the databases used are illustrated in the first part and the discussion of obtained results is explained in the second part.

4.1 Used databases

We used five of the most challenging databases (Cornell KinFace, UB KinFace, Familly 101, KinFace W-I and KinFace W-II) to test the effectiveness of our proposed approach. These five databases contain images of children and their parents for four relationships which are: father-daughter (F-D), father-son (F-S), mother-son (M-S), and motherdaughter (M-D). Table 1 shows some stats about the used databases.

Table 1. The used databases for kinship verification

Databases						
	Image	$F-S$	F-D	$M-S$	$M-D$	Year
Cornell Kin [2]	286	40%	22%	13%	25%	2010
UB KinFace [4]	600	45%	39%	06%	10%	2011
Familly 101 [23]	14861	30%	22%	2.7%	21%	2013
KinFace W-I $[5]$	1066	29%	25%	22%	24%	2014
KinFace W-II [5]	2000	2.5%	25%	2.5%	25%	

Some examples of positive and negative pairs of each database are shown in Figure 6.

Figure 6. Several image examples of used databases, two images in of positive and negative for each database

4.2 Experiments and discussion

In this part, we present and discuss the obtained results using different effects on our approach, we tested our experiments on five publicly available databases (Cornell KinFace, UB KinFace, Familly 101, KinFace-I, and KinFace-II). A five-fold cross-validation scheme is done to evaluate the performance of our kinship verification system.

4.2.1 Effect of learning CNN model (VGG-16 to VGG-Face)

In this experiment we have used two convolutional neural network models (VGG-16, VGG-Face) which have the same architecture, but each model was trained by a special database.

VGG-16 is a CNN model, it was trained by ImageNet database (it's a database containing different types of images).

The VGG-Face CNN are computed using VGG-16 CNN it has the same architecture and it was trained by VGG-Face database (it's a database containing only facial images).

Table 2. Accuracy (%) obtained with VGG-16 and VGGface for the used databases

	VGG-16	VGG-Face
Cornell Kin	87.12	92.89
UB KinFace	86.35	90.59
Familly 101	81.65	84.82
KinFace W-I	82.64	86.65
KinFace W-II	76.41	81.11

From Table 2 we noticed that the results using VGG-Face better than VGG-16. This confirm the effect of learning CNN's models through specific databases. In our experiment, the CNN model trained by the VGG-Face database specializes in facial images, which gave their use a good result compared with VGG-16.

4.2.2 Effect of the image pair feature representation

In our approach it is important to study different functions allowing to represent the features of the two images into one single feature descriptor. We carried out several experiments for the pair feature representation step, by using different functions such as sum $(Eq. (4))$, distance $(Eq. (5))$, and our proposed function (Eq. (6)). We test the following pair function schemes:

$$
F_1 = F_{parent} + F_{Child}
$$
 (4)

$$
F_2 = |F_{parent} - F_{child}| \tag{5}
$$

$$
F_3 = \frac{F_{Parent} + F_{Child}}{(F_{Parent} - F_{Child})^2}
$$
 (6)

Table 3. Accuracy (%) obtained with different pair fusion schemes for the used databases

	F1	F,	F3
Cornell Kin	60.69	80.26	92.89
UB KinFace	58.98	78.46	90.59
Familly 101	53.98	73.30	84.82
KinFace W-I	55.64	75.35	86.65
KinFace W-II	52.86	66.10	81.11

From Table 3, we can see that the functioning scheme that uses the element wise absolute difference F_2 gives the best results compared with the fusion schemes F_1 . For that reason, we included this distance in the proposed function schemes (Eq. (6)). As it can be seen the performance is significantly increased when using the proposed function (Eq. (6)).

4.2.3 Effect of the image pair feature normalization

This step is very important, the goal of normalization is to change the values numeric in the features to a common scale, without distorting differences in the ranges of values.

We tested our work using two different features normalization functions which are as F_{Norm1} and F_{Norm2} , also without using any features normalization to see his impact on our approach.

$$
F_{Norm1} = \frac{F - F_{min}}{F_{max} - F_{min}} \tag{7}
$$

$$
F_{Norm2} = \frac{F}{\sqrt{\sum_{j=1}^{N} F(j)}}
$$
(8)

From Table 4, we observed that our system has better results when using Norm2, compared with Norm1 and without using the Norm.

Table 4. Accuracy (%) obtained with different features normalization Norm for the used databases

	Without	Norm1	Norm2
Cornell Kin	80.26	88.95	92.89
UB KinFace	78.46	87.42	90.59
Familly 101	72.30	82.02	84.82
KinFace W-I	74.35	84.64	86.65
KinFace W-II	66.10	77.98	81.11

After the tests of several functions, we can see that the F_{Norm2} features representation improves the results and makes the system very robust.

4.2.4 Effect of the features selection

Feature Selection is the process that automatically select the contribute features and eliminate the features which can negatively impact performance.

In this experiment, we used and compared different functions The Kullback-Leibler [24], RelifF [25] and used Fisher Score or the feature selection step, and these functions are the most well-known.

From Table 5 we can see the effectiveness of used Fisher score in features selection step.

Table 5. Accuracy (%) obtained with different features selection schemes for the used databases

	K.L	ReleifF	Fisher Score
Cornell Kin	74.35	86.24	92.89
UB KinFace	73.12	85.35	90.59
Familly 101	70.30	82.84	84.82
KinFace W-I	72.35	83.64	86.65
KinFace W-II	68.32	78.32	81.11

The Kullback-Leibler achieved a poor performance while ReliefF and Fisher Score provided good performance despite the slight superiority of the Fisher Score, which gives the best results in our kinship verification system.

4.2.5 Effect of the classifier

To give the final result (Kin or No-Kin), an effective classifier must be used. That's why we compared two known classifiers to prove their effectiveness.

Table 6. Accuracy (%) obtained with KNN and SVM classifier

	KNN	SVM
Cornell Kin	81.96	92.89
UB KinFace	80.33	90.59
Familly 101	76.12	84.82
KinFace W-I	7709	86.65
KinFace W-II	72.36	81.11

In addition to the SVM classifier, we also tested our approach using KNN (k-Nearest Neighbors) to see the impact of SVM on our approach compared to the KNN. Table 6 above shows the performance of these experiments.

In the performance evaluation of our approach, KNN achieved a poor performance while SVM provided good performance and give better results. Due to this fact, we have chosen to use SVM as the main classifier. From our experiences, we conclude that in binary problems, SVM is efficient compared to KNN. Also, the SVM produces significant accuracy with less computation power.

4.2.6 Number of features used and CPU time

When conducting the experiments, we use FS to sort the features by its weight, then we tested different dimensions of the ranked features to show the effectiveness of the features selection step. This experiment of the used databases illustrates in Table 7.

Table 7. The obtained results using our approach when increasing the number of features

Features	Cornell KinFace	UB KinFace	Familly 101	KinFace W-I	KinFace W-II
10	73.16 %	70.37 %	67.27 %	68.32 %	65.32 %
50	77.54 %	72.25%	68.92 %	69.65 %	68.42 %
100	79.27 %	76.62 %	70.24 %	72.65 %	71.32 %
250	82.11 %	80.08%	76.47 %	79.35 %	75.36 %
500	87.36 %	83.21 %	79.65 %	83.01 %	77.82 %
750	90.06%	87.25 %	83.24 %	85.49 %	79.61 %
850	92.74 %	90.39%	84.75 %	86.48%	80.22 %
1000	92.89%	90.59%	84.82 %	86.65%	81.11%
1250	92.93%	90.68%	84.90 %	86.78 %	81.14 %
1500	92.96%	90.72%	84.98 %	86.89%	81.18%
4096	92.97%	90.76%	84.89%	86.91 %	81.23 %

Figure 7 illustrates the kinship verification results and the consuming of time (CPU time) to test the features and it's affected by increasing the features number obtained after applying the FS features selection. This curve is for the Cornell database, for the rest of the databases have a similar shape.

The increasing use of features led to growing the time consuming linearly, and this is shown in Figure 7 and Table 7. However, the accuracy curve varies exponentially until it reaches a thousand features then it changes logarithmically. Based on these curves, we can see that the choice of a thousand features can be taken into consideration as the best trade-off in terms of accuracy and time-consuming. For our experiments we used PC computer DELL (intel core I7 4790 @ 3.60 GHz, 12GB RAM Duel Channel DDR3, Windows 8.1 Pro 64 bit).

Figure 7. Kinship verification performance in term of CPU time and the number of the used features

4.2.7 Relationship accuracy

We obtained the final results using the VGG-Face for features extraction with the proposed pair feature representation function and Fisher Score feature selection approach. Table 8 shows the performance of the proposed approach with different relationships (F-S), (F-D), (M-S) and (M-D).

Table 8. The accuracy (%) on the four relationships of the used databases

	F-S	F-D	M-S	M-D	Mean
CornellKinFace	93.89	92.73	91.96	92.98	92.89
UB KinFace	91.79	89.87	89.76	90.94	90.59
Familly 101	85.25	84.77	83.94	85.32	84.82
KinFace W-I	87.63	86.31	85.64	87.02	86.65
KinFace W-II	82.14	80 41	79.86	82 03	81.11

We can note: That, the easiest relationship to classify is to find the relationship on same gender, whether male or female, like (F-S) is easier than (F-D) and (M-D) is easier than(M-S), and hardest relationship to classify is the relationship that is not on the same gender

4.2.8 Receiver operating characteristic curves

For the good confirmation of the best results and the performance of our approach, the receiver operating characteristic (ROC) curves of (four relationships, and different features representation function) are shown in Figure 8. This figure illustrates the ROC curves of the classification results on used kinship databases. We can observe the robustness of VGG-Face for features extraction step and the effect of the proposed features representation function, the use of features normalization and the Fisher Score for features selection also the SVM classifier for decision step. The curves associated with the other databases have a similar shape.

4.2.9 A comparison with some existing approaches

A comparison between the performance of our approach and some competing approaches is given in Table 9. In this table, we observe that our approach has very good results which are 92.89 %, 90.59%, 86.65%, 81.11% and 84.82% on Cornell KinFace, UBKinFace, KinFaceW-I, KinFaceW-II and Familly 101 respectively. These results emphasize the robustness of our proposed work in all these used databases.

Figure 8. ROC curves of different effects on the used databases

Year		Databases					
	Approach	Cornell	UB KinFace	Familly 101	KinFace W-I	KinFace W-II	
2010	PSM [2]	70.67 %			--		
2011	TSL [4]		69.67 %				
	MNRML [5]				69.90 %	76.50 %	
2014	PDFL [7]	71.90 %	67.30 %				
2015	DKV [6]	--			66.90 %	69.50 %	
	RSBM [26]			69.60 %			
2016	ESL-LBP _[8]				74.10 %	74.30 %	
2017	NRCML ^[9]	--			65.80 %	65.80 %	
	LPO-ML [27]	82.86 %	73.25 %		75.98 %	77.20 %	
2018	BSIF-PML [11]	86.71 %	64.12 %		78.89 %	75.01 %	
	LTP-PML [9]	90.02%	87.16 %	77.32 %	82.84 %	79.06 %	
	ResNat [28]	87.16 %	83.68%	82.07 %	79.76 %	76.89 %	
2019	KML [29]	81.40 %	75.50 %		75.57 %	85.70 %	
	Proposed	92.89 %	90.59%	84.82 %	86.65 %	81.11. %	

Table 9. A comparison of the proposed approach with other approaches

5. CONCLUSION

This study explores the subject of automating the kinship verification through facial images. Our study proposes a novel kinship verification system based on the VGG-face CNN Model for features extraction and our proposed features representation besides the use of the features normalization tools. Also, we used FS for features selection step and SVM classifier for the final decision between the images of the child and the parent. The obtained results are very encouraging and comparable with other existing approaches.

The results can be further improved as future work using other models of CNN-based features such as Inception-ResNet-v2, Inception-v4, and VGG-Face2. Also, given the importance of features representation and normalization stage we are studying other functions to improve the results.

Moreover, we envision to develop some CNN architectures for multitasking classification as contains all the kinship verification stages from input (child/parent) images to the final decision (Kin or No-Kin).

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