## **Discussion**

The aim of this study was to explore the ethical issues that could intervene during dental consultation with children with intellectual disability. More specifically the study focused on the discrepancies between the ethical values of the dentists, their principles and their daily practices when treating children with disability. The method used in this research (clinical scenario) had the advantage to facilitate a discussion of approaches to dental care in a progressive way, while allowing the emergence of the ethical reflections associated with this care. The results are discussed from the key points from the thematic analysis (i.e., first contact with patient, development and transmission of information, attitudes toward the patient, outcome from the practitioner's viewpoint) in relation to the literature.

## First contact with the patient

The framework of inclusion demands that each patient has the right to be treated as an "ordinary" patient. We have to consider the patients first as a person, with all their differences, and we must focus on their individuality in order to provide attention and appropriate care. <sup>22,25</sup> One important question can be raises: do mental disability patients are "ordinary"? This assumption is not neutral because mental disability or mental disorders implies beliefs, attitudes, social representations that intervene in the perception of the situation and can affect the practices of healthcare professionals during the "encounter" with the patient. \*\*x\* For example, when a dental session is described as a "care performed on a child with intellectual disability", a change in attitude may be unintentionally introduced. <sup>26</sup>

According to the literature, it seems preferable for the dentist to fetch the patient from the waiting room personally, as this shows involvement with the patient (confidence building relationship).<sup>14</sup> However, the dental assistant can also be considered as playing a true role in dental care, passing on valuable information to practitioners.

Results indicate that the words and sentences used to introduce the consultation were not the same for all practitioners. But for all dentists, attitudes follow the principles of beneficence. Introducing the dental session by talking about "something else" can contribute to creates a social relationship with the patient and to produce reassurance for the child but also for the parents. This communication pattern/technique traduces the fact that the child is considered as a "person", that he/she is "inscribe" in the therapeutic relationship, and that his/her is not just a "tooth" or "mouth" to cure. This technique, known as distraction, is approved by many authors on the grounds that it has the advantage of inducing a positive state of mind in the patient, who was initially anxious because of the stressful situation.<sup>27</sup>

## Development and transmission of information

All the practitioners interviewed provided the child with information about the session ahead. Some practitioners used other media as a complement to convey information. Communication is the key to the behavioural approach, but the content of the message delivered is also important.<sup>28</sup> A recent study explored the role of caregivers in decision-making in special care dentistry. <sup>29</sup> This inquiry has highlighted that caregivers were highly sensitive to the place that the dentist leaves to the patient first and then to the caregiver. The recognition of the place of each by the others seems essential in order to establish a relation of care of quality. Both professional and non-professional caregivers have been shown to be essential elements in relaying information to the patient and in assisting the patient to express his or her point of view or feelings. <sup>30</sup> The concern of the caregivers towards the patients pushed them toward to decrease the imbalance between the various interveners: dentist and patient, and more particularly in the case of children with intellectual disability. The role of caregivers in the collection of both consent and assent deserves further attention.

Many communication approaches have been cited by the practitioners. The tell-show-do strategy is widely used in paediatrics. It has been shown to be effective as long as the child has the cognitive ability to assimilate the knowledge that what they have been shown and what they have touched will be used to treat them.<sup>31</sup> Foreshadowing and visualisation are similar concepts that use positive images and carefully chosen words to explain to a patient what to expect during treatment procedures.<sup>32</sup> Tell-show-do provides an additional benefit because it also has an educational role in building the trust of parents and patients.<sup>33</sup>

Practitioners cited the cuddly toy as an information carrier. This technique, known as modelling, can be effective in patients with some types of intellectual disabilities,<sup>34</sup> but is not suited to all patients.<sup>35</sup> One study demonstrated that desensitisation was more effective than modelling for people with intellectual disabilities.<sup>36</sup> Information therefore needs to be provided in better ways and although some authors have proposed solutions,<sup>37,38</sup> there is no consensus.

Another group of practitioners preferred diverting the patient's attention. They did not want to "make the patient anxious by giving too many details." Certain patients with intellectual disability have a short attention span and may be remarkably sensitive to distraction techniques. Use of such techniques may allow the patient to focus their mind on another subject while treatment is being given. A barrier still remains however, between the patient and the practitioner, as the mode of communication does not allow for understanding between the two.

Là je terminerai cette partie en disant qu'il faut developer les compétences en terme de ecommunications + formation professionnelle + évaluation des pratques en terme de communication / et je passerai à une nouvelle partie centrée sur enjeux éthiques

#### Ethical issues

Two trends emerged from the interviews: some practitioners try as best they can reach an agreement with the patient in distress ("even if we do not always succeed"), while others give up, discouraged by the magnitude of the task. This situation is paradoxical. Children with mental disabilities are more anxious because understanding the context and the dental care situation is difficult. They need appropriate information, provided with tools adapted to their disability. The way to inform these patients has to be adapted to their capabilities. An effort has to be made to connect with the patient and to communicate information adequately. The question of information raises the issue of informed consent in these children. In France, since 2002, the emergence of patient rights in the French healthcare system has totally changed the doctor patient relationship. Patients should become actors in health decisions that concern them, and the practitioner should play the role of informant and counsellor. In the case of children with intellectual disabilities the question of informed consent is complicated. The principles of inclusion should allow disability population to take advantage of the benefits of the same health activities as those experienced by people without disabilities, but in fact, as our results demonstrate things are less obvious.

The consequences of forced treatment can be dramatic.<sup>40</sup> Providing dental care despite the patient's tears causes mixed feelings for the practitioner. Because the practitioners wish to be beneficent, it is not desirable to give care under restraint. However, it is difficult for a professional to accept that differing dental treatment or providing "no treatment" may sometimes be an adequate solution. How were the practitioners able to assess refusal?

Whether they used standardised scales or asked the parents, all practitioners wished to know why the child refused the care. Professionals may tend to minimise the psychic life of patients with intellectual disability and, by extension, minimise the pain and anxiety of vulnerable patients who cannot express their feelings verbally. In a stressful situation, practitioners are eager to end the treatment session and will sometimes choose the "quick" solution, without taking the time to re-evaluate pain or anxiety when the patient begins to stir or protest. Evaluation of anxiety and pain should be an ongoing process throughout treatment. Local anaesthesia to treat pain and cognitive behavioural approaches, supplemented or not by conscious sedation, can help to resolve an apparent refusal of care. Agitation is an indicator of discomfort and time must be taken to remedy it.

Once, or if, the hypothesis of pain can be eliminated, the dentist faced with refusal of care from a child with intellectual disabilities must make a choice. Will he/she sacrifice the autonomy of the patient or the principle of beneficence? The ethical debate appears here when the solution is not obvious. What arguments will help her make his/her decision (fig 1)? If the priority for him/her is to eliminate pain and infection, thus protecting the vulnerable person, he/she will probably favour the principle of beneficence. However, if the consent of the patient, informed consent and adequate information are arguments which take precedence, he/she will rather promote the principle of autonomy.

# Outcome from the practitioner's viewpoint

A large majority of practitioners interviewed were satisfied with the session, even though it ended with restraint of the patient (fig 2). Others raised the issue of the possible consequences of forced treatment on the patient: withdrawal, agitation and injury in the short term, and refusal of the next treatment session in the long term. The principle of non-maleficence thus conflicts with the principle of beneficence. Is the patient's physical and mental integrity are

respected when care need "three or four people to maintain him"? Does an attitude of "No matter what it costs" may, in some situations, represent unreasonable obstinacy?

Satisfaction or dissatisfaction with the outcome of the consultation are the two major divergent influences on decision-making when practitioners are faced with a moral dilemma. Two argument systems are available to solve our moral problems in clinical care: deontology and utilitarianism<sup>41</sup>. In the first model, the fundamental marker is the duty to respect autonomy and equal dignity. Everyone must be treated as similar (equal) carrying an absolute value (dignity). In the utilitarian model, the fundamental reference is the natural desire for happiness. The goal is to seek happiness for the greatest number, identifying the individual's interest with the universal interest. So, if the practitioner in question adopts a utilitarian argument, restraint of the agitated patient is a necessary evil and is less important than the service rendered: "the tooth was treated", "the patient is no longer in pain", "the parents are reassured." The practitioner may therefore feel "satisfied" with the session. However, if the practitioner in question adopts a deontological argument, nothing can justify having forced the patient to have "benefited" from their own vulnerability.

This study approached the question of dental treatment in children with intellectual disabilities from the standpoint of the dentist. The question now needs to be addressed from the viewpoint of the person with intellectual disability. Their own subjective position is neglected in these reflections and it is essential to introduce the notion of reciprocity into the question. In the field of disability studies, the patient must participate directly to enrich the debate <sup>42</sup>. In the case of children with intellectual disability, access to reciprocity will also undoubtedly imply the active participation of caregivers.

## Limitations of the study

The results of this study need to be considered within its inherent limits. As for all qualitative data, the results cannot be generalised. The number of participants was small and non-representative. Moreover, all the dentists worked within the same region and within the same network. However, trends emerged and two main profiles arose quickly. These profiles allowed reflection as to how professionals reason when faced with discrepancies between values and clinical practice. Also, audio recording allows for greater accuracy of transcription than spontaneous researcher notes and reliability would have been improved if participants had been given the opportunity to check their own transcript for accuracy <sup>43</sup>.

### Conclusion

Intellectual disability raises the question of human values and the meaning of physical and intellectual autonomy. In this context, ethical reflection is necessary to avoid detachment from the patient or, worse, neglect or abuse through use of excessive restraint during dental treatment. Working with vulnerable people implies taking risks and assessing the complex relationships established between individuals and patients, relatives and caregivers. Ethical reflection may help to consider and to clarify the different alternatives. The challenge to the health care professional is far greater than that of simply achieving beneficence; it is also that of how best to inform vulnerable patients to reach agreement, and therefore obtain better or even a real cooperation.