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The dorsal striatum energizes motor routines

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Summary

The dorsal striatum (dS) has been implicated in storing procedural memories and controlling movement kinematics. Since procedural memories are expressed through movements, the exact nature of the dS function has proven difficult to delineate. Here we challenged rats in complementary locomotion-based tasks designed to alleviate this confound. Surprisingly, dS lesions did not impair the rats' ability to remember the procedure for the successful completion of motor routines. However, the speed and initiation of the rewardoriented phase of the routines were irreversibly altered by the dS lesion. Further behavioral analyses combined with modeling in the optimal control framework indicated that these kinematic alterations were well-explained by an increased sensitivity to effort. Our work provides evidence supporting a primary role of the dS in modulating the kinematics of reward-oriented actions, a function that may be related to the optimization of the energetic costs of moving.

Keywords: dorsal striatum, vigor, procedural memory, effort, basal ganglia, motor control, rats, locomotion

1 Introduction

Most of the daily life behaviors of humans and other animals require the ability to quickly re-2 member what to do in a familiar context. This so-called procedural memory is required (among 3 many other sensory, motor, and cognitive processes) when, for instance, we commute between 4 our home and our workplace or when we routinely use a wide range of tools. It is generally be-5 lieved that the basal ganglia, and most specifically the dorsal striatum (dS, or caudate-putamen), 6 store procedural memories and by doing so can drive the execution of well-learned actions [1, 2]. 7 Evidence supporting this view is essentially derived from experiments in humans performing 8 associative learning [3] and motor sequences [4, 5] tasks and in rodents and non-human pri-9 mates engaged in a variety of experimental settings involving navigation in mazes [6-8], arm 10 reaching sequences [9], lever-press instrumental conditioning [10–17] or accelerating rotarods 11 [18–20]. A role of the dorsal striatum in procedural memory (i.e., selecting and executing adap-12 tive actions) is compatible with the fact that dS projection neurons forming the direct (indirect) 13 basal ganglia pathway are known to facilitate (prevent) movement production through disinhi-14 bition (inhibition) of brainstem and forebrain motor regions [21-24]. However, this dichotomy 15 in the functional anatomy of the basal ganglia is also compatible with a bi-directional control 16 of behavioral invigoration [25, 26]. This alternative hypothesis is in agreement with bradyki-17 nesia, one of the most common motor deficits seen in Parkinson's disease (PD) [27] and the 18 repeated observation that dS activity correlates with the speed of movements and locomotion 19 [24, 28–32]. 20

Delineating the dS function (i.e., procedural memory storage vs control of movement speed/ vigor) is challenging because movements are the readout of procedural memories [33]. Consequently, in tasks used to probe the dS contribution to procedural memory through perturbation of neuronal activity, it is nearly impossible to disentangle whether impaired performance arises from an inability to implement a preserved procedural memory into actions (i.e., a motor control deficit), or from a direct alteration of the stored procedural memory (i.e., not remembering what

to do) with preserved motor control. Such a performance confound is maybe most obvious in 27 the case of the accelerating rotarod task which requires both to control the speed of locomotion 28 and remembering the recipe for a successful trial (postural adjustments at trial onset and running 29 faster and faster on the small accelerating rod). Here, we attempted to address this conundrum 30 and limit the impact of the performance confound by examining the impact of dS lesion in rats 31 performing a series of locomotion-based tasks with varying requirements in terms of movement 32 speed control and procedural memory. On the one hand, we found that dS lesion did not prevent 33 the animals from remembering the procedural steps to follow to successfully perform a previ-34 ously learned routine. On the other hand, dS lesion changed the kinematic parameters of the 35 routine execution in a way that is well accounted for by an increased sensitivity to effort. By 36 setting the sensitivity to effort, the dS may contribute to the modulation of the energy invested 37 into voluntary movements, in the general context of an optimal control of reward-oriented ac-38 tions. Such an elementary function might explain the previous involvement of the dS in both 39 procedural memory and the control of the speed of movements. 40

41 **Results**

Lesions of the dorsal striatum did not prevent the overall performance of motor routine

To understand how the dS contributes to the control of voluntary actions while limiting as much 44 as possible the aforementioned issue of performance confound, we challenged rats in a set of 45 motor tasks taking place on a motorized treadmill. In the first task, to obtain a drop of sucrose 46 solution, rats (n = 67) had to wait for a fixed goal time (GT = 7 s relative to treadmill)47 onset) before entering a reward area located at the front of the treadmill, while its belt was 48 slowly moving backward (Figure 1A) [34]. Across practice sessions composed of \sim 130 trials 49 (treadmill on) separated with intertrial intervals (treadmill off), animals learned to wait longer 50 and longer before entering the reward area, until reliably doing it very close to the GT (Figure 51

1B). Task proficiency was clearly associated with the acquisition and reliable performance of 52 the following routine (Figure 1C, compare left and middle, Video S1): 1) during the intertrial 53 interval, following the consumption of sweetened water, rats remained in the reward area; 2) 54 when the treadmill was turned on (trial onset), they did nothing and let the moving belt carry 55 them away from the reward area; 3) when they reached the rear wall of the treadmill, they outran 56 the opposing treadmill belt to re-enter the reward area just after 7 s (Entrance Time, ET > GT). 57 After 2-3 weeks of daily practice, most of the rats used this wait-and-run routine in about 75% 58 of the trials (Figure 1C, right). Performing this routine requires procedural memory (among 59 other sensory, motor and cognitive processes) insofar as animals must remember that a given 60 sensorimotor state must be associated with a given action (to run or to stay immobile) to obtain 61 a reward [34]. Learning this routine was paralleled by a robust invigoration of the running phase 62 toward the reward area (i.e., during the first 10 sessions, rats crossed the treadmill toward the 63 reward area with progressively faster speeds, Figure 1D). Rats could have used several other 64 strategies to perform proficiently in this task. For instance, they could have remained close to 65 the reward area by running at the same speed as the treadmill for several seconds, and then 66 perform a short acceleration to enter the reward area just after GT. Still, we recently reported 67 that the usage of the wait-and-run routine facilitated timing accuracy and that a majority of 68 animals relied on this strategy [34], despite the fact that it might not be the optimal solution in 69 terms of effort. 70

We then performed fiber-sparing lesions of the dS in 57 animals well-trained in this task. The lesions targeted either the dorsolateral or the dorsomedial region of the striatum or both territories (DLS, DMS, DS lesions, Figures 1E–1G, Figures S1A–S1D). Behavioral testing resumed two weeks after the lesion surgery (Figures S1E–S1G). The average *ET* of animals with the largest lesions (mostly DS lesions) dropped during the first post-lesion session (Figures 1F-1H and 1J) because these animals ran toward the reward area prematurely after trial onset (Figures 1F and 1G, third column). Consequently, a reduction in the usage of the wait-and-run

routine was observed during this first post-lesion session (Figures 1I and 1K). But surprisingly, 78 most of these animals recovered from this initial impairment and after a few additional sessions, 79 task proficiency was similar to the pre-lesion level (Figures 1H–1K). Concretely, for the major-80 ity of rats with DS lesion (14 out of 16), the ability to follow the previously learned procedural 81 steps required for successful routine performance was intact (e.g., remain in the reward area 82 following reward consumption, running straight into the reward area after reaching the back 83 region of the treadmill). In addition, after a lesion restricted to the DLS or DMS, rats success-84 fully continued to rely on the wait-and-run routine to enter the reward area very close to the GT85 (Figures 1E, 1H and 1I). 86

While these results seem to indicate that dS lesion spared procedural memory (and many 87 other basic sensory, motor and cognitive processes required to perform the wait-and-run se-88 quence), it could be argued that the transient impairment in performance induced by large dS 89 lesions is caused by a deterioration of procedural memory and reflects a reversal to pre-learning 90 behavior (Figures 1B and 1C, left) [17], compensated in subsequent post-lesion sessions through 91 a dS-independent learning process. To test the validity of this interpretation, a different group of 92 animals was trained in a modified version of the task in which the treadmill belt moved slowly 93 toward the reward area (instead of away from it). Several animals (n = 9) became proficient 94 in this version of the task by learning to perform a *run-and-wait* routine: they moved to the 95 back of the treadmill during the intertrial interval (after licking the reward from the previous 96 trial and while the belt was immobile) and, following trial onset, they remained still while be-97 ing passively transported toward the reward area (Figures 2A–2C, Video S2). This routine was 98 interesting for two reasons. First, regarding the general issue of performance confound, the 99 run-and-wait routine can be performed even if animals display motor control deficits. Indeed, 100 to be proficient, animals simply had to go to the back of the treadmill during the relatively long 101 (20 s) intertrial interval (i.e., they could do it very slowly if necessary) and to remain still after 102 trial onset until the treadmill carries them passively into the reward area. Second, if the dS was 103

contributing to the storage of procedural memories, well-trained animals with dS lesion would 104 be expected to forget running toward the back region of the treadmill during the intertrial in-105 terval and, instead, should remain in the reward area, as they naturally did before learning. We 106 found that, following dS lesion, these 9 animals kept going toward the back of the treadmill af-107 ter reward consumption and remained still while being carried toward the reward area (Figures 108 2B–2D). Importantly, the lack of effect of the dS lesion was not due to the fact that learning the 109 run-and-wait routine was easier than learning the wait-and-run routine (Figure S2). Altogether, 110 and in contrast to the general belief that the dS stores procedural memories, we observed that its 111 lesion spared the ability of rats to remember what to do during the performance of two simple 112 motor routines. 113

Lesions of the dorsal striatum decreased the speed of reward-oriented lo comotion while sparing basic motor control and reward consumption

Because of the potential role of the dS in controlling the vigor of reward-oriented movements 116 [25, 26], we examined the impact of dS lesions on the speed of the animals when they actively 117 crossed the treadmill toward the reward-area during performance of the wait-and-run routine 118 (Figure 3A, see also trajectory illustrations in Figure 1). For the three groups of animals (DLS, 119 DMS and DS), the lesion induced an immediate and irreversible decrease in running speed (Fig-120 ures 3B and 3C), an effect that robustly correlated with lesion size (Figure 3D). The maintained 121 task proficiency following dS lesion suggested that this reduction in running speed occurred 122 while the motivation of the animals to obtain rewards (reward-seeking) was largely preserved. 123 Accordingly, animals with a dS lesion kept licking the sweetened water upon correct ET, al-124 though licking initiation was delayed, which could be due to a slower approach of the reward 125 delivery device following routine completion (Figure S3). 126

¹²⁷ To better understand the origin of this running speed reduction, we examined whether the dS ¹²⁸ lesions affected the animals' elementary ability to run at different speeds. First, we compared ¹²⁹ basic locomotor activity between non-lesioned (control) and lesioned rats, in a paradigm that

did not include reward-oriented runs, using a different treadmill. The locomotion test consisted 130 of several trials (30 s long) at fixed speeds (0 to 40 cm/s), interleaved with 30 s long intertrial 131 pauses. We found that both control and lesioned rats displayed similar exploratory locomotor 132 activity when the treadmill remained immobile (Figure 4A). In trials in which the treadmill was 133 turned on, both groups were similarly able to follow a reasonable range of imposed speeds even 134 though, as the speed increased, animals with a dS lesion ran with slightly slower speeds than 135 control animals (Figure 4B). It has been previously shown that the speed of reward-oriented 136 movements increases with movement distance, to minimize temporal discounting of rewards 137 [35, 36]. We compared running speed in trials during which running was initiated from the back 138 versus the middle of the treadmill (Figure 4C, i.e., long vs short run distance). As predicted, 139 animals ran faster when they initiated their runs from the back of the treadmill than from its 140 middle (Figure 4D). This modulation was maintained after dS lesion when the performance 141 of the animals was stable (modulation ratio, Before vs Stable: p = 0.27, permutation test), 142 although running speeds were generally slower following dS lesion (see Figure 3C). 143

Kinematic alterations induced by dS lesion are compatible with an increased sensitivity to effort

Given that the dS lesion spared the rats' ability to modulate their running speed, a parsimonious 146 explanation of our results is that lesioned animals "preferred" slower speeds. Interestingly, it 147 has been previously proposed that the slowness in reaching movements observed in PD patients 148 reflects an increased sensitivity to effort which leads them to perform slower (hence less ef-149 fortful) movements [37]. Could the less vigorous runs toward the reward area observed after 150 dS lesion be also accounted for by an increased sensitivity to effort? To address this question, 151 we took advantage of the optimal control framework that relies on the assumption that animal 152 behavior is optimal with respect to a cost function [38]. We modeled the optimal trajectory of 153 a rat, taking into account costs related to locomotion control (effort) and those imposed by the 154 task rules (running in the front is costly as it can lead to premature ETs, which were penalized 155

in our task). The effort-related term e(t) had a quadratic dependence on the instantaneous speed produced by the animal at each time t during a trial. The "spatial" cost was dictated by the task rules p(x) and penalized positions x close to the reward area. Importantly, we computed optimal trajectories in fixed time T (= 7 s) with known initial/final positions. This was done because, both before and after lesion, rats initiated trials in the reward area and re-approached it close to the GT. Thus, in a trial of duration T, we assumed that the rats minimized the total cost C, which was a linear combination of the effort and spatial penalty terms:

$$C = \int_0^T [\alpha e(t) + p(x)]dt \tag{1}$$

The parameter α governed the effort sensitivity. Increasing the effort sensitivity term (i.e., α) in 163 the model consistently resulted in optimal trajectories that laid closer and closer to the reward 164 area (Figure 5A). Similar results were obtained when different approximations of the effort-165 related and spatial costs were combined to create alternative versions of the model (Figure 166 S4A). This result is explained by the fact that, in the context of the wait-and-run routine, running 167 for a relatively longer period of time at a slow speed is cumulatively less costly (i.e., requires 168 less effort) than running for a relatively shorter period of time at a faster speed (Figure 5B). 169 Moreover, an increased sensitivity to effort should also cause lesioned animals to start running 170 earlier in time (and thus in space) toward the reward area. This prediction is interesting at two 171 levels. First, it is reminiscent of the behavior observed during the first post-lesion session when 172 animals with a large dS lesion ran mainly close to the reward area (Figures 1F and 1G, see 173 "acute" column). Second, a careful visual inspection of the rats' trajectories following DLS, 174 DMS and DS lesions when performance was stable revealed a tendency of the animals to start 175 running earlier toward the reward area, compared to their respective pre-lesion session (Figures 176 1E–1G, compare 2^{nd} and 4^{th} columns). To more directly test whether the alterations in the wait-177 and-run routine performance is compatible with an increased sensitivity to effort, we used an 178 inverse optimal control approach and adjusted the effort sensitivity parameter α of the optimal 179 control model to fit the animals' median trajectories (before and after dS lesion, Figure 5C). This 180

analysis was restricted to the animals in which the dS lesion induced a significant reduction 181 in running speed (i.e., 39 rats with relatively large lesions, Figure S4C). This allowed us to 182 estimate the change in effort sensitivity following dS lesion and to observe that its magnitude 183 correlated with lesion size (Figure 5D). Finally, we examined whether, following dS lesion, 184 rats started running earlier (i.e., on a more intermediate portion of the treadmill) toward the 185 reward area, as predicted by the optimal control model (Figure 5A). This was indeed the case 186 and this effect, while being maximal on the first post-lesion sessions, remained significant after 187 three weeks of daily testing and its magnitude correlated with lesion size (Figures 5E and 5F). 188 Finally, comparing the average maximum position in all the trials performed by all the control 189 and lesioned animals confirmed that those with dS lesions initiated their runs earlier (in space 190 and time) toward the reward area (Figure S4D). 191

The optimal control model suggested that remaining very close to the reward area minimizes 192 energy expenditure (effort) by limiting the usage of fast speeds (Figures 5A and 5B). In the first 193 post-lesion session, this type of behavior was observed in animals with the largest lesions (DS 194 group in Figure 1H) but led to premature entrances in the reward area and an abrupt drop in 195 correct trials. Noticeably, despite this initial impairment, most rats with a DS lesion (14 out of 196 16) progressively waited longer and longer and, after a few post-lesion sessions, they recovered 197 a behavior that resembled the one expressed before lesion (i.e., they relied on the wait-and run 198 routine although its kinematics was altered, Figure 1H). This suggests the intriguing possibility 199 that the dS may not be critical to learn the wait-and-run routine in the first place. Accordingly, 200 we found that all the rats in which DLS or DMS lesions were performed before training learned 201 the waiting task similarly to non-lesioned rats (Figure 6A). A similar result was observed in 202 the majority (14 out 20) of rats in which DS lesions were performed before training (Figure 203 6A). Still, 6 rats with the largest lesions (DS lesion before training, mean lesion size of 75%, 204 Figure 6A, arrow) persisted in running in the reward area throughout the entire training period 205 (Figures 6B and 6C). In some occasions, these animals performed correct wait-and-run routines 206

(Figure 6B), which suggests that the lack of task improvement was not due to a fundamental 207 motor disability. Interestingly, a similar behavior (persistence to remain close to the reward 208 area) was also observed in 2 rats with DS lesion performed after training (lesion size > 80%, 209 Figure 1J, note the two lines crossing the x-axis). Thus, the biggest alteration in learning and 210 performing the wait-and-run routine could be accounted for by a persistent and extreme attempt 211 to minimize locomotor costs (i.e., hypersensitivity to effort, Figure 5A). Finally, in animals in 212 which dS lesions were performed before training, a robust negative correlation between their 213 average running speed and their lesion size was observed (Figure 6D). A similar correlation 214 was found when the dS lesion was performed after learning (Figure 6D). Thus, dS lesions 215 performed before and after training affected the performance of wait-and-run routine in a way 216 that is compatible with an increased sensitivity to effort, as lesioned rats initiated earlier their 217 runs toward the reward area (Figure S4D) and used a slower speed. 218

219 Discussion

In this study, we report that lesioning the dorsal striatum (dS) irreversibly modified the kine-220 matic parameters of execution of a motor routine in a way that is parsimoniously explained 221 by an increased sensitivity to effort. Specifically, after dS lesion, animals kept performing the 222 sequential steps of the wait-and-run routine, but they started to run toward the reward area ear-223 lier (i.e., on a more intermediate portion of the treadmill) and used slower speeds. Despite 224 these kinematic alterations, dS-lesioned animals were able to increase their running speed in a 225 control task during which the treadmill belt moved progressively faster. Moreover, similarly to 226 non-lesioned rats, those with a dS lesion used faster speeds when initiating their reward-oriented 227 runs in the back region of the treadmill than when initiating runs from its middle portion. These 228 two observations suggest that the changes in kinematics caused by the dS lesion did not re-229 sult from a general impairment in locomotion speed control per se. In addition, following dS 230 lesion, the total number of licks performed during the intertrial interval was stable, indicating 231

that reward-seeking was also globally preserved. Our modeling work using the optimal control 232 framework revealed that the kinematic changes displayed after dS lesion were compatible with 233 an increased sensitivity to effort. Specifically, by using an inverse optimal control procedure to 234 estimate the effort sensitivity of the animals from their trajectories before and after dS lesion, 235 we found that the magnitude of the changes in the effort sensitivity term of the fitted model 236 correlated with the size of the dS lesion. Indeed, in the context of the wait-and-run routine, 237 running for a longer period of time at a slower speed was less costly than remaining most of 238 the time immobile and running briefly at a fast speed. In other words, the same kinematic al-239 terations were expected to occur had we forced non-lesioned rats to perform the wait-and-run 240 routine with extra weight on their back (Figure S4B). Altogether, our results indicate that the dS 241 is critical for the invigoration of reward-oriented motor routines and suggest that this function 242 is mediated by setting the animals' sensitivity to effort. 243

The original aim of our study was to investigate the contribution of the dS during the exe-244 cution of a well-learned motor routine and, consequently, we did not systematically manipulate 245 the effort required to perform the task. Still, in agreement with a contribution of the dS in the 246 invigoration of motor sequences, rats were virtually unaffected by the dS lesion when perform-247 ing another routine which required very little effort (Figure 2). Even if future investigations 248 should examine the contribution of the dS in motor tasks in which both effort and accuracy 249 requirements are parametrically and separately manipulated, it is striking that a similar increase 250 in sensitivity to movement cost with preserved motor function has been proposed to explain 251 slower arm reaching in early-stage Parkinson's disease (PD) patients, in which dopamine de-252 pletion is primarily affecting dorsal regions of the striatum [37, 39]. A role of dS dopamine in 253 effort sensitivity is directly supported by the observation that striatal dopamine depletion in a 254 mice model of PD is associated with less vigorous reaching movements and decreased locomo-255 tion [40, 41]. It is also compatible with the fact that striatum-wide manipulation of the type-2 256 dopaminergic receptor modifies the energy expenditure of rats engaged in a foraging task [42]. 257

Pharmacological manipulation in behaving rats suggested that dopamine in the ventral striatum 258 is critical for the prolonged exertion of effort during reward-seeking behavior [43], a result that 259 raises the question of the potential difference between the function of dopamine in the ventral 260 and dorsal striatum. On the one hand, dS-lesioned rats kept performing the wait-and-run rou-261 tine and licked similarly the sweetened solution following successful trials. On the other hand, 262 they ran more slowly toward the reward area and started licking the reward with an increased 263 delay. These results are in agreement with the possibility that dopamine in the ventral striatum 264 may determine the general motivation to work for a reward while, in the dorsal striatum, it may 265 control how much energy is put into the specific actions/motor sequences selected to obtain 266 rewards [44, 45]. 267

It is generally believed that the DLS is essential for the long-term storage and automatic 268 retrieval of procedural skills while the DMS is more critical for their early development and 269 goal-directed usage [46, 47]. The wait-and-run routine required rats to remember to (i) remain 270 inside the reward area following reward consumption in the previous trial, (ii) remain immobile 271 following treadmill onset and (iii) run forward without pause once reaching the back of the 272 treadmill. If the DLS function was to store a procedural memory that rats retrieved when per-273 forming the wait-and-run routine, its lesion after extensive practice (6 weeks of practice, 5 days 274 per week) should have caused major behavioral impairments. This is not what we observed 275 as DLS lesioned rats remained inside the reward area until trial onset and did not forget what 276 to do once they approached the rear portion of the treadmill. Still, we cannot rule out that the 277 preserved routine performance following dS lesion stems for the complex mixture of sensory 278 information (e.g., visual cues) and cognitive skills (e.g., impulse control) engaged during the 7 s 279 long wait-and-run routine, that are likely to depend on neuronal activity distributed across the 280 DMS, the DLS and outside the dS. In support of this possibility, a recent study reported that 281 DLS lesions impaired the performance of idiosyncratic short sequences of limb movements and 282 whole body postural adjustments that rats developed in order to respect a 700 ms long inter-283

val between two lever presses [17]. Thus, the DLS may store some kind of motor programs 284 that drive the execution of brief stereotyped sequences of innate movements, especially when 285 these sequences are performed in a ritualistic manner. Alternatively, in [17], the fact that rats 286 following DLS lesion reversed to their natural tendency to press the lever twice in a row with a 287 much shorter interval could also be interpreted as an attempt to reduce energy expenditure with 288 preserved procedural memory (i.e., rats remembered to press the lever twice). Similarly, it is 289 interesting to note that the behavioral impairments following DLS perturbation in the acceler-290 ating rotarod, which constitute one of the primary evidence for DLS contribution to procedural 291 memory [19, 20], can also be accounted for by a decrease in vigor (or increased sensitivity to 292 effort). Taking into account that we also observed that DMS lesions spared the acquisition of 293 the wait-and-run routine (Figure 6A), our results add to a growing body of studies whose con-294 clusions are difficult to reconcile with a serial contribution of the DMS and then of the DLS to 295 procedural skill learning and performance [17, 48–51] and more specifically, with a role of the 296 sensorimotor regions of the basal ganglia in the storage of procedural memory [52, 53]. 297

Our study indicates that dS lesion induced an irreversible reduction of the running speed 298 used to complete the wait-and-run routine, and that the magnitude of this reduction was robustly 299 correlated with lesion size. This result provides a direct support for the hypothesis that the basal 300 ganglia control the vigor of reward-oriented movements [25, 26]. It is particularly interesting 30 that pairing the execution of a reaching movement performed at a specific speed range (e.g., 302 slow or fast) with a brief stimulation of dS projection neurons forming either the direct or 303 indirect basal ganglia pathway (dSPN or iSPN) increased or decreased the tendency of mice 304 to use this speed range during subsequent reaches, respectively [30]. This result is compatible 305 with a role of the dS related to movement cost optimization if one postulates that activation of 306 dSPNs and iSPNs, respectively, decreased and increased the sensitivity to the effort necessary 307 to execute these fast or slow reaching movements, which in turn affected the preference for one 308 speed range over another in subsequent executions (e.g., increasing the cost of slow movements 309

by pairing them with iSPN stimulation will result in avoiding slow movements and favor faster 310 ones). Importantly, it is possible that the role of the dS in setting effort sensitivity contributes 311 to a general cost-benefit analysis of action plans [54] that will not only affect the speed of 312 movements but also the choice of a particular action versus another (i.e., decision making), 313 hence the expression of procedural memories. For instance, it has been shown in mice well-314 trained to perform a sequence of 4 presses on two levers (L-L-R-R) that pairing the pressing 315 of the first lever (L) with iSPN optogenetic stimulation caused the animals to avoid repeating 316 this action and switch to the second (R) lever [15]. This result is entirely compatible with an 317 increased cost (effort sensitivity) associated with the first action (press L lever) which will be 318 avoided in favor of the relatively less costly alternative (press R lever). Similarly, a role of the dS 319 in the cost /benefit analysis of action plans may explain the behavioral alterations that resulted 320 from d/iSPN activity manipulations in a variety of experimental settings [13, 20, 24, 55–57]. 321

Expending effort to produce faster movements allows limiting the temporal discounting of reward [36, 58]. In sensory guided decision-making tasks, the cost of time can also be reduced by limiting the duration of deliberation [59]. Interestingly, recent evidence supports a role of the basal ganglia in signaling the urgency to commit to a choice [60]. Future studies should investigate whether signaling effort and urgency are the two sides of an underlying function implemented in the basal ganglia to maximize the reward rate while minimizing costs.

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editing; David Robbe: Funding acquisition, Project administration, Supervision, Visualization,
Writing–original draft, Conceptualization, Software.

342 **Declaration of Interests**

³⁴³ The authors declare no competing interests.

J44 Figure Legends

Figure 1. Preserved performance a wait-and-run motor routine following dS lesion. (A) 345 Experimental apparatus and task rules. (B) Entrance time across training sessions for all the 346 rats trained in this task. Shaded area represents the interquartile range. (C) Trajectories of 347 an example animal on the treadmill, for all the trials performed during sessions #1 and #30 348 (left). Percentage of trials during which animals performed the wait-and-run routine, across 349 sessions (right). (D) Running speed when animals ran toward the reward area, across sessions. 350 Triangles in B to D indicate the performance for the example animal shown in C (left). (E-G) 351 Histology (1st column, GFAP in green shows gliosis, red is NeuN) and trajectories of single 352 animals with bilateral lesions of the dorsolateral, dorsomedial and entire dorsal striatum (E: 353 DLS, F: DMS, G: DS). # indicates session number relative to lesion break. (H-I) Time course 354 of the lesion effect on ET (H) and percentage of routine usage (I). (J-K) Similar to H-I, group 355 statistical comparison between different times relative to lesion and/or learning stages (10,000 356 resamples, *: p < 0.05; **:p < 0.01; **:p < 0.001 and n.s. indicates non-significant $p \ge 0.05$ 357). Trajectories in C, E, F and G are cut after ET. Error bars in H-K (and throughout the figures) 358 indicate the median range (25^{th} to 75^{th} percentiles) across animals. See also Figure S1 and 359 Video S1. 360

Figure 2. Preserved performance of a run-and-wait routine following dS lesion. (A) Trajectory of a proficient animal trained in a version of the waiting task in which the belt moved toward the reward area (rather than away from it). 9 consecutive trials (shaded areas) and intertrials (white areas) are shown. (B) Trajectories from a single representative animal in two sessions before and two sessions after lesion. (C-D) Comparison of *ET* (C) and percentage of run-and-wait (reverse) routine usage (D), in different stages of training. Same significance symbols as in Figure 1. See also Figure S2 and Video S2.

Figure 3. dS lesions induced a robust decrease in the speed at which rats ran toward 368 the reward. (A) Position on the treadmill (blue) and speed relative to the treadmill belt (red) 369 for a typical example of wait-and-run routine performance. (B) Time course of the dS lesion 370 effect on running speed. Same color code for lesion types and same animals as in Figure 1. 371 (C) Similar to B, group statistical comparison between different times relative to lesion and/or 372 learning stages. Same significance symbols as in Figure 1. (D) Average change in running 373 speed (speed after lesion - speed before lesion) versus lesion size, for 53 rats that received a 374 dS lesion after training. See also Figure S3. 375

Figure 4. Preserved spontaneous locomotor activity and modulation of running speed 376 following dS lesion. (A) Distance ran while exploring a new (and immobile) treadmill for 377 non-lesioned (control) and lesioned rats (n = 12 in both groups, same color code for lesion 378 types as in Figure 1). (B) Average running speed in a free running task (no reward) in which 379 control and lesioned rats were submitted to trials with incremental treadmill speed (same color 380 code and same animals as in A). Golden lines indicated significant differences between groups 381 (corrected for multiple comparisons). (C) Trials were split into 2 categories depending on 382 whether rats initiated their run from the middle or back portion of the treadmill. Speed was 383 computed and averaged across trial types. (D) Speed of the runs initiated from either the middle 384 or back portion of the treadmill, and calculated for each animal over the last 5 sessions before 385 lesion (left) and the last 5 stable sessions after lesion (right). 386

Figure 5. Kinematic changes after dS lesion are compatible with an increased effort sensitivity. (A) Optimal trajectories predicted by an optimal control model (see main text for details) with increasing effort sensitivity. (B) Predicted total cost of 5 different trajectories when effort sensitivity is fixed ($\alpha = 10$). The positions, speeds and cumulative costs during trial are shown from left to right. (C) Top, Trajectories of a single animal during a single session before and after dS lesion. Only trials in which the routine was executed (thin blue lines) were

taken into account to find the trajectory of the trial with the median maximum position (bold 393 blue line). Bottom, median trajectories (same as upper row) and best model fit (dashed brown). 394 Effort sensitivity (α) values of the best model are shown. (D) Effect of dS lesion on α vs size 395 of the lesion. (E) Effect of dS lesion on the maximum position of routine trials. (F) Change in 396 maximum position (difference in average Max. Pos. of the last 5 sessions before lesion and the 397 first 5 stable sessions after lesion) versus lesion size. Analyses shown in D, E, F were restricted 398 to animals in which the dS lesion induced a reduction in running speed (see Figure S4C). In D 390 and F, compared to E, 2 animals were removed due to incomplete histological quantification of 400 the lesion (see Methods). Same color code for lesion types as in Figure 1. Green triangles in 401 D, E and F are data points from the example animal whose trajectories and model fit, before 402 and after lesion, are shown in C. See also Figure S4. 403

Figure 6. Effect of DLS, DMS and DS lesions performed before training. (A) Session-by-404 session change in performance (ET, upper panels; Percentage of trials in which the routine was 405 performed, lower panels) for animals without lesion (Control, left) and for animals that received 406 a lesion before training (DLS, DMS, DS from left to right). Black lines indicate Control group 407 median. Thin colored lines indicate single animals. Thick colored lines (same color code as in 408 Figure 1) in 3 rightmost columns indicate group performance for comparison (8 lesion animals 409 with fewer than 30 training sessions are not shown, which explains the difference in the number 410 of animals with Figure S1C). Horizontal golden lines indicate significant differences between 411 control and lesion groups (corrected for multiple comparisons). (B) Trajectories before and after 412 extensive training (sessions #1 and #30) for two animals with large DS lesions. Note that, after 413 extensive practice, Rat238 was capable of performing the wait-and-run routine. (C) Percentage 414 of trials in which animals remained in the front region of the treadmill (computed for sessions 415 #25 to #30) versus lesion size. Dots with black circles represent the 6 animals (arrow in the 416 upper right panel in A that remained in the reward area for the majority of the trials across 417 their first 30 training sessions. (D) Correlation between speed of individual rats when they ran 418

forward toward reward area and lesion size. Animals in which lesion was performed before (early group) and after (late group) learning the task were pooled together. Separate correlation results for each group: early group: r = -0.58, $p = 1.35 \times 10^{-6}$; late group: r = -0.76, $p = 2.82 \times 10^{-11}$. Same color code as in Figure 1. Speed was computed for all the routine trials performed during the last 5 sessions in which performance was stable.

424 STAR METHODS

RESOURCE AVAILABILITY

426 Lead Contact

Further information and requests for resources and reagents may be directed to and will be
fulfilled by the Lead Contact, David Robbe (david.robbe@inserm.fr).

429 Materials Availability

430 This study did not generate new unique reagents.

431 Data and Code Availability

⁴³² The Jupyter Notebooks and the raw data necessary for the full replication of the figures is pub-

licly available via the Open Science Foundation in the following repository: https://osf.io/2vmus/

434 EXPERIMENTAL MODEL AND SUBJECT DETAILS

A total of 166 male Long-Evans rats were used in this study (number for each experimental 435 condition is directly reported in the figures). They were 12 weeks old at the beginning of the ex-436 periments, housed in groups of 4 rats in temperature-controlled ventilated racks and kept under 437 a 12 h–12 h light/dark cycle. All the experiments were performed during the light cycle. Food 438 was available *ad libitum* in their homecage. Rats had restricted access to water while their body 439 weights were regularly measured. No animal was manually excluded from the analysis. All 440 experimental procedures were conducted in accordance with standard ethical guidelines (Euro-441 pean Communities Directive 86/60 - EEC) and were approved by the relevant national ethics 442 committee (Ministère de l'enseignement supérieur et de la recherche, France, Authorizations 443 #00172.01 and #16195). 444

445 METHODS DETAILS

446 Apparatus

Four identical treadmills were used for the experiments. Each treadmill was placed inside a 447 sound-attenuating box. Treadmills were 90 cm long and 14 cm wide, surrounded by plexiglass 448 walls such that the animals were completely confined on top of the treadmill conveyor belt. 449 The treadmill belt covered the entire floor surface and was driven by a brushless digital motor 450 (BGB 44 SI, Dunkermotoren). The front wall (relative to the turning direction of the belt) was 451 equipped with a device delivering drops of 10% sucrose water solution (maximal drop size 452 \sim 80 μ L). An infrared beam, located at 10 cm of this device, defined the limit of the reward 453 area. A loudspeaker placed outside the treadmill was used to play an auditory noise (1.5 kHz, 454 65 db) to signal incorrect behavior (see below). Two strips of LED lights were installed on the 455 ceiling along the treadmill to provide visible and infrared lighting during trials and intertrials, 456 respectively (see below). The animals' position was tracked via a ceiling-mounted camera 457 (Imaging Source, 25 fps). A custom-made algorithm detected the animal's body and recorded 458 its centroid to approximate its position on the treadmill. After trial onset, the first interruption 459 of the beam was registered as entrance time in the reward area (ET). The entire setup was fully 460 automated by a custom-made program (LabVIEW, National Instruments). The experimenter 461 was never present in the behavioral laboratory during the experiments. 462

463 Habituation

Animals were handled 30 min per day for 3 days, then habituated to the treadmill for 3 to 5 daily sessions of 30 min, while the treadmill's motor remained turned off and a drop of sucrose solution was delivered every minute. Habituation sessions resulted in systematic consumption of the reward upon delivery and a tendency of the animals to spend more time in the reward area.

469 Main Behavioral Task

Training started after handling and habituation. Each animal was trained once a day, 5 times 470 a week (no training on weekends). Each of the daily sessions lasted for 55 min and contained 471 \sim 130 trials. Trials were separated by intertrial periods of 15 s. During intertrials, the treadmill 472 remained dark and infrared ceiling-mounted LEDs were turned on to enable video tracking 473 of the animals. Position was not recorded during the last second of the intertrials to avoid 474 buffer overflow of our tracking routine and allow for writing to the disk (see the gaps in the 475 position trace in Figure 2A). The beginning of each trial was cued by turning on the ambient 476 light, 1 s before motor onset. Since animals developed a preference for the reward area during 477 habituation, the infrared beam was turned on 1.5 s after trial start. This delay was sufficient 478 to let the animals be carried out of the reward area by the treadmill, provided they did not 479 move forward. After the first 1.5 s, the first interruption of the beam was considered as ET. 480 The outcome of the trial depended solely on the value of the ET, compared to the goal time 48 (GT= 7 s). In a correct trial ($ET \ge GT$), an infrared beam crossing stopped the motor, turned 482 off the ambient light, and triggered the delivery of reward. In an error trial (ET < GT), there 483 was an extended running penalty. During the penalty, the motor kept running, the ambient light 484 stayed on and an audio noise indicated an error trial. The duration of the penalty period was 485 anticorrelated with the magnitude of error, between 1 s and 10 s (see [34] for more details). In 486 trials wherein animals didn't cross the beam in 15 s since trial onset, trial stopped and reward 487 was not delivered. 488

The magnitude of the reward was a function of the ET and animal's performance in previous sessions (only in early training). Reward was maximal at ET = GT and dropped linearly to a minimum (= 38% of the maximum) for ETs approaching 15 s (i.e., the maximum trial duration). Moreover, in the beginning of the training, partial reward was also delivered for error trials with $ET > ET_0$, where ET_0 denotes the minimum threshold for getting a reward. The magnitude of this additional reward increased linearly from zero for $ET = ET_0$, to its maximum volume for ET = GT. In the first session of training, $ET_0 = 1.5$ s and for every following session, it was updated to the maximum value of median ETs of the past sessions. Once ET_0 reached the GT, it was not updated anymore.

498 Reverse Treadmill Task

This task differed from the normal task in three critical properties: 1) the treadmill moving direction was reversed, i.e., the conveyor belt moved toward the reward port; 2) the treadmill speed was set at 8 cm/s (instead of 10 cm/s) to ensure that starting the trial in the back of the treadmill and remaining still after trial onset would be rewarded, i.e., $ET \ge 7$. 3) the intertrial duration was 20 s, instead of 15 s, to allow sufficient time for the animals to move to the back of the treadmill while the motor was still off.

505 Locomotor Activity Test

A group of animals with a striatal lesion (7 DLS, 2 DMS, and 3 DS), and another group of non-506 lesioned animals (n = 12) were used in this test to assess their general locomotor activity. Prior 507 to this task, animals had full access to water and food for at least 3 days. Then, they were placed 508 on an unfamiliar treadmill, with a different structure (slanted walls and covered reward port) 509 compared to the treadmill in which they were trained, while their position was being recorded 510 using a side-mounted high-speed camera (200 fps). During the first 10 min, the ambient light 511 was turned off and the treadmill remained immobile. Their exploratory locomotor activity, i.e., 512 how much they moved along the treadmill, during this period, is presented in Figure 4A. Then, 513 in a free-running task, they ran in trials of 30 s while the treadmill speed progressively increased 514 across trials (5 trials at 0 cm/s, 2 trials at 10 cm/s, 3 trials at 15 cm/s and 5 trials at 20, 25, 30, 515 35, and 40 cm/s, data shown in Figure 4B). Each trial was followed by an intertrial (30 s-long), 516 with the ambient light and the treadmill motor turned off. The running speed reported in Figure 517 4B is the average running speed of animals during the trials of any given treadmill speed. 518

519 Lesion Surgery

Anesthesia was induced with an intraperitoneal (IP) injection of a mixture of 100 mg/kg ke-520 tamine and 10 mg/kg xylazine and was maintained during the surgery with inhalant isoflurane 521 gas (less than 3%). After shaving and cleaning the scalp, the animal was placed in the stereo-522 taxic frame (Kopf Instruments) and a local anesthetic (lidocaine) was injected under the scalp. 523 Then, an incision along the midline of the skull was made, allowing for cleaning the exposed 524 skull and drilling the craniotomies above the targeted areas. To perform fiber-sparing lesion of 525 the striatum, ibotenic acid (1% in 0.1M NaOH, Fisher Scientific) was infused (Pump 11 Elite 526 Nanomite, Harvard Apparatus, using a 10 uL WPI Nanofil syringe) in 6 sites symmetrically ar-527 ranged relative to the midline (i.e. 3 sites in each hemisphere), at a rate of 90 nl/min. Injection 528 coordinates (in mm, with reference to Bregma, according to Paxinos) are shown in Figure S1A 529 (each injection at -5.6 dorsoventral). The infused volume in each site was 200 nL for DLS and 530 DMS lesions, and 400 nL for DS lesions. The needle remained in place for 10 min following 531 the injection to allow for the diffusion of the excitotoxin. Then the needle was retracted slowly 532 to avoid backflow of the drug. Once all the injections were performed, craniotomies were filled 533 with bone wax, the skull was disinfected and the skin was sutured. Animals were allowed to 534 recover for two weeks before resuming behavioral training. After surgery, animals were housed 535 alone for 3 days in a warmed cage, to avoid getting hurt by their cagemates, and were force-536 fed if needed. Post-surgery pain was reduced as much as possible using an opiate painkiller 537 (Buprenorphine) and if necessary a non-steroidal anti-inflammatory drug (Carprofen). 538

539 Histology

Animals were euthanized with an overdose IP injection of 2 mL pentobarbital or with an injection of Zoletil (40mg/kg) and Domitor (0.6 mg/kg). Then, they were perfused with 4% paraformaldehyde and their brains were harvested for histological analysis of the lesion size and location. Brains were coronally sliced on a vibratome at 60 um thickness. For each animal,

six sections spanning the dorsal striatum along the rostrocaudal axis were selected (usually the 544 following slice numbers: 5, 15, 25, 35, 45, and 55 for consistency) and submerged in a 0.1 M 545 PBS solution. Then, PBS was replaced with citrate buffer (10 mM) for 10 min at room tem-546 perature. Next, slices were submerged with a blocking solution, consisting of PBS with 0.3% 547 Triton and 15% normal goat serum (NGS), for 2 hrs at room temperature. Then the solution was 548 replaced with a solution consisting of 2 uL anti-NeuN antibody (Merks Millipore, MAB377) 549 and 0.5 uL of anti-GFAP antibody (Agilent, Z033429-2) diluted in 200 uL of the blocking 550 solution, kept overnight at 4°C. Sections were then rinsed twice in PBS for 10 min at room 551 temperature, before being resubmerged in 1 uL of donkey-anti-mouse antibody (AL555, red), 552 1 uL of donkey-anti-rabbit antibody (AL488, green) diluted in 400 uL of PBS for 2 hr at room 553 temperature. Finally, they were washed twice in PBS for 10 min and mounted for microscopy. 554

555 Optimal Control Model Description

The optimal control (OC) theory relies upon the assumption that behavior is governed by op-556 timality principles with respect to some cost function [38]. This means that, when making a 557 decision and generating movements, the default tendency of the brain is to maximize reward 558 while minimizing effort. Here, we aimed at simulating the optimal trajectories of rats while 559 they performed the wait-and-run motor sequence and when their sensitivity to effort was ma-560 nipulated. Critically, because striatal lesions changed the kinematics of the motor sequence 561 execution, we wanted to test the hypothesis that these changes in kinematics were compatible 562 with an increased sensitivity to effort. After lesion, rats kept initiating the trial in the reward 563 area and arrived in the reward area close to the Goal Time (GT = 7s, Figure 1). We thus 564 computed the optimal trajectory in fixed time T (= 7 s) with known initial/final states, given a 565 system dynamics and cost. 566

Equation of Motion and Constraints. We assumed that the speed of a rat v satisfies the following equation of motion[61]:

$$\frac{dv}{dt} = u(t) - \frac{v}{\tau} \tag{2}$$

The term v/τ in the above equation is a resistive force per unit mass. The term τ is a friction co-569 efficient (when τ increases resistance decreases) and u(t) is the propulsive force per unit mass. 570 In all the simulation we set $\tau = 1.8$ s. Qualitatively, the results we obtained are independent of 571 τ . A key component of the behavioral task is that, to obtain a reward, animals must enter the 572 reward area after GT. This reward area is delimited by the front wall of the treadmill equipped 573 with a reward port and an infrared-beam located at 10 cm of the front wall. In agreement with 574 the behavioral data, the initial and final positions of the animal in the model were both equal to 575 the beam position $x_b = 10$ cm. The position of the rat is constrained by the treadmill length, 576 which is $L_T = 90$ cm. The treadmill pushes the animal toward the rear wall of the treadmill 577 (located at position 90 cm) with a constant positive velocity of $v_T = 10$ cm/s. In the coordinate 578 adopted in the model, running toward the reward area is, therefore, occurring with negative ve-579 locity. The velocity of the rat was also constrained to be negative, i.e. $v_{max} = 0$ cm/s (the rat 580 cannot run toward the rear wall of the) and its module could not be bigger than 70 cm/s, i.e. 581 $v_{min} = -70$ cm/s (the rat cannot run toward the reward area at a speed faster than 70 cm/s). 582 We also constrained the maximum speed of the rat at the moment of beam crossing to be less 583 than 40 cm/s, i.e. v_C^{min} = - 40. Finally, the module of the acceleration was forced to be less than 584 180 cm/s². We relied on experimental data to constrain the dynamical variables (i.e. speed and 585 acceleration) used in the model. 586

We defined the components of the state vector $\mathbf{x} = [x^0, x^1]$, respectively as the position and speed of the rat in the laboratory frame of reference. Their dynamics is governed by the equations:

$$\begin{cases} \dot{x^0} = x^1 \\ \dot{x^1} = u - (x^1 - v_T)/\tau \end{cases}$$
(3)

⁵⁹⁰ The state variables are constrained by the following initial conditions and inequalities:

$$x^{0}(0) = x^{0}(T) = x_{b}
 x^{1}(0) = v_{T}
 v_{C}^{min} + v_{T} \leq x^{1}(T) \leq 0
 0 \leq x^{0}(t) \leq L_{T} \quad \forall t \in [0, T]
 v_{min} + v_{T} \leq x^{1}(t) \leq v_{T} \quad \forall t \in [0, T]$$
(4)

⁵⁹¹ **Control Variable and Cost Function.** In the OC framework, we assumed that a rat modulates ⁵⁹² its propulsive force on a moment-to-moment basis, so u(t) is the control. The infinitesimal ⁵⁹³ energetic cost c(t), for a rat of mass m, is assumed to be the linear combination of two terms. ⁵⁹⁴ The first term is an effort-related term e(t) that is either proportional to the kinetic energy or ⁵⁹⁵ has a quadratic dependence on force (i.e., on the control):

$$e(t) = \begin{cases} m(x^1)^2 & \text{(Effort} \approx \text{Kinetic energy)} \\ (mu)^2 & \text{(Effort} \approx \text{Force}^2) \end{cases}$$
(5)

The second term is a cost related to the task rules, namely that running in the reward area before GT is associated with a penalty. The modeled trajectory must respect the following rule: $x^{0}(t) > x_{b} \forall t < T$ (x_{b} being the position of the infrared beam). We modelled this "spatial" cost, using a differentiable approximation of the Heaviside function of height A = 10:

$$p(x^0) = \frac{A}{1 + e^{1 + k(x^0 - x_b)}} \tag{6}$$

The parameter k governs the steepness of this spatial cost . We used k = 100 and k = 1 to model the spatial cost in a way that is either localized or diffuse, respectively. The rationale for doing so is that it is difficult to precisely know how the animals perceived the risk of running close to the reward area.

Therefore, in a trial of duration T, the rat wants to minimize the total cost C:

$$C = \int_0^T [\alpha e(t) + p(x)]dt \tag{7}$$

⁶⁰⁵ The parameter α governs the effort sensitivity. In the simulations showing the effect of effort ⁶⁰⁶ sensitivity on the optimal trajectory (Figure 5A and Figure S4A), we fixed the mass of the animal to m = 1 and used six values for the parameter α , namely $\alpha = \{0.1, 1, 2, 5, 10, 100\}$. High values of α correspond to high effort sensitivity. In the simulations showing the effect of the rat's weight on the optimal trajectory (Figure S4B) we fixed $\alpha = 1$ and varied the mass according to $m = \{0.1, 1, 2, 5, 10\}$. Finally, to compute the cumulative cost for the 5 example trajectories in Figure 5B, we assumed that effort was proportional to the kinetic energy, that the spatial cost was diffuse and we set m = 1 and $\alpha = 10$.

It is worth to note that, combining Equation 5 and 7, the coefficient multiplying the effort term in the cost function is proportional either to αm or to αm^2 (respectively when the effort is proportional to the kinetic energy or to the force). Therefore, considering that the dynamics in Equation 2 is independent of the mass m, in the optimal control model, varying the mass is formally equivalent to varying the effort sensitivity. This equivalence explains the similarity of the results obtained in Figures S4A and S4B.

Numerical Implementation. We used the *CasAdi* [62] software and direct collocation method
to numerically find the optimal trajectories. In all simulations, to obtain the optimal trajectory,
we used 200 collocation points.

Inverse Optimal Control Procedure. We use numerical inverse optimal control techniques 622 to estimate the effect of lesion on the effort sensitivity parameter. This approach allows to au-623 tomatically recover the cost function (i.e. the effort parameter in this case) from experimental 624 trajectories, which are assumed to be optimal [63]. The inverse optimal control method was 625 implemented as follows: first, for each animal, we computed the trajectory corresponding to 626 the median max position over the last 5 sessions before lesion and the last 5 stable sessions 627 after lesion (same trial inclusion as in Max. Pos. analysis). Then, for each animal and for 628 each session, we adjusted the effort parameter α such as to minimize the mean squared error 629 between this trajectory and the optimal trajectory generated with the OC model. We assumed 630 that effort was proportional to the kinetic energy, that the spatial cost was diffuse and we set 631

m = 1, as in Figure 5A. Simulated trajectories were constrained to have the same initial po-632 sition, final position and entrance time of the corresponding real trajectory. The minimization 633 process was achieved via the Trust-Region Constrained Algorithm implemented in the SciPy 634 optimize module. The effort parameter was constrained to values between 0 and 100. Note that 635 the optimal trajectories for $\alpha = 0.1$ and for $\alpha = 100$ correspond respectively to the blue line 636 and the red line in Figure 5A. For each animal, once the best-fit α parameter for each session 637 is obtained, we computed the difference $\Delta \alpha$ between its median value after and before lesion 638 (denoted respectively $< \alpha_A >$ and $< \alpha_B >$). The relation between lesion size and change 639 in effort sensitivity was quantified using the Pearson's correlation coefficient. In the correla-640 tion analysis presented above, fixing m = 1 implicitly corresponds to assume that the weight 641 differences between rats are negligible. To verify if the correlation obtained is independent of 642 this assumption, we repeated the correlation analysis using a normalized version of $\Delta \alpha$ defined 643 as $\Delta \alpha_N = \Delta \alpha/(\langle \alpha_B \rangle + \langle \alpha_A \rangle)$. We obtained again a positive significant correlation 644 between $\Delta \alpha_N$ and the lesion size $(r = +0.60 \text{ and } p = 5.08 \times 10^{-5})$. 645

646 QUANTIFICATION AND STATISTICAL ANALYSIS

⁶⁴⁷ Data from each behavioral session was stored in separate text files, containing position infor-⁶⁴⁸ mation, entrance times, treadmill speeds, and all the task parameters. Position information was ⁶⁴⁹ then smoothed (Gaussian kernel, $\sigma = 0.3$ s). The entire data processing pipeline was imple-⁶⁵⁰ mented in Python, using open-source libraries and custom-made scripts. We used a series of ⁶⁵¹ Jupyter Notebooks to process, quantify, and visualize every aspect of behavior, to develop and ⁶⁵² run the optimal control simulations, and to generate all the figures in this manuscript.

653 Motor Routine Definition

⁶⁵⁴ We quantified the percentage of trials in which animals performed the wait-and-run motor rou-⁶⁵⁵ tine in each session (Figure 1I). A trial was considered *routine* if all the following three condi-⁶⁵⁶ tions were met: 1) the animal started the trial in the front (initial position < 30cm); 2) the animal reached the rear portion of the treadmill during the trial (maximum trial position > 50*cm*);
3) the animal completed the trial (i.e., it crossed the infrared beam).

659 Speed Calculation

⁶⁶⁰ Unless otherwise stated, speed in this manuscript refers to the velocity with which animals ⁶⁶¹ crossed the treadmill toward the reward port. For every trial, it is calculated based on the time ⁶⁶² the animal takes to run from 60 cm to 40 cm along the treadmill (Figure 3A). Speed for each ⁶⁶³ training session is the average speed across its trials. Similar effects of dS lesion were obtained ⁶⁶⁴ when we used alternative ways of computing their speed when crossing the treadmill toward ⁶⁶⁵ the reward area.

666 Reverse Routine Definition

Percentage of reverse (run-and-wait instead of wait-and-run) routine trials is analogous to the percentage of routine trials, except that it is performed in the version of the task in which the treadmill belt is moving toward the reward. A trial was considered *reverse routine* if the following conditions were met: 1) the animal started the trial in the back region of the treadmill(initial position > 60cm); 2) the animal completed the trial (i.e., it crossed the infrared beam).

672 Definition of Frontal Trials

Frontal trials are defined as trials in which the animal remained in the frontal portion of the treadmill (i.e., position < 30 cm) for the first 5 s after trial onset.

675 Speed Modulation Analysis

In Figure 4D, we split the trials in which rats performed the wait-and-run routine according to the position at which they started to run forward (Figure 4C). Runs were either initiated in the middle of the treadmill (Mid, maximum position between 40 and 60 cm) or in the back region of the treadmill (Back, maximum position between 70 and 90 cm). The data were pooled from the last 5 sessions before lesion (Figure 4D, left) and the last 5 stable sessions after the lesion ⁶⁸¹ (Figure 4D, right). To improve the reliability, animals were discarded if they did not have at ⁶⁸² least 10 trials in the Mid and 10 trials in the Back condition (trials that strictly followed the ⁶⁸³ wait-and-run routine, their maximum position was within the range, and for which the speed ⁶⁸⁴ could have been defined). The fewer number of animals in the left panel was due to the fact that ⁶⁸⁵ most animals before lesion performed the wait-and-run routine by going all the way to the rear ⁶⁸⁶ portion of the treadmill, thus they did not have enough Mid trials.

687 Max. Pos. Quantification

The maximum position an animal reached along the treadmill before initiating the run epoch 688 toward the reward in the wait-and-run routine was quantified as Max. Pos. in Figure 5E. There-689 fore, Max. Pos. was only calculated for trials that strictly followed a wait-and-run routine, i.e., 690 total immobility followed by continuous running until reaching the infrared beam. A trial was 691 included if the following conditions were met: 1) the animal started the trial in the front (initial 692 position < 30 cm; 2) the animal remained still while being pushed backward by the treadmill 693 (any movement shorter than 0.1 s or slower than 5 cm/s was ignored to avoid trial rejection due 694 to jitter in position tracking); 3) the animal performed an uninterrupted running epoch (staying 695 immobile/moving backward for a duration shorter than 0.1 s was ignored to avoid trial rejection 696 due to jitter in position tracking); 4) the animal completed the trial (i.e., it crossed the infrared 697 beam). Notice that compared to the definition of the routine trials, the threshold for maximum 698 position is relaxed to allow the detection of trials with a reduced maximum position. To increase 699 the reliability, sessions with fewer than 5 trials for which Max. Pos. could be defined were ex-700 cluded from further analysis. The reported value of Max. Pos. for each session is the average 701 value across its trials (Figure 5E). Furthermore, in Figure 5E, we only analyzed the animals 702 that had an effect on their running speed after dS lesion (shown in Figure S4C). Animals were 703 assigned to the Δ Speed < 0 group if the average speed of 5 consecutive stable sessions after 704 the lesion (i.e., session +8 to +13) were lower than that of 5 consecutive sessions before the 705 surgery (i.e., sessions -5 to -1). Similar effects of dS lesion on Max. Pos. (Figures 5E and 706

⁷⁰⁷ 5F) were obtained if the trial inclusion criteria were more restrictive.

708 Normalizing Speed and Max. Pos.

In Figures 5E and S5C, to normalize each animal's performance according to its own behavior 709 prior to the lesion, behavioral measures of individual animals (Max. Pos. in Figure 5E and 710 speed in Figure S4C) were subtracted from the median value of the respective measure during 711 the 5 last sessions before lesion. Animals were included in this analysis only if these behavioral 712 measures (Max. Pos and speed) could be defined in at least half of the sessions analyzed. A few 713 animals were discarded: 2 rats from the speed analysis (57 rats in Figure 1 compared to 44+11 714 rats in Figure S5C) and 3 rats from the Max. Pos. analysis (41 rats in Figure 5E compared to 44 715 rats with reduced speed in Figure S5C). Those rats either ran toward the back of the treadmill 716 before lesion (Max. Pos. could not be computed) or remained in the reward area (speed could 717 not be computed). 718

719 Licking Analysis

Licking was recorded for 12 animals (Figure S3). For rewarded trials, to calculate the peak lick frequency (Figure S3E), lick rate was measured with a sliding 0.5 s window (90% overlap). Then the average lick rate per session was calculated and the maximum value was reported as peak lick frequency. Moreover, lick duration was calculated as the longest interval during which lick rate was at least 10 percent of peak lick frequency (similar results were obtained with thresholds between 5% and 15%).

726 Quantification of Lesion Size

⁷²⁷ Whole slices were imaged using an Apotome microscope (Zeiss), and stitched together in the ⁷²⁸ processing software (Zeiss Zen). Then, for each slice, ventricle, striatum, and lesioned area ⁷²⁹ were manually outlined (Figure S1B) bilaterally in the image processing software (ImageJ, ⁷³⁰ Fiji). This procedure was performed blindly to the behavioral results. The size and the centroid

coordinates were automatically computed for all of the above-mentioned areas. The anteropos-731 terior location of each slice was also approximated according to the rat brain atlas (Paxinos). 732 The lesion size reported in this paper is the ratio of the lesion volume over the volume of the 733 striatum. The region of interest was approximated as a truncated cone between any two con-734 secutive sections, and the volume was accordingly calculated. The type of lesion (DLS, DMS 735 or DS) was also determined visually and confirmed by comparing the centroid location of the 736 lesion to that of the entire striatum (see Figure S1). Animals with a DLS lesion in one hemi-737 sphere and a DMS in another (n = 7) were excluded from this manuscript. In four animals 738 with a dS lesion performed after learning the task, the lesion size quantification could not be 739 properly performed (e.g., slices with poor histological labelling). These animals were classi-740 fied according to their injection coordinates in the surgery (3 DLS and 1 DMS), however, they 741 were excluded from any analyses that required the lesion size (hence the difference between the 742 number of animals in Figure 3D and the total number in Figure 1). 743

744 Statistics

All statistical comparisons were performed using resampling methods (permutation test and 745 bootstrapping, in every case, n = 10000 iterations). The permutation test used in this manuscript 746 has already been described and implemented [34]. In brief, to test if two groups of data points 747 (e.g., speed before vs speed after lesion) are different, random reassignment of data points to 748 surrogate groups should not generate group differences similar to that of the original groups. 749 By repeating this process over and over and building a distribution of surrogate group differ-750 ences, we estimated the likelihood of the original group difference to belong to this distribution. 751 This test was used to compare two independent groups, controlling for multiple comparisons, 752 in Figure 4B and Figure 6A. A similar permutation test was also used to compare two sets of 753 unpaired data points in Figure 4A and Figure S4D. 754

For paired comparisons (Figure 1J and 1K, Figure 2C and 2D, Figure 3C, Figure 4D, Figure 5E, Figure S1E to S1G, Figure S3B to S3E), we generated the bootstrap distribution of mean

differences (n = 10000 with replacement). Significance was reported if 95% Confidence Inter-757 val (CI) of the pairwise differences differed from zero (i.e., zero was not within the CI). Then, 758 the p-value was reported as the fraction of samples in the bootstrap distribution with their sign 759 opposite to that of the CI. For example, in Figure 4D, right, the 95% CI of pairwise differ-760 ences is (-9.45, -15.25). Since this interval does not contain zero, it is reported significant, 761 and since none of the resamples were positive (as opposed to the CI), so p < 0.0001, i.e., less 762 than one chance in 10000 resamples. On the other hand, in Figure 1K (Before vs Stable), the 763 CI is (-0.04, 0.03) which includes zero, and hence the difference between these two groups of 764 data is reported to be non-significant. Statistical differences were reported using the following 765 notation: *** for p < 0.001, ** for p < 0.01, * for p < 0.05, and n.s. for not significant. 766

Finally, the relation between lesion size and magnitude of behavioral impairment was quan tified using the Pearson's correlation coefficient.

Supplemental Videos

Video S1. Proficient performance of the wait-and-run routine. Related to Figure 1.

Video S2. Proficient performance of the run-and-wait routine. Related to Figure 2.

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Figure S1: Dorsal striatum lesion quantification and effect of the two-weeks long break on task performance. Related to Figure 1.

(A) Schematic of the injection sites for the lesion. (B) Illustration of the quantification of the lesion size. For each coronal slide and hemi-striatum, the contour of the lesion was manually outlined using GFAP staining. The relative size of the lesion (compared to the full dS, manually outlined on the NeuN staining) and the coordinates of the lesion/striatum centroid was calculated. For each animal, the size and laterality were obtained by averaging data along the anteroposterior axis, for both left and right hemispheres. (C, **D**) Lesion size versus laterality for animals that underwent lesion before (Early, C) and after (Late, D) training. Lesion quantification was performed blindly relative to the behavioral analysis. In four animals with a dS lesion performed after learning the task (late lesion), the lesion size quantification could not be properly performed. These animals were classified according to their injection coordinates in the surgery (3 DLS and 1 DMS), however they were excluded from any analysis that required the lesion size (hence the difference between the number of "late lesion" animals in this figure, n = 53, and the total number of animals in Figure 1, n = 57). (E-G) Task performance before and after a two weeks long break in practice. Non-lesioned animals had stable performance before the two weeks-long break (same duration as lesion recovery period) in practice. (E) Entrance time. (F) Percentage of trials during which animals used the wait-and-run routine. (G) Speed of the animals when they ran toward the reward area. A small but significant reduction in running speed was observed just after the break (δ denotes the effect size).



Figure S2: Performance improvement in the reverse treadmill task. Related to Figure 2. Left: Entrance time across learning sessions. Middle: Session-by-session standard deviation of ET. Right: Percentage of trials during which animals used the run-and-wait (reverse) routine.



Figure S3: Licking behavior after dS lesion. Related to Figure 3. (A) Trial-by-trial licking pattern (top) and average lick rate aligned to intertrial onset for a single animal in 3 sessions (1 session just before and 2 after lesion). (**B-E**) Effect of dS lesion on lick onset delay (B), lick duration (C), number of licks per intertrial (D) and peak lick frequency (E). Same color code for dS lesion types as in Figure 1.



Figure S4: Optimal control models and effect of dS lesion on maximal position. Related to Figure 5. (A) Effect of effort sensitivity on optimal trajectories. Trajectories were obtained using 4 models that combine two approximation of effort and spatial costs. The cost of premature entrance in the reward area (spatial cost) was simulated using a Heaviside function that was either localized (\sim step function with non-zero value within the reward area) or diffuse (\sim a sigmoid function whose value gradually decreases toward zero away from the reward area). Effort was approximated either as the kinetic energy or as the square value of the muscular force produced by the animals. The weight of the animal was fixed to the value m = 1. (B) Similar to A, but for fixed value of effort sensitivity ($\alpha = 0.1$) and variable rat's weight. (C) Left, animals were divided into two groups based on the dS lesion effect on running speed. Right, lesion size for animals in those two groups. 3 out of the 44 animals with decreased running speed after lesion could not be included in the Max. Pos. analysis in Figure 5E (not enough routine trials in a few sessions analyzed, see STAR Methods). 2 out of the 41 remaining animals could not be included in the correlation plots in Figures 5D and 5F due to incomplete histological quantification. (D) Maximum position of control and lesioned rats. Each boxplot represents the range of the Max. Pos. (center line, median; box, 25^{th} and 75^{th} percentiles; whiskers, 5^{th} and 95^{th} percentiles) for control and lesioned (both before and after training) animals. For each animal, the median value of their average trajectory was computed over the last 5 sessions performed without lesion (Control group, at least 30 training sessions), and/or the last 5 sessions performed after dS lesion (Lesion group). The difference in number of control animals between this (n = 63) and Figure 6 (n = 67) is due to 4 animals that did not perform the wait-and-run routine reliably, precluding the Max. Pos. computation. Statistical comparison using permutation test (10000 permutations). Same significance symbols as in Figure 1.