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# Evaluation of *PIG-A*-mutated granulocytes and ex-vivo binucleated micronucleated lymphocytes frequencies after breast cancer radiotherapy in humans

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## Abstract

Although the *PIG-A* gene mutation frequency (MF) is considered a good proxy to evaluate the somatic MF in animals, evidence remains scarce in humans. In this study, a granulocyte *PIG-A*-mutant assay was evaluated in patients undergoing radiation therapy (RT) for breast cancer. Breast cancer patients undergoing adjuvant RT were prospectively enrolled. RT involved the whole breast, with (WBNRT) or without (WBRT) nodal area irradiation. Blood samples were obtained from participants before (T0) RT, and T1, T2, and T3 samples were collected 3 weeks after the initiation of RT, at the end of RT, and at least 10 weeks after RT discontinuation, respectively. The MF was assessed using a flow cytometry protocol identifying *PIG-A*-mutant granulocytes. Cytokinesis-blocked micronucleated lymphocyte (CBML) frequencies were also evaluated. Thirty patients were included, and five of them had received chemotherapy prior to RT. The mean ( $\pm$ SD) *PIG-A* MFs were 7.7 ( $\pm$ 12.1) per million at T0, 5.2 ( $\pm$ 8.6) at T1, 6.4 ( $\pm$ 8.0) at T2 and 3.8 ( $\pm$ 36.0) at T3. No statistically significant increases were observed between the *PIG-A* MF at T0 and the MFs at other times. RT significantly increased the CBML frequencies: 7.9 ‰ ( $\pm$ 3.1‰) versus 33.6‰ ( $\pm$ 17.2‰) ( $p < .0001$ ). By multivariate analysis, the CBML frequency was correlated with age at RT initiation ( $p = .043$ ) and irradiation volume at RT discontinuation ( $p = .0001$ ) but not with chemotherapy. RT for breast cancer therapy failed to induce an increase in the *PIG-A* MF. The *PIG-A* assay in humans needs further evaluation, in various genotoxic exposures and including various circulating human cells.

## KEYWORDS

granulocyte, human, micronucleus assay, mutation, *PIG-A* gene, radiotherapy

## 1 | INTRODUCTION

Genotoxic exposure has been identified as a potential cause of cancer due to induced DNA damage with variable consequences, depending

on the individual (Houtgraaf *et al.*, 2006). Until recently, genetic toxicology tests and methods of human gene mutation detection were not suitable for human biomonitoring studies. However, in the last months, *PIG-A* gene mutation has been used as a genotoxicity biomarker in various exposure scenarios in humans, in combination with the formation of micronuclei (Cao *et al.*, 2020a, 2020b). Moreover,

optimized protocols related to human blood *PIG-A* mutation and micronucleated reticulocyte flow cytometric assays have been published (Torous *et al.*, 2020). This highlights the importance of developing tests that can quantify DNA damage in humans.

Research on the *PIG-A* gene as a genotoxicity biomarker has emerged with studies on paroxysmal nocturnal hemoglobinuria (PNH) (Takeda *et al.*, 1993). The enzyme encoded by the *PIG-A* gene is critical for the biosynthesis of the membrane anchor glycosylphosphatidylinositol (GPI). Phenotypically, in PNH patients, *PIG-A*-mutant cells lack the GPI-anchored complement inhibitor, leading to complement-mediated hemolysis and clinical features of the disease (Brodsky, 2014). In parallel, mutation-carrying blood cells and the corresponding phenotype have been identified in healthy individuals at extremely low frequencies (between 10 and 30 GPI-deficient cells per  $10^6$  cells) (Araten *et al.*, 1999; Hu *et al.*, 2005). The *PIG-A* gene has therefore been considered a potential sentinel gene, being highly conserved among species (Kawagoe *et al.*, 1994), with a mutation frequency (MF) reflecting the rate of acquired somatic mutations (Peruzzi *et al.*, 2010). Reciprocity between a cell with a mutation at the *PIG-A* locus and a GPI-deficient phenotype has been recognized by the International Workgroup on Genotoxicity Testing (Gollapudi *et al.*, 2015). Thus, it is now commonly accepted that GPI-deficient cells carry inactivating mutations within the *PIG-A* gene and are equally referred to as *PIG-A* mutants or *PIG-A*-mutated cells.

Using flow cytometry, several studies have enabled the development of the “*PIG-A* assay,” which estimates the frequency of cells with a mutation in the *PIG-A* gene by measuring the frequency of GPI anchor-deficient circulating cells (Kawagoe *et al.*, 1994; Araten *et al.*, 1999). Currently, this assay is commonly applied for genotoxicity testing in rodents. A significant increase in the *Pig-a* MF has been reported in rodent reticulocyte and erythrocyte populations following exposure to chemotherapeutic agents, such as N-nitroso-N-ethylurea and cisplatin (Miura *et al.*, 2009; Phonethpawth *et al.*, 2008, 2010; Dertinger *et al.*, 2014; Bhalli *et al.*, 2011), or X and  $\gamma$  ionizing radiation (Ohtani *et al.*, 2012, 2014; Graupner *et al.*, 2016). In humans, GPI-deficient cell identification is mainly performed for the diagnosis and monitoring of PNH patients (Parker *et al.*, 2005; Borowitz *et al.*, 2010). In relation to gene mutations, the MF of the *PIG-A* gene was found to be spontaneously higher not only in patients with cancer predisposition due to defects in DNA repair but also in cancer cells, suggesting the MF of the *PIG-A* gene as a potential biomarker of genomic instability (Olsen *et al.*, 2017). A recent study reported higher frequencies of GPI-deficient erythrocytes in patients with esophageal adenocarcinoma than in healthy donors or patients with a preneoplastic status and a major increase in this population in patients receiving chemotherapy (Haboubi *et al.*, 2019). However, data remain controversial, as such increases were not found in other studies on patients receiving different genotoxic chemotherapy regimens or on soldiers exposed to depleted uranium (Dobrovolsky *et al.*, 2011; McDiarmid *et al.*, 2011; Olsen *et al.*, 2017).

The *PIG-A* assay has been evaluated in humans following ionizing radiation exposure. According to United Nations Scientific Committee on the Effects of Atomic Radiation (UNSCEAR) data, medical use of radiation accounts for 98% of the population dose contribution from all artificial sources and represents 20% of the total population exposure to

ionizing radiation (UNEP, 2016). The UNSCEAR estimated that a 1-Gy exposure yields up to 40 DNA double-strand breaks, 1,000 DNA single-strand breaks, and 500 damaged bases, including 150 DNA cross-links, per cell (United Nations, 2000). Radiation therapy (RT), as part of cancer treatment, is the most ionizing medical radiation modality. As breast cancer is the most common cancer in women worldwide, with some patients receiving chemotherapy in addition to RT, breast cancer patients are likely to be directly affected by radiation genotoxicity and therefore represent a suitable human model for the evaluation of the *PIG-A* assay in humans following ionizing radiation exposure.

The aim of this work was to prospectively evaluate the *PIG-A* assay by quantifying the GPI-deficient granulocyte frequency in women receiving RT for the treatment of breast cancer as a controlled genotoxic environment.

## 2 | MATERIALS AND METHODS

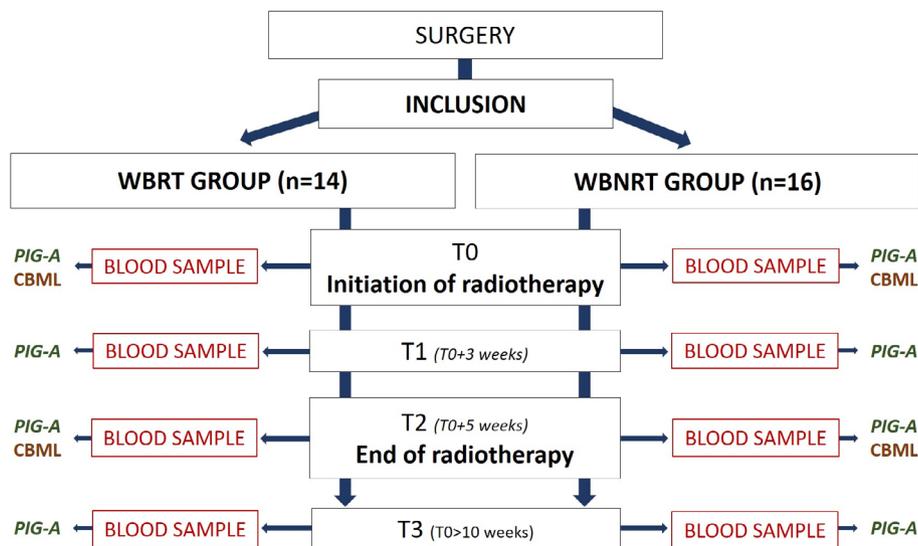
### 2.1 | Patients

Patients with recently diagnosed breast cancer were prospectively enrolled over an 18-month period from January 2016 to June 2017. Patients were included immediately after initial breast surgery, either conservative or radical (i.e., mastectomy). Only patients who were indicated with adjuvant RT were included. Patients with a history of previous RT were not included. Patients were differentiated according to the RT regimen they received: whole breast RT (WBRT;  $n = 14$ ) and whole breast and nodal RT (WBNRT;  $n = 16$ ) (Figure 1). Relevant data on personal or familial medical history, smoking habits, alcohol consumption, drug intake, and recent X-ray diagnostic examination were collected for each participant using a standard questionnaire prior to the first blood sampling time point. The protocol received approval from the Sud-Méditerranée 1 Committee for the Protection of Populations (ID RCB: 2015-A01753-46). Written informed consent was obtained from all participants.

### 2.2 | Radiation therapy

RT was delivered in three-dimensional conformational modalities (the usual technique recommended for adjuvant breast irradiation allowing a conformational beam for anatomic irradiation of the planning target volume consisting of the breast and lymph node area) with a Synergy accelerator (Elekta, Stockholm, Sweden), giving a dose rate set at 0.4 Gy/min. All patients received 50 Gy divided into 25 fractions of 2 Gy each. Patients who had a conservative surgery, that is, tumor removal only, received an additional overprint of 16 Gy divided into eight fractions. Patients who underwent total mastectomy, that is, removal of the whole mammary gland, received an additional overprint of 10 Gy divided into five fractions at the level of the scar, according to the risk of skin recurrence. Irradiation of the supraclavicular nodal area and the internal mammary chain was performed in patients with lymph node involvement or with tumors located in

**FIGURE 1** Flowchart of the population and sampling schedule. Among the 30 patients, 5 received chemotherapy before enrollment. CBML, cytokinesis-blocked micronucleated lymphocytes assay; *PIG-A*, *PIG-A* assay; WBNRT, whole breast and nodal area radiation therapy; WBRT, whole breast radiation therapy



the inner part of the breast. Axillary irradiation was delivered in cases of axillary nodal macrometastasis when complementary nodal dissection had not been performed. For the internal mammary chain, the delivered dose was 50 Gy in 25 fractions of 2 Gy each in a combination of 20 Gy of photons and 30 Gy of electrons to spare mediastinal structures. For the supraclavicular and/or axillary area, the delivered dose was 46 Gy in 23 fractions of 2 Gy. The irradiated bone volume was estimated with dosimetry calculations performed using a Philips Pinnacle treatment planning system (Philips Healthcare, Amsterdam, The Netherlands). For each participant, target volumes, organs at risk and the bone volume fraction within the 0.5 Gy isodose volume were contoured. The irradiated bone volume was calculated as an estimation of the volume of the irradiated bone marrow.

### 2.3 | Blood samples

Four blood samples were collected from each patient. The initial sample (T0) was obtained immediately before the initiation of RT. The second (T1), third (T2), and last (T3) samples were collected 3 weeks after the initiation of RT (within 2 hr after the end of the last RT administration), at the end of RT, and at least 10 weeks after RT discontinuation, respectively. Blood samples were collected using anonymized EDTA tubes (Beckton-Dickinson vacutainer) for the *PIG-A* assay and lithium heparin tubes for the micronucleus assay. Tubes were immediately sent to the “Biogenotoxicology, Human Health & Environment” Laboratory (IMBE—Mediterranean Institute of Biodiversity and Ecology—Medicine School of Marseilles) for immediate processing.

### 2.4 | Quantification of the GPI-deficient granulocyte frequency: The *PIG-A* assay

Two milliliters of anticoagulant-treated fresh blood was mixed with 20 ml of erythrocyte lysis solution (154 mM ammonium chloride,

10 mM potassium hydrogen carbonate, and 0.1 mM EDTA) for 10 min at room temperature. After centrifugation at 300g for 5 min at room temperature, immunostaining was performed directly on the pellet by adding anti-CD15-PB, anti-CD33-PC7, anti-CD45-Krome Orange, and anti-CD66b-APC antibodies and FLAER-Alexa488 and incubating the mixture for 30 min in the dark at room temperature. The pellet was washed with PBS and resuspended in PBS before immediate flow cytometry analysis.

Quantification of *PIG-A*-mutant granulocytes was performed using flow cytometry. Fluorochrome-conjugated antibodies were chosen according to good laboratory practice recommendations for the diagnosis and monitoring of PNH patients. For selection, the antibodies were selected according to their ability to identify granulocytes within whole blood: anti-CD15-PB, anti-CD33-PC7, and anti-CD45-KO. Reagents used for the identification of GPI-deficient cells within the granulocyte population were an anti-CD66b-APC antibody, a GPI-dependent granulocyte-specific antibody, and FLAER-Alexa488, a GPI-specific marker.

Analyses were carried out on a Gallios model flow cytometer (Beckman-Coulter, Villepinte, France). The results are expressed using cytograms. The phenotyping protocol was as follows: first, cell doublets were eliminated using the forward scatter area versus forward scatter height parameters. The positivity of CD45 expression allowed us to focus the analysis on immune cell populations. In this framework, two graphs (CD45 vs. cell structure and then CD45 vs. CD33) made it possible to limit the analysis to nonlymphoid immune cell populations. Two independent GPI myeloid markers, CD15 and CD33, were used to limit the analysis to granulocytes. Selected granulocytes were defined as CD15+/CD33dim, and granulocyte selection was limited to the highest 95% CD15 signal intensity to increase specificity. Finally, among these granulocytes, FLAER and CD66b double-negative cells were considered mutants. The analysis was performed on at least  $1 \times 10^6$  granulocytes, and the acquisition rate was limited to 4,000 events per second to improve the accuracy of the analysis. The primary endpoint was the MF, defined as the number of GPI-deficient

granulocytes among all granulocytes, determined by flow cytometry and expressed per million events.

The first step of the optimization process consisted of defining the incubation time, antibody concentrations, and flow cytometric parameters (data not shown). Optimization and validation of GPI-deficient granulocyte detection were performed using blood samples from a healthy donor and a PNH patient. This allowed for the validation of the detection strategy using PNH cells as the positive control. The gating strategy is illustrated in Figure 2. The fluorescence thresholds for FLAER and CD66b below which an event was considered doubly negative were obtained using a blood sample from the PNH patient. The stability of the counting of GPI-deficient cells was confirmed by means of serial dilution producing a number of GPI-deficient cells lower than 50; this value is commonly considered a lower limit for flow cytometry (Figure S1).

## 2.5 | Micronucleus test

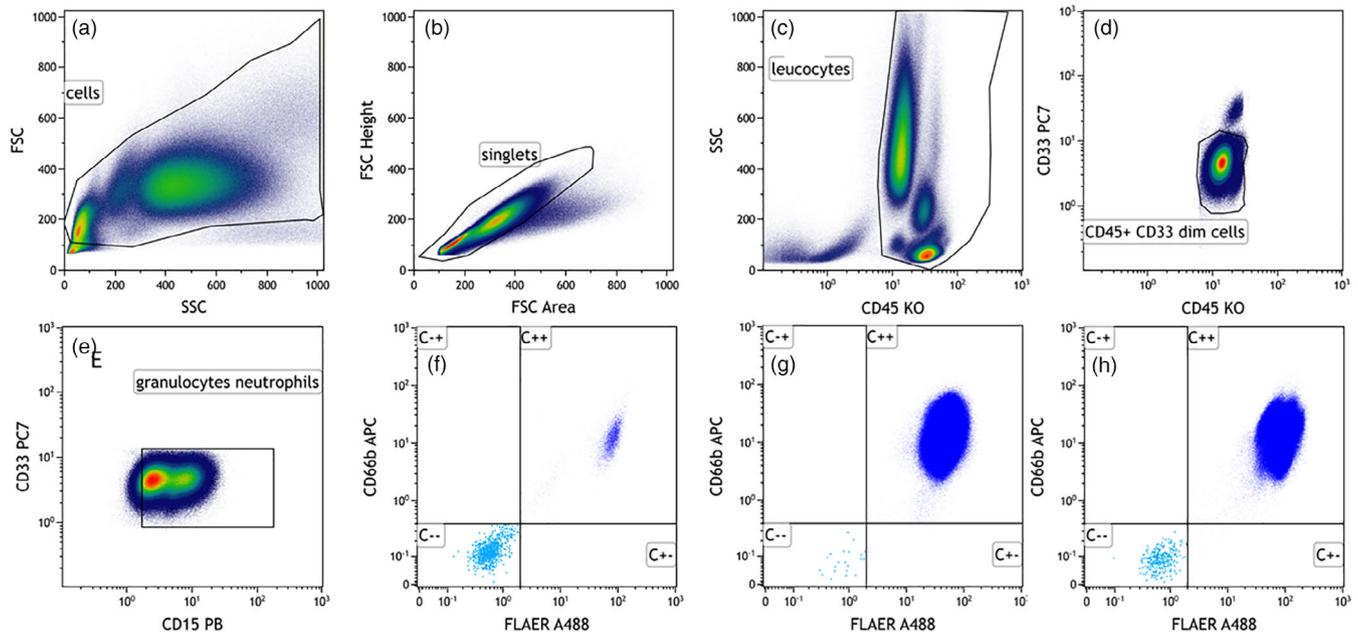
The frequencies of cytokinesis-blocked micronucleated lymphocytes (CBMLs) in culture were determined for T0 (before the initiation of radiotherapy) and T2 (at the end of radiotherapy, the mean delay between T0 and T2 was 212.4 [±84.2] days) samples. One aliquot of whole blood was transferred into flasks and incubated at 37°C with 5% CO<sub>2</sub> for 44 hr in X-vivo 10 culture medium (Lonza S.p.r.l., Verviers, Belgium) supplemented with 1% heparin (Sigma Aldrich, St. Quentin Fallavier, France) and 1% phytohemagglutinin (Sigma Aldrich). The

cells were then treated with cytochalasin B (Sigma Aldrich) and incubated for 24 hr at 37°C with 5% CO<sub>2</sub>. At the end of the incubation, the cells were centrifuged (220 g, 10 min, at 20°C), treated with 0.075 mM KCl and centrifuged again (150 g, 1 min, at 20°C).

The cells were then treated with fixative (methanol: acetic acid 3:1 v/v) for 20 min at 4°C and centrifuged (300 g, 10 min, 20°C). The cell pellets were resuspended in fixative and stored at 4°C. Lymphocytes in fresh fixative were dropped onto clean slides on ice, air-dried and stained with 2% Giemsa (Sigma Aldrich). Blinded and duplicate MN counts were performed by the same investigator on lymphocytes with well-preserved cytoplasm only. Two thousand binucleated (BN) cells were analyzed for each subject. Cells were cytologically scored using the cytochrome approach to evaluate the viability status (necrosis, apoptosis), mitotic status (mononucleated, BN, and multinucleated), and presence of micronuclei (Fenech, 2007). The cytokinesis-block proliferation index (CBPI) was calculated as follows:  $CBPI = (M1 + 2 M2 + 3 M3)/N$  (where M1–M3 represent the numbers of cells with 1 to 3 or more nuclei and N is the total number of viable cells scored, that is, total cell number excluding necrotic and apoptotic cells). The results are reported as CBMLs per 1,000 cells.

## 2.6 | Statistical analysis

When appropriate, normality was assessed using the Kolmogorov–Smirnov test. Non-Gaussian data are presented as the median [interquartile range]. Corresponding means (±SDs) are also provided for the PIG-A



**FIGURE 2** Gating strategy for GPI-deficient granulocyte analyses. (a) Cells initially identified by their forward scatter and side scatter profiles; (b) singlets identified by their forward scatter area and the forward scatter height; (c) CD45 positive cells were gated to select total leucocytes; (d) cells CD45-positive and CD33-dim were gated to coarsely select granulocytes among myeloid cells; (e) granulocytes were finally selected by gating the 95% brightest CD15 positive cells. Cells negative for both FLAER and CD66b fluorescence (C--quadrant) were considered GPI-deficient granulocytes whereas cells negative only for FLAER or only for CD66b were considered wild type granulocytes. F: PNH patient; G: healthy donor. H: cancer patient

MF. Data concerning the repeatability criteria of the PIG-A assay during the T0 sampling were analyzed using the Bland and Altman method, in which thresholds were set at  $\pm 1.96$  SD of the mean difference between duplicates. Exposure modalities were compared using Student's *t* test. Assay results during exposure were compared using statistical tests for

paired samples (Student or Wilcoxon tests, as appropriate). A two-sided value of  $p < .05$  was considered statistically significant. Statistical analyses were performed using R software v2018 ("R foundation for statistical computing," Vienna, Austria) and GraphPad Prism version 8.0.0 for Windows (GraphPad Software, San Diego, CA).

**TABLE 1** Patient characteristics and sample results

Patients	Age (years)	Group	Dose in PTV (Gy)	Chemotherapy	Smoking Status (PAU)	T0	PIG-A MF (delay between timepoint and T0, days)						CBML (%)	
							T1	T2	T3	T0	T2			
P1	67	WBNRT	65.1		NS	3.3	0.8	(21)	11.7	(42)	2.4	(325)	ND	ND
P2	65	WBRT	66.5		NS	12.4	3.5	(21)	29.5	(49)	28.9	(224)	4.5	5.5
P3	70	WBRT	66.4		NS	2.3	4.7	(21)	4.2	(49)	2.7	(178)	9	20
P4	72	WBNRT	66.6		CS (30)	2.5	2.6	(21)	3.7	(49)	2.9	(178)	12.5	47
P5	52	WBNRT	66.1		NS	11.1	11.1	(21)	11.4	(44)	9.6	(162)	ND	ND
P6	48	WBNRT	50.1	1 (3 FEC + 3 TXT)	NS	16.1	6.2	(21)	7.7	(35)	0.6	(171)	8	43
P7	76	WBRT	66.6		NS	2.2	2.2	(22)	1.6	(49)	2.1	(393)	ND	ND
P8	52	WBRT	66.8		NS	8.1	3.1	(20)	14.5	(48)	12.3	(201)	ND	ND
P9	71	WBRT	66.6		NS	4.1	3.5	(29)	6.9	(49)	1.1	(406)	7.5	29.5
P10	57	WBNRT	60.1		NS	5.1	0.0	(27)	3.9	(41)	ND	ND	6.5	23
P11	60	WBRT	50.2		NS	9.6	7.7	(19)	7.9	(36)	5.7	(335)	ND	ND
P12	57	WBNRT	66.2		CS (10)	3.1	2.5	(21)	1.7	(47)	2.1	(343)	3	25.5
P13	73	WBRT	66.4		NS	0.8	0.7	(21)	2.6	(45)	2.6	(303)	ND	ND
P14	67	WBRT	66.3		NS	0.0	0.0	(21)	0.4	(50)	0.0	(282)	ND	ND
P15	81	WBNRT	66.9		NS	3.7	2.0	(23)	0.0	(48)	ND	ND	7.5	40
P16	65	WBRT	66.3		NS	3.2	2.8	(24)	2.4	(49)	2.6	(221)	5	11.5
P17	59	WBRT	66.2		FS (30)	2.8	2.8	(22)	4.2	(46)	1.6	(177)	ND	ND
P18	79	WBNRT	66.6		NS	0.7	1.6	(22)	2.4	(43)	0.0	(177)	9	45
P19	48	WBNRT	66.6		CS (27)	4.0	6.2	(21)	5.5	(48)	1.3	(176)	9.5	63.5
P20	90	WBNRT	50.1		NS	0.3	0.3	(21)	1.0	(41)	ND	ND	15	68.5
P21	61	WBRT	66.5		NS	4.0	3.2	(21)	3.7	(43)	4.0	(170)	6	18.5
P22	56	WBRT	50.3		FS (5)	38.9	16.9	(22)	37.2	(35)	2.7	(203)	10	35
P23	47	WBNRT	60.2		CS (18)	3.0	4.7	(18)	1.5	(39)	0.0	(157)	ND	ND
P24	51	WBRT	66.3		NS	5.0	0.9	(17)	1.1	(44)	0.0	(148)	ND	ND
P25	66	WBNRT	66.5		NS	1.9	1.3	(28)	2.7	(52)	0.0	(141)	ND	ND
P26	38	WBNRT	60.1	1 (4 EC + 9 PTX)	CS (6)	8.2	6.1	(22)	4.7	(42)	0.0	(143)	4.5	31
P27	75	WBNRT	67.0		NS	60.5	47.3	(21)	7.2	(42)	13.5	(146)	ND	ND
P28	52	WBRT	66.3	1 (6 FEC)	FS (15)	6.6	4.7	(20)	5.7	(45)	0.8	(121)	9.5	31
P29	51	WBNRT	66.2	1 (3 FEC + 9 PTX)	NS	2.3	2.3	(21)	3.3	(42)	2.6	(125)	ND	ND
P30	51	WBNRT	66.4	1 (3 FEC + 3TXT)	NS	4.4	2.1	(21)	2.2	(42)	0.4	(131)	ND	ND

Note: Smoking status were described as non-smokers (NS), current (CS), and former smokers (FS) and quantified in pack-years unit (PAU). PIG-A MF were expressed per  $10^6$ . Average number of analyzed granulocytes in PIG-A assay was  $2.7 \times 10^6$ . Abbreviations: C, Cyclophosphamide; CBML, cytokinesis-blocked micronucleated lymphocytes; E, Epirubicin; F, 5-fluoro-uracil; ND, not determined; PAU, pack-year unit; PTV, planning target volume; PTX, paclitaxel; TXT, docetaxel; WBNRT, whole breast and nodal radiation therapy; WBRT, whole breast radiation therapy.

### 3 | RESULTS

#### 3.1 | Population description and sampling protocol

Patient characteristics are summarized in Table 1. Fourteen (46.6%) patients were included in the WBRT group, and 16 (53.3%) were included in the WBNRT group. The mean age was 61.9 ( $\pm 12.0$ ) years. Five (16.7%) patients received chemotherapy within 5 months before the initiation of RT. All five received a combination of epirubicin and cyclophosphamide. In addition, three received 5-fluorouracil, two received docetaxel, and two received paclitaxel. Eight (26.7%) patients were smokers (five current smokers and three former smokers for over 2 years). None of the 30 patients were occupationally exposed to genotoxic agents. Within the 3 months preceding RT, 10 (33.3%) patients had at least one X-ray examination, 4 (13.3%) had a PET CT scan, 10 (33.3%) had a bone scan, and 3 (10.0%) had an infectious episode. No patient was occupationally exposed to ionizing radiation; one (3.3%) reported asbestos exposure. The mean delay between the T0 and T1 blood samples was 21.6 ( $\pm 2.5$ ) days, that between the T0 and T2 blood samples was 44.5 ( $\pm 4.5$ ) days, and that between the T0 and T3 blood samples was 212.4 ( $\pm 84.2$ ) days (Table 1).

#### 3.2 | Delivered RT doses

Delivered RT doses ranged from 50.1 to 66.9 Gy, with a mean of 63.6 ( $\pm 5.7$ ) Gy. The proportion of the bone volume within the 0.5-Gy isodose volume was significantly higher in the WBNRT group than in the WBRT group:  $589 \pm 101$  versus  $233 \pm 96$  cm<sup>3</sup> ( $p < .001$ ) (Table 2). However, there was no significant difference in the mean RT dose delivered to the bone between the patient groups: 8.5 ( $\pm 1.4$ ) Gy versus 7.3 ( $\pm 2.6$ ) Gy, respectively.

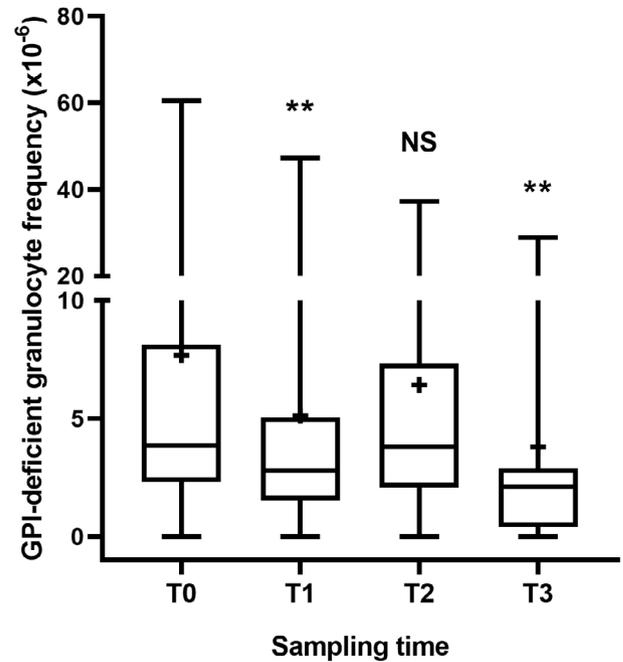
#### 3.3 | Repeatability of the PIG-A assay

T0 blood samples were tested in duplicate for each patient. Due to a technical issue in one duplicate, data were not available for four patients. Using a Bland and Altman plot, the technique revealed good repeatability.

Only 2/26 (7.3%) values exceeded the threshold set at  $\pm 1.96$  SD around the mean of differences between each duplicate (Figure S2).

#### 3.4 | Stability of samples over time

T0 blood samples from four patients were stored in the dark at 4°C. The PIG-A assay was carried out at 0, 24, 48, and 72 hr, and the



**FIGURE 3** Overall GPI-deficient granulocyte frequencies before initiation of radiotherapy (T0), during (T1), at the end of treatment (T2) and during follow-up (T3) ( $n = 30$ ; NS: non-significant; \*\*:  $p < .01$ ). For each time point, lines are the median, boxes are the first and third quartile, whiskers are the minimum and maximum values and the plus sign is the mean. Despite very close data distributions (expressed as median [IQR], mean  $\pm$  SD, per million granulocytes), statistically significant decrease was noted between T0 (3.9[2.3;8.2],  $7.7 \pm 12.3$ ) and T1 (2.8[1.5;5.0],  $5.1 \pm 8.7$ ,  $p = .0027$ ) and T0 and T3 (2.1[0.4;2.9],  $3.8 \pm 6.2$ ,  $p = .0049$ ). No difference was observed between T0 and T2 (3.8[0.4;2.9],  $6.4 \pm 8.2$ ) distributions

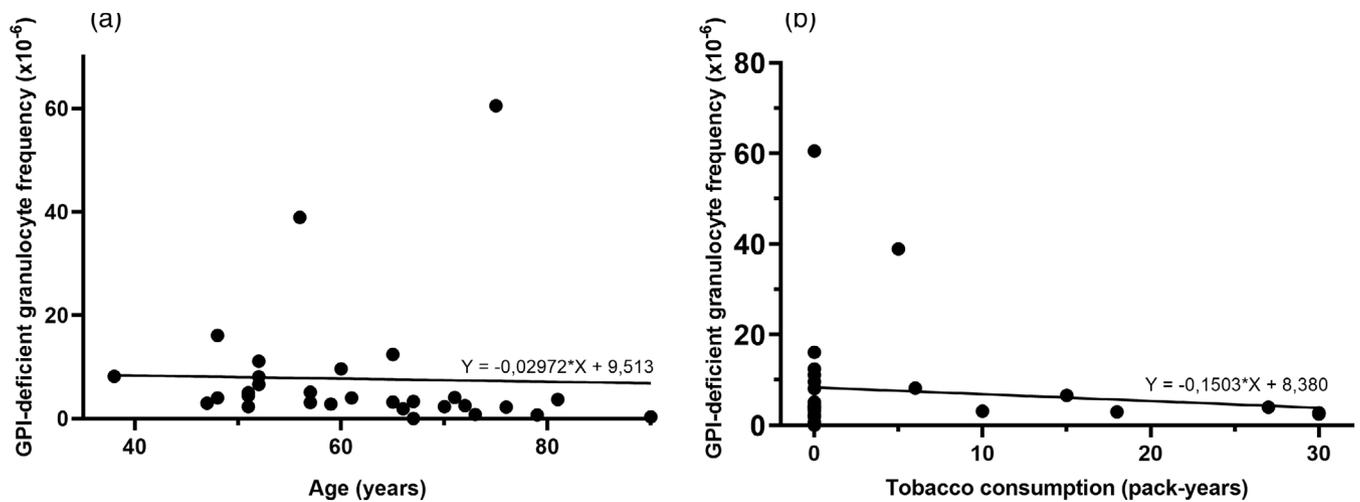
**TABLE 2** Irradiation data expressed as mean  $\pm$  SD

	Overall ( $n = 30$ )	Patients		$p$ -value
		WBRT ( $n = 14$ )	WBNRT ( $n = 16$ )	
Bone volume at 0.5 Gy isodose (cm <sup>3</sup> )	423 $\pm$ 205	233 $\pm$ 96	589 $\pm$ 101 <sup>***</sup>	<.0001
Minimal dose (Gy)	0.41 $\pm$ 0.07	0.45 $\pm$ 0.02	0.36 $\pm$ 0.06 <sup>***</sup>	<.0001
Mean dose (Gy)	7.96 $\pm$ 2.12	7.35 $\pm$ 2.64	8.51 $\pm$ 1.40 <sup>ns</sup>	.15
Maximal dose (Gy)	62.89 $\pm$ 10.53	64.33 $\pm$ 5.94	61.63 $\pm$ 13.42 <sup>ns</sup>	.47

Note: For each patient, irradiated bone volume and doses were estimated with dosimetry calculations performed by the dedicated software of the treatment planning system. Significant variation was noted in the bone volume contained in the isodose 0.5 Gy. Dose distribution was similar between groups.

Abbreviations: ns, non-significant; WBNRT: whole breast and nodal radiation therapy; WBRT, whole breast radiation therapy.

\*\*\* $p < .001$ .



**FIGURE 4** Impact of age (a) and smoking habits (b) on GPI-deficient granulocyte frequencies on T0 samples ( $n = 30$ ). No relationship between GPI-deficient granulocyte frequencies and neither age nor tobacco consumption (expressed in pack year) was noted in the whole population

results were compared to the T0 value generated at 0 hr (Figure S3). No significant difference was found in the frequency of *PIG-A* mutant granulocytes between the 24, 48, and 72-hr time points compared to the 0-hr time point.

### 3.5 | Effect of RT on the *PIG-A* MF

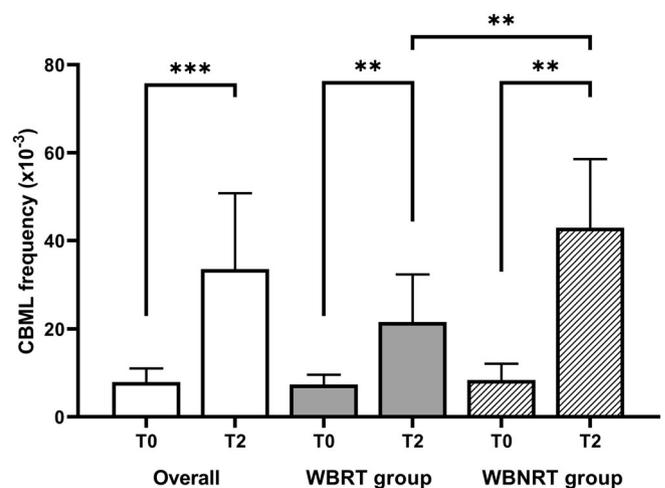
The quantification of *PIG-A*-mutant granulocytes was performed in 30 patients before (T0), during (T1) and at the end of RT (T2). T3 samples were collected from 27 patients, as 3 patients declined to have the last sample taken. An average of 2.7 ( $\pm 1.4$ ) million granulocytes was counted per analysis. The mean ( $\pm SD$ ) *PIG-A* MFs were 7.7 per million ( $\pm 12.1$ ) at T0, 5.2 ( $\pm 8.6$ ) at T1, 6.4 ( $\pm 8.0$ ) at T2 and 3.8 ( $\pm 36.0$ ) at T3 (Figure 3). Although the data distributions at the different time points were extremely close, the frequency of *PIG-A*-mutant granulocytes was significantly lower at T1 ( $p = .0035$ ) and T3 ( $p = .006$ ) than at T0. No statistically significant difference in the *PIG-A* MF was observed between T0 and T2. Similar observations were noted within the WBRT and WBNRT groups (Figure S4A,B).

### 3.6 | Effect of chemotherapy performed before the initiation of RT on the *PIG-A* MF

Compared to patients not treated with chemotherapy, the *PIG-A* MF at T0 was not significantly different in the five patients who had received chemotherapy before the initiation of RT (Table 1).

### 3.7 | Effects of tobacco and age on the *PIG-A* MF

Confounding factors represented by age and smoking status (current/past or quantified in pack-years) were analyzed. None of these factors



**FIGURE 5** Distribution of CBML frequency per 1,000 cells before (T0) and at the end of radiotherapy (T2), for all patients ( $n = 16$ ) and in WBRT ( $n = 7$ ) or WBNRT ( $n = 9$ ) groups. Radiotherapy significantly increased CBML frequencies in overall, WBRT and WBNRT groups. At T2, CBML frequencies were statistically higher in WBNRT group than in WBRT group. \*:  $p < .05$ ; \*\*:  $p < .01$ ; \*\*\*:  $p < .001$ . CBML, cytokinesis-blocked micronucleated lymphocytes; WBNRT, whole breast and nodal radiation therapy; WBRT, whole breast radiation therapy

were significantly associated with the *PIG-A* MF by univariate analysis (Figure 4a,b).

### 3.8 | Effect of RT on CBML frequencies: The micronucleus test

This test was performed with the T0 and T2 samples from 16 patients. The CBML frequency was significantly higher at T2 than at T0 for all

**TABLE 3** Identification of factors contributing to CBML values

Genotoxic endpoint	Independent variables	Coefficient	p-value
CBML	Radiotherapy group	9.2	.03
	Smoking status	8.2	.11
	Chemotherapy	5.9	.36
	Radiotherapy (T0 vs. T2)	25.7	<.0001
	Age	0.4	.043

Note: The relative influence of the different independent variables (radiotherapy exposure categorized for all patients and according to WBRT or WBNRT group, smoking status categorized as smoking or non-smoking, chemotherapy categorized as chemotherapy or no chemotherapy) on the CBML levels tested by multivariate linear regression analysis. Coefficients corresponds to the additional number of CBML predicted when the corresponding variable shows a one-unit shift or a category switch.

patients: 33.6 ( $\pm 17.2$ ) versus 7.9 ( $\pm 3.2$ ) ( $p < .001$ ). The same differences were observed in the WBRT and WBNRT groups: 21.6 ( $\pm 10.8$ ) versus 7.4 ( $\pm 2.2$ ) ( $p = .0056$ ) and 42.9 ( $\pm 15.6$ ) versus 8.4 ( $\pm 3.7$ ) ( $p < .001$ ), respectively (Figure 5). The CBML frequency was significantly higher at T2 in the WBNRT group than in the WBRT group ( $p = .0083$ ). The CBML frequency at T0 was not significantly influenced by previous administration of chemotherapy ( $p = .89$ ). By multivariate analysis, the CBML frequency was significantly correlated with age at T0 ( $p = .043$ ) and irradiation extent at T2 ( $p < .0001$ ) but not with chemotherapy or the smoking status (Table 3). A significant association was found between age and the CBML frequency at T0 ( $p = .02$ ) and between the CBML frequency at T2 and irradiation extent ( $p = .02$ ). No associations were found with chemotherapy or the smoking status (Table 3).

## 4 | DISCUSSION

To our knowledge, our study is the first to evaluate the value of the *PIG-A* gene mutation as a biomarker of genotoxicity in humans by detecting GPI-deficient human granulocytes before and after controlled genotoxic exposure. With a median *PIG-A* MF prior to any treatment of  $3.9 \times 10^{-6}$  granulocytes (range: 0 to  $60.5 \times 10^{-6}$ ), our results are similar to those published previously, supporting the validity of our method. Specifically, for a population of 142 healthy donors, Rondelli et al. reported a median frequency of *PIG-A* mutants of  $4.9 \times 10^{-6}$  (range:  $1 \times 10^{-6}$  to  $37.5 \times 10^{-6}$ ) (Rondelli et al., 2013). The basal granulocyte *PIG-A* MFs in our study were consistent with previously published data on erythrocyte *PIG-A* MFs by Dertinger et al. and Cao et al. (Dertinger et al., 2015; Cao et al., 2016). Additionally, Horibata found similar basal *PIG-A* MFs in total red blood cells among healthy donors and cancer patients and, as we also reported, high spontaneous mutation frequencies for two patients (Horibata et al., 2016).

Our assay failed to demonstrate any increase in the *PIG-A*-mutant granulocyte population following RT in 30 patients recently diagnosed

with breast cancer. The same lack of increase was also observed in the group with the largest irradiated bone volume (the WBNRT group). Several hypotheses may explain this absence of an increase in the *PIG-A* MF following RT. First, the bone marrow volume contained in the ribs and sternum represents 16.1 and 3.1% of the total hematopoietic bone marrow, respectively (Cristy, 1981). Since the irradiation administered in our RT protocol concerned only a part of the sternum and ribs, the probability of a granulocyte precursor gaining a mutation could have been too low to significantly impact the *PIG-A* MF observed in our population. Additionally, the high cytotoxic effect of RT should also be considered. Irradiations of more than 30 Gy, even fractionated, have been described as cytotoxic for the bone marrow (Mauch et al., 1995). Due to damage to the medullary microenvironment, the recolonization of the bone marrow by hematopoietic stem cells after strong irradiation ( $>40$  Gy) may take several years. Relatively high doses have been shown to cause irreversible injury to the microvasculature, leading to irrevocable bone marrow fibrosis ( $>50$  Gy) (Mauch et al., 1995). It is therefore possible that the irradiated bone marrow may have been destroyed, resulting in the lack of increase in the *PIG-A* MF observed.

Granulocytes were chosen as the target human blood cells because they are unlikely to undergo complement-mediated lysis due to their short intravascular lifetime (Athens et al., 1961). It is unlikely that the absence of *PIG-A*-mutant granulocyte detection was related to technical issues. Indeed, our assay was directly inspired by the one routinely used for the diagnosis and monitoring of PNH patients (Sutherland et al., 2012). We aimed to optimize the phenotyping technique for circulating cells, while managing throughput and accuracy constraints and good repeatability of our assay. One should also consider the possibility that granulocytes were not the proper type of blood cells to target.

The fact that the micronucleus test was positive supports breast RT as a valuable genotoxic exposure model. CBML frequency is known as a reference assay for the assessment of genotoxic exposure. The increase in the CBML frequency observed after partial irradiation has been widely described in the literature (Thierens et al., 1995; Lee et al., 2002; Emamgholizadeh Minaei et al., 2016). In our study, increases in the CBML frequency were noted in both the WBRT and WBNRT groups following irradiation, and the induction of micronuclei seems to be correlated with the irradiated bone volume. The confirmation of the genotoxic nature of mammary irradiation by the micronucleus test performed with lymphocytes suggests an inability of our assay to demonstrate an increase in the *PIG-A* MF in granulocytes. However, the positivity of CBMLs in lymphocytes may not be inconsistent with the absence of *PIG-A* mutation induction in granulocytes. Lymphocytes, being circulating cells, likely had a shorter exposure time to RT and therefore were exposed to a lower radiation dose than myeloid hematopoietic stem cells, which are precursors of granulocytes, contained in the irradiated medullary stroma. As mentioned above, high doses of radiotherapy ( $>30$  Gy) could have led to bone marrow fibrosis and stem cell apoptosis.

Moreover, lymphocytes were sampled just before and within 2 hr after the end of the irradiation regimen and forced to divide ex vivo

so that DNA double-strand breaks, which are known to be induced by ionizing radiation, induced micronuclei formation. In contrast, to produce an increase in the *PIG-A* MF, mutations must be fixed in the bone marrow via cell division, and the cells bearing mutations must transit to the peripheral blood. One cannot exclude that the turnover of granulocytes may not have been sufficient for a small cohort of mutated cells to significantly affect the *PIG-A* MF. Myeloid precursors perpetually undergo mitosis and thus are probably more sensitive to genotoxic stimuli than circulating lymphocytes, which are quiescently maintained in the G0 phase of the cell cycle. Indeed, because of the long circulating lifespan of lymphocytes, chromosomal damage can be revealed later by an *ex vivo* culture step. The persistence of CBMLs, which has been reported up to 5 years after irradiation, could reflect this clinical feature (Lee *et al.*, 2002). Finally, ionizing radiation is among the most potent clastogens and therefore may be poorly detected by an X-linked reporter gene mutation. The CBML frequency is increased following chromosome breakage and/or losses, whereas *PIG-A* MFs should be mainly increased following gene mutation; thus, the nature of the DNA and/or chromosomal damage could have an impact on the sensitivities of the two assays. The accurate examination of the individual evolution of the *PIG-A* MF during RT showed three recognizable patterns: no modification of the *PIG-A* MF while the CBML frequency increased (12 patients), an increase in the *PIG-A* MF only (1 patient) and increases in both the *PIG-A* MF and the CBML frequency (3 patients). Even if the small sample size does not allow a definitive conclusion to be reached, interindividual differences in response to mutagen and clastogen exposures may explain these findings.

To our knowledge, only four studies have already evaluated the consequences of ionizing radiation and/or chemotherapy on the frequency of *PIG-A*-mutant cells in human blood. MacDiarmid *et al.* did not observe any increase in the *PIG-A*-mutant lymphocyte frequency following depleted uranium exposure in military veterans (MacDiarmid *et al.*, 2011). Horibata *et al.* evaluated the *PIG-A* assay with human red blood cells using a cohort of 27 cancer patients treated with chemotherapy and/or RT. The authors did not report any increase in the *PIG-A*-mutant cell frequency among the patients treated with RT ( $n = 14$ ) (Horibata *et al.*, 2016). Nevertheless, this population was highly heterogeneous, with different types of cancers, various RT modalities and possibly unsuitable sampling times. Dobrovolsky *et al.* evaluated the *PIG-A* assay with red blood cells in a cohort of 10 cancer patients treated with chemotherapy. Six of the patients had breast cancer and received chemotherapeutic agents similar to those used in our study. Using this cell type as a target, they found only an approximately three-fold increase in the frequency of red blood cells originating from a *PIG-A*-mutant precursor in a single patient treated with a combination of cisplatin and etoposide (Dobrovolsky *et al.*, 2011). In contrast, Haboubi *et al.* recently found that the *PIG-A* assay performed with erythrocytes could help to detect esophageal adenocarcinoma and might be a useful predictor of disease progression (Haboubi *et al.*, 2019). It may thus be possible that the sensitivity of the *PIG-A* assay differs depending on the origin of genomic instability.

## 5 | CONCLUSION

Our assay failed to demonstrate an increase in the *PIG-A*-mutant granulocyte population following RT for breast cancer therapy, even in the group with the largest irradiated bone volume (WBNRT group). Further studies are needed to evaluate the sensitivity of the *PIG-A* assay in humans subjected to genotoxic exposures with targeting of different blood cells.

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### AUTHOR CONTRIBUTIONS

**Xavier Carcopino:** Designed the study and applied for Research Ethics Board approval; approved the final manuscript. **Pierre Castel:** Designed the study and applied for Research Ethics Board approval; collected the data; analyzed the data and prepared draft figures and tables; prepared the manuscript draft with important intellectual input from X. Carcopino, S. P. Robert, V. M. Tassistro, C. A. Delecourt, and T. G. Orsière; approved the final manuscript; had complete access to the study data. **Didier Cowen:** Designed the study and applied for Research Ethics Board approval; recruited the patients; approved the final manuscript. **Thierry G. Orsière:** Designed the study and applied for Research Ethics Board approval; collected the data; approved the final manuscript; had complete access to the study data. **Rémi M. Bonetto:** Recruited the patients; analyzed the data and prepared draft figures and tables; prepared the manuscript draft with important intellectual input from X. Carcopino, S. P. Robert, V. M. Tassistro, C. A. Delecourt, and T. G. Orsière; approved the final manuscript; had complete access to the study data. **Stéphane P. Robert:** Collected the data; analyzed the data and prepared draft figures and tables; approved the final manuscript; had complete access to the study data. **Virginie M. Tassistro:** Collected the data; analyzed the data and prepared draft figures and tables; approved the final manuscript. **Camille A. Delecourt:** Analyzed the data and prepared draft figures and tables; approved the final manuscript. **Magalie Claeys-Bruno:** Analyzed the data and prepared draft figures and tables; approved the final manuscript. **Michelle Sergent:** Analyzed the data and prepared draft figures and tables; approved the final manuscript.

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