

Ontogeny of cranial base during the first two years of life

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1 Ontogeny of cranial base during the first two years of life

- 2 Ontogenèse de la base du crâne durant les deux premières années de vie
- 3
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1 Abstract

2 The integration of the growth of the brain and the cranial base suggests that each system may influence the other,

3 notably during the first three years of life, although this influence has never been proven to be exclusive.

4 The aim of our work was to analyse the dynamics of normal growth on the one hand, and the development and
5 ontogenetic allometry of the cranial base in the infant on the other hand.

Materiel and Method: 32 infants (17 males/15 femeles) having been included in the unexpected infant death
 french protocol were analyzed. Three-dimensional reconstructions of the cranial base were performed from CT
 scans. The technique combined manual segmentation of regions of interest, contour extraction and surface
 reconstruction Nineteen landmarks were positioned on each of the bone surfaces

10 Results: No correlation was observed between sex assigned at birth and shape, weight, crown-heel length, or 11 head circumference. Principal component analysis showed that 85.5% of the variance observed on the first 12 component was secondary to growth. After Procrustes superimposition, 25% of the shape variance observed was 13 explained by the first principal component. It showed anteroposterior lengthening of the cranial base. In addition, 14 the height, width and length of the posterior fossa increased and the relative position of the basion was displaced 15 inferiorly and anteriorly with flexion of the sphenoid angle. Negative allometry was also observed.

16 Conclusion Our study, carried out in a rigorously selected population of infants, presents a fundamental17 approach to ontogeny through study of shape, growth and ontogenetic allometry.

18 Key words: ontogeny; brain; cranial base; infant; growth; development; allometry.

19

20 Résumé

L'intégration de la croissance du cerveau et de la base crânienne suggère que chaque système peut influencer
l'autre, notamment pendant les trois premières années de la vie, bien que cette influence ne se soit jamais avérée
exclusive.

Le but de notre étude était d'analyser la dynamique de la croissance normale, et d'autre part le développement
et l'allométrie ontogénique de la base du crâne chez le nourrisson.

26 Matériel et méthodes : 32 nourrissons (17 garçons / 15 filles) ayant été inclus dans le protocole français de mort

27 subite du nourrisson ont été analysés.

Des reconstructions tridimensionnelles de la base crânienne ont été réalisées à partir de CT-scann. La technique
 combinait la segmentation manuelle de régions d'intérêt sélectionnées, l'extraction de contours et la
 reconstruction de surface Dix-neuf points de repère ont été positionnés sur chacune des surfaces osseuses

4 Résultats : Aucune corrélation n'a été observée entre le sexe assigné à la naissance et la forme, le poids, la longueur du talon ou la circonférence de la tête. L'analyse en composantes principales a montré que 85,5% de la variance observée sur la première composante était secondaire à la croissance. Après superposition Procrustes, 25% de la variance de la conformation observée était expliquée par la première composante principale. Elle montrait un allongement antéropostérieur de la base du crâne. De plus, la hauteur, la largeur et la longueur de la fosse postérieure augmentaient et la position relative du basion était déplacée vers le bas et l'avant avec la flexion de l'angle sphénoïde. Une allométrie minorante a également été mise en évidence.

11 Conclusion : Notre étude, réalisée dans une population de nourrissons rigoureusement sélectionnée, présente
 12 une approche fondamentale de l'ontogénie à travers l'étude de la forme, de la croissance et de l'allométrie
 13 ontogénétique.

14 Mots clés: ontogénie; cerveau; base du crâne; nourrisson; croissance; développement; allométrie.

15

1 Introduction

2 Ontogeny is described as the development of an organism from conception to adulthood. It is defined as a
3 process composed of three interdependent mechanisms: growth (changes of size with age), development
4 (changes of shape with age) and ontogenetic allometry (changes in shape according to size) (1).

5 The integration of the growth systems of the brain and the cranial base suggests that each system may influence
6 the other, notably during the first three years of life, although this influence has never been proven to be
7 exclusive (2). It is thought to come into play during the foetal period and the first three years of life, when
8 growth of the brain is greatest (3).

9 The brain is known to be the best indicator of maturation in foetal ontogenesis (4-5), and a continuum exists
10 between development and maturation of the brain in the foetus and the infant (4-9). Changes in the cranial base
11 may be considered as reflecting brain development.

Using geometric morphometric methods, shape can be considered independently of size (10-11). Needham's equation defines form as size and shape (12). Kendall (13) defined shape as "all the geometrical information that remains when location, scale and rotational effects are filtered out from an object". Using these methods, the shape changes that take place during growth can be quantified and visualised, notably in three dimensions (14). In this way, the ontogenetic trajectories of the foetal cranial base (15-19) and of the craniofacial complex in the neonate can be determined (20-21).

18 The aim of our work was to analyse the dynamics of normal growth on the one hand, and the development and 19 ontogenetic allometry of the cranial base in the infant on the other hand.

20

21 Materials and Methods

22 Sample

The sample studied consisted of a retrospective series of infants aged 0 to 24 months and who had been included
in the sudden infant death (SID) protocol in the public hospitals of Marseille (Assistance Publique des Hôpitaux
de Marseille).

We studied 32 infants (17 males / 15 females) who had died between January 1, 2010, and 31 December, 2016
(Fig. 1).

The deaths were due to sleeping accidents (positional mechanical asphyxia), infectious disorders (viral and
 bacterial) and surgical abdominal disorders (acute intestinal intussusception, peritonitis, volvulus) or sudden
 infant death syndrome.

Only infants with no disorder affecting growth and no brain disorder identified on imaging followed by autopsy
and histopathological examination were included in the study. Autopsy completed by histopathological
examination was considered the gold standard to exclude any disorder. Autopsy was performed after the written
consent of the parents had been obtained. Any external or internal abnormalities were described.

8 The non-inclusion criteria were incomplete or insufficient quality of CT-scan (slice thickness \leq 1.5 mm).

9 Information on pregnancy, term at birth, and medical and surgical history was also recorded.

For each infant, anonymized anthropological data were available: chronological age (or corrected age if the
infant was born before 38 weeks amenorrhea), weight, crown-heel length, head circumference and sex.

12 The scans were obtained with a helical CT scanner (Somatom Sensation Cardiac 64; Siemens, Erlangen,

13 Germany) and the following parameters: voltage 100–140 kVp, amperage 50–150 mA, and slice thickness 0.75–

14 1.5 mm. These high-resolution native slices recorded in the Digital Imaging and Communications in Medicine

15 (DICOM) format were anonymised before use.

16

17 Data acquisition

18 Three-dimensional reconstructions of the cranial base were carried out from the CT scans. The technique 19 combined manual segmentation of selected regions of interest, contour extraction and surface reconstruction 20 using Osirix software (Pixmeo, Switzerland) version 5.6. The reconstructions were recorded in STL format. 21 Nineteen landmarks (LDK) were positioned on each of the bone surfaces using Avizo Standard Edition software 22 (v.7.0.0®, Visualization Sciences Group, SAS) (Fig. 2, Table 1). Bookstein (22) created a classification system 23 where landmarks are categorized as Types I, II, or III based on their structural location and how informative the 24 landmarks may be in terms of biological processes and epigenetic factors. Two of the landmarks were type I 25 (point in space where three structures meet), 10 were type II (maxima of curvature or other local morphogenetic 26 processes) and 7 were type III (landmark points characterised locally by information from multiple curves and 27 by symmetry) according to Bookstein's classification (22).

1

2 Statistical analysis

3 Reliability of measurements

4 At the current time, there is no reliable and valid method for evaluating the error associated with the positioning 5 of an individual landmark (23). The repeatability of landmark positioning was evaluated blindly at an interval of 6 one month from the Procrustes coordinates using three different methods: Lin's concordance correlation 7 coefficient (CCC), the Bland-Altman agreement method and the matrix correlation test (24). The CCC allow to 8 approximate the coefficient of precision (average of the absolute differences between the two observations). The 9 principle of the method proposed by Bland and Altman consists in assessing the difference observed between 10 two values obtained for the same measurement. This method makes it possible to deduce, on the set of the 11 population analyzed, the bias, the precision and the limits of the 95% confidence interval, allowing to rule on the 12 concordance of the two series of values (25). The matrix correlation test was used to evaluate similarity between 13 two covariance matrices of procrustes coordonates(26) in the absence of outliers. This tests the null hypothesis 14 of independence of the matrices. A permutation test using 10,000 permutations was carried out to test the null 15 hypothesis of absence of similarity. Global measurement error of shape was also evaluated following the 16 protocol described by Claude (27) and derived from the work of Bailey and Byrnes (28). It consisted in carrying 17 out a Procrustes ANOVA to evaluate shape variation between two landmark digitization sessions, and calculate a 18 percentage of measurement of error (%ME).

19 Growth

The raw cartesian coordinates of the landmarks were extracted. Principal component analysis (PCA) of these coordinates was used to analyse morphological changes during growth by studying form (12). It allowed to visualise the distribution of the individuals and to evaluate morphological distances between specimens. A growth model was developed using Autocad software 2016 (AutoDesk, San Francisco, CA). This software is mainly designed to prepare technical drawings. All evaluations were done by a single trained professional. This growth model were aligned according to landmark 1 (hypophyiseal fossa in sella turcica) and the midsagittal plane.

27 Analysis of shape and centroid size

A Generalized Least-squares Procrustes fit was then used to extract centroid sizes (CS) and shapes (represented
 by procrustes coordinates) from the original forms by removing variation due to relative size, position, and
 orientation (29-33)

4 . The raw landmark data were used to calculate CS and quantify the size of a specimen (34). Mathematically,
5 centroid size corresponds of the squares of each of the distances between a landmark and the centroid of the
6 landmark configuration.

7 The cranium was considered as a structure of object symmetry type (35) according to the definition of Mardia et8 al. (36). In our analysis, only the symmetric component of variation was used for study of shape.

9 A PCA was applied on the Procrustes coordinate to analyse the variation in shape and visualise the10 morphological changes that were the most characteristic of development in our sample.

Statistical significance was estimated using permutation tests with 10,000 iterations against the null hypothesisof independence between shape asymmetry and size asymmetry.

13

14 Study of allometry

15 As morphological traits could be influenced by size following an allomeric relation, a multivariate regression of 16 shape on centroid size was performed (14). Indeed, this analysis can characterise allometry by determining 17 whether there is a statistical association between shape and size. Thus, it allowed to characterise morphological 18 changes according to size and also to determine the growth trajectories (ontogenetic allometry). When 19 examining early stages size changes are very important. Therefore, in order to obtain a better performance 20 Klingenberg recommend to use the logarithm of centroid size (14). Statistical significance was estimated using 21 permutation tests with 10,000 iterations against the null hypothesis of independence between shape and size 22 (37).

Shape changes (dependent variable) were also analysed according to age, sex, head circumference, crown-heel
length and weight (independent variables) using multivariate regression (38).

Statistical and geomorphometric analysis of the data was carried out using R software (39), the Geomorph
software package version 3.1.3 (40), and MorphoJ® 1.06d software (29).

27

28 Results

1 Reliability of measurements

2 The Procrustes coordinates yielded by landmark positioning showed excellent concordance (Lin's CCC >0.95).

According to Bland and Altman, the difference between the two measurements was normally distributed: 95% of
the values of measurement differences were within the limits of agreement. The difference between two
measurements was uniformly distributed. Bias (mean difference) was low [-0,0014;0,0014] and 0 was contained
within the confidence interval of bias.

7 The matrix correlation test was statistically significant (p <0.0001, R2 = 0.88), rejecting the null hypothesis. The
8 matrices of covariance were not statistically dissimilar that means that the two sessions of posing landmarks are
9 not statistically different. Global measurement error of shape was 11.5%.

10

11 Principal results

12 Study of shape

PCA identified 27 principal components (PC). The distribution of PC is quite structured. About 60% of the total variance is explained by the first 4 PC (Fig. 3). The first PC (PC1) was largely preponderant, and it alone explained 25% of total variance. The next 3 PCs showed similar values, accounting together for 35.6% of variance (12.4%, 12.2% and 11% respectively). PC1 dominated in terms of explained variance, suggesting reliable statistical stability. The PC is an axis of largest variation that sometimes can be linked to a biological signal.

19 The principal shape changes of the cranial base were revealed (Fig. 4). In superior projection, PC1 was 20 associated with lengthening of the posterior level in relation to the anterior level of the cranial base, due to 21 enlargement of the posterior part of the temporal bone and reduction of its anterior part, together with widening 22 of the interpetrous angle. In lateral projection, PC1 showed flexion of the cranial base, secondary to slight 23 closure of the sphenoid angle, projection of the basion downwards and forwards, together with lengthening of 24 the posterior level. In superior projection, PC2 was associated with anteroposterior lengthening of the temporal 25 bone and of the posterior level. Lateral projection revealed superior and posterior reorientation of the petrous 26 pyramids and superior and anterior reorientation of the small wings of the sphenoid, resulting in increased depth 27 of the various levels of the cranial base.

Multivariate regression showed a statistically significant correlation between shape and the age of the infants (p
= 0.001, R2 = 74). According to these results, age explained 7.4% of variation of the shape of the cranial base.
There was no statistically significant correlation between shape and sex (p = 0.50), weight (p = 0.06), head
circumference (p = 0.60) and size (p = 0.48).

5

6 Centroid size

7 The centroid size of the cranial base increased during the first two postnatal years (Fig. 5).

8

9 Study of allometry

10 Multivariate regression of shape on centroid size exhibited significant allometry (p = 0.001, R2 = 71). As the 11 range of sizes was large, log-transformed centroid size was used as an independent variable in multivariate

12 regression (14) and revealed allometry (p = 0.001, R2 = 31) which explained 3.1% of total shape variation.

13 The geomorph package in R with PredLine (41).

14 was used to perform shape regression on centroid size. This generated a graph describing the relationship 15 between centroid size and shape as derived from landmark data. It yielded a regression line that reflected 16 negative ontogenetic allometry (b <1). Negative allometry corresponds to a change in shape that is less than 17 could be expected in view of the increase in the size variable.

18

19 Growth

20 95.2% of total variation was explained by the first two principal components (85.5% and 9.7%, respectively).

This variance was explained by size (see section on Study of shape, above) and demonstrated the effect ofgrowth (Fig. 6).

23

24 Discussion

To our knowledge, this study is the first to adopt a global approach to ontogeny of the cranial base in apopulation of infants with complete data, based on three-dimensional study of cranial base shape.

27 Study population and acquisition techniques

Our series was large compared with other studies, the population was of heterogeneous distribution but coherent with epidemiological data on SID (42). In France, sudden infant deaths are managed in a reference centre (specialised paediatric hospital department) following a protocol based on the recommendations of the High Authority for Health (42). In accordance with this protocol, medical investigations including imaging studies and full autopsy can be carried out as rapidly as possible in order to identify a cause of death. There was a clear majority of infants aged under 10 months and an unbalanced sex ratio, males being more numerous. Certain age groups were thus under-represented, limiting the scope of our conclusions for certain parameters.

8 The strength of our approach, however, lies in its three-dimensional geometric morphometric analysis of the9 cranial base, whereas the majority of studies are two-dimensional (2,16-18).

Infants were rigorously selected (only 54% of the original sample were finally included) in order to exclude any
condition that could affect the biometric parameters chosen. The CT scan thicknesses gave good threedimensional reconstruction of the cranial base.

Landmark positioning for geometric morphometric study was reproducible in spite of the presence of numerous type II and III Bookstein landmarks (22). Type II and III landmarks made it possible to include areas that otherwise would not have been sampled. This yields valuable information for studies of growth, clinical evaluation and volume measurements (43). As far as we are aware, no publication has yet established an acceptability threshold for general measurement error, and we consider that this should be a matter for the researcher's subjective judgment.

19 The acquisition of our imaging data (*post mortem*) was not however optimal, as section thickness was greater 20 than that of other geometric morphometric studies that used high-resolution imaging techniques (17). Our 21 medical images had been obtained with the aim of diagnosis rather than for research.

22 Geometric approach

Analysis of PC1 revealed three modes of variation (anteroposterior elongation of the cranial base, flexion of the cranial base and increase the opening of the posterior fossa). The latter two may be related to relative growth of the cerebellum. Similar observations have been made in the foetus and newborn (2, 15, 44). Growth was constant during the first two years of life. The increase in anteroposterior length of the cranial base has previously been described (45). The other principal components of shape should be interpreted with caution, as only landmark 1 showed notable
 explained variance, suggesting reliable statistical reliability (46). It could thus reflect true biological correlation
 between the elements involved (47).

Our study emphasises that shape changes are greater in the infant than in the adult (3, 48-49), found that growth
of the brain and skull slowed down after the age of two and a half years. The fact that infants are in a growth
phase (unlike adults, in whom only intra- or inter-population variability plays a role) is of course an explanatory
factor of the difference observed.

8 Multivariate analysis showed a statistically significant correlation between shape changes and age, as found by
9 other authors (45). This correlation was only 0.074 so while significant it is not by any stretch a strong
10 correlation. It may be worth commenting upon this comparing the strength of correlations in the literature.

11 The impact of sexual dimorphism on the ontogeny of the skull-brain complex is debated in the literature. The 12 size and composition of our sample does not allow to discuss sexual dimorphism and specifically if there are 13 differences in growth and development between females and males. Gkantidis and Halazonetis (50), observed no 14 sexual dimorphism that could explain the shape but these findings conflicted with observations of the 15 neurocranium by Bulygina et al. (51).

We found a statistically significant association between centroid size and shape based on a methodology that is widely used in the literature (14, 30, 52). Multivariate regression of shape on centroid size guaranteed optimal estimation of allometry (14,53). The allometric trajectory in immature subjects has principally been studied in the foetus (15-19). Our study has demonstrated that the allometric trajectory was linear in the morphospace during the first years of postnatal life. Allometry was of negative type, corresponding to an expected change of shape that was smaller than the relative increase in the size variable. After the foetal period, the skull and head segment developed more slowly than the rest of the body (54).

23 Conclusion

As far as we are aware, this is the first study to take an overall approach to ontogeny of the cranial base in a population of infants with complete data. Better knowledge of overall growth and normal development increases our understanding of the various disorders of the brain and the cranial base and of their pathophysiology, leading to improved surgical treatment.

Based on original analysis, we demonstrated that growth of the cranial base principally occurs by anteroposteriorlengthening. The height, width and length of the posterior fossa increase. The relative position of the basion is

- 1 displaced inferiorly and anteriorly due to flexion of the sphenoid angle. Shape changes of the cranial base are
- 2 mainly observed at the posterior level and are may be related to development of the cerebellum.

3

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7 Author contributions

8 C. Delteil: conception and design, image selection and treatment, data analysis and interpretation, manuscript

9 writing.

- 10 C. Mopin: data analysis and interpretation, manuscript revision and approval of the article.
- 11 A. Carballeira Alvarez: CT scan data.
- 12 P. Adalian and M.D. Piercecchi: conception and design, data interpretation, manuscript revision and approval of
- 13 the article.

14

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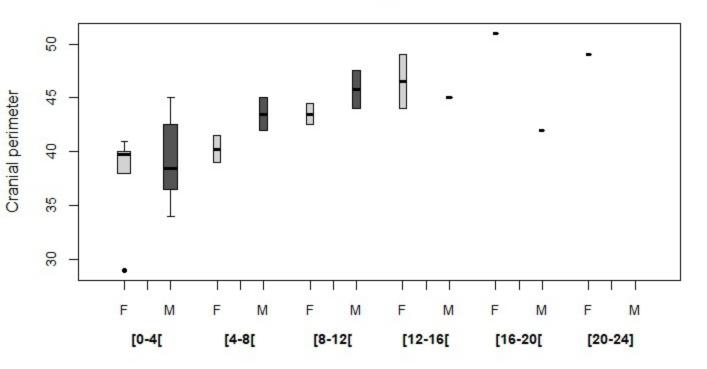
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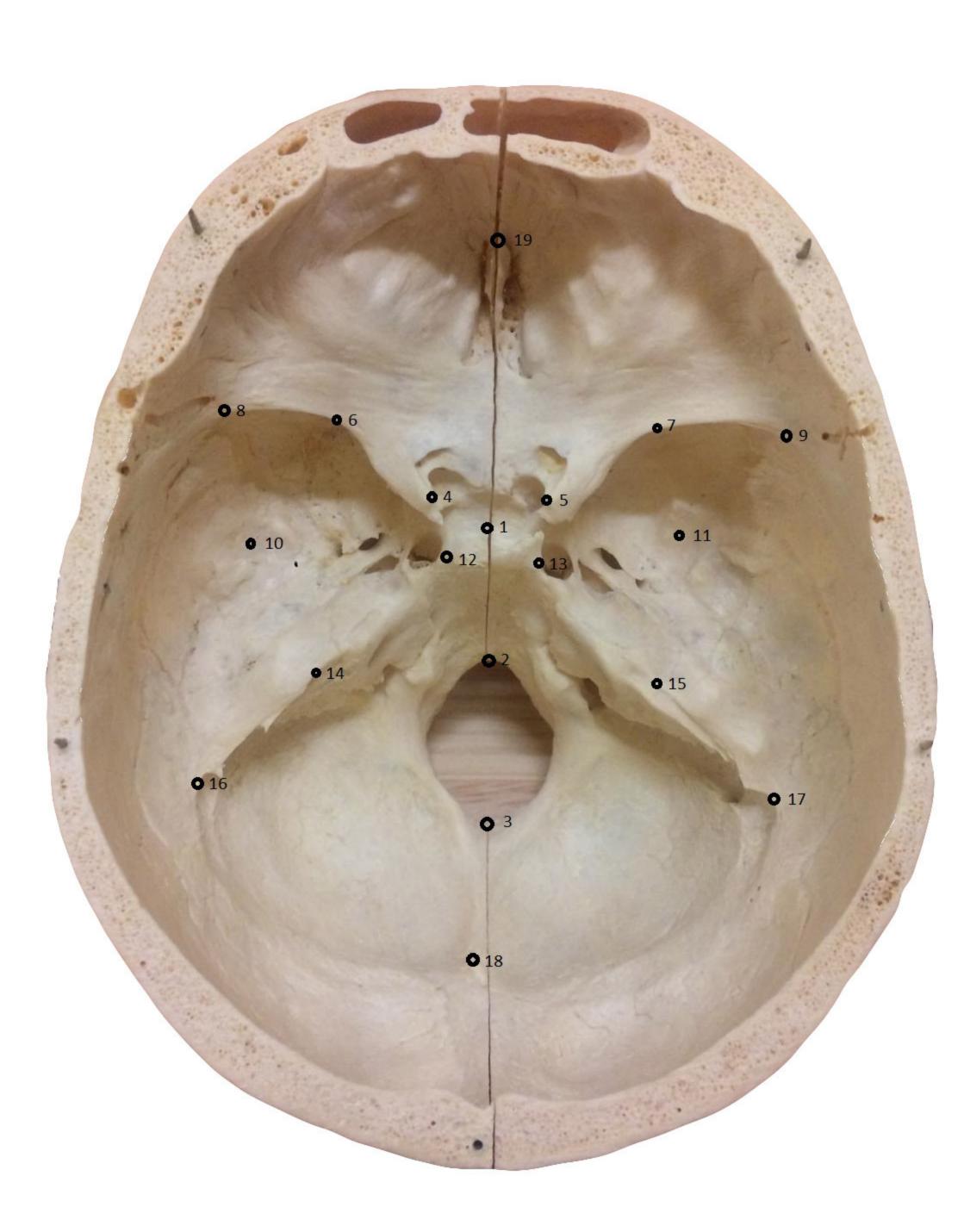
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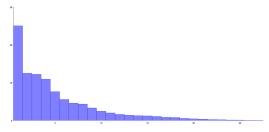
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- 26
- 27 Tables legends

- 1 Table 1 Definition of the landmarks used in our study and their classification according to Bookstein (1991).
- 2 Figure legends
- **3** Fig. 1 Cranial base with its 19 landmarks (superior view)
- 4 Fig. 2 Boxplot of the head perimeter according to sex and age group.
- 5 Fig. 3 Histogram of eigenvalues: variance according to principal components.
- 6 Fig. 4 Wireframe images show shape changes (superior and lateral views) between the youngest infant (0
- 7 months, soft grey lines) and the oldest infant (24 months, dark grey lines).
- 8 Fig. 5 Mean centroid sizes by age group.
- 9 Fig. 6 The growth normogram, characterised by raw superimpositions of landmarks, was carried out using
- 10 Autocad 2016 software.
- 11

Boxplot



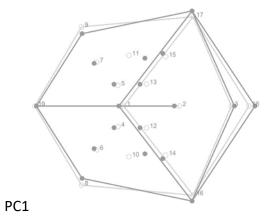


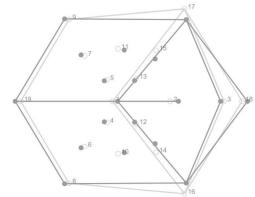


Principal components

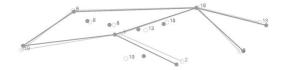


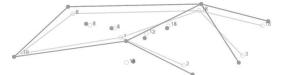
Left

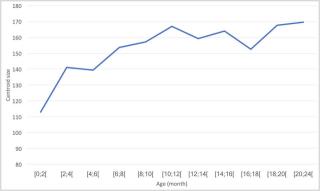


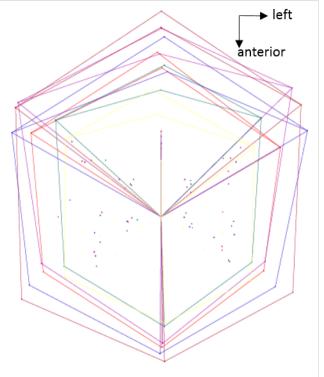


PC2









color	Age (month)
yellow	0,43
green	1,03
red	4,96
blue	9
violet	16,76
maroon	18

Landmark	Landmark	Lateral/Midline	Name
number	type	landmark	
1	II	Mid	Hypophyiseal fossa in sella turcica
2	II	Mid	Basion : anterior foramen magnum
3	II	Mid	Opisthion : posterior foramen magnum
4	Ι	Lat	Left anterior clinoid process
5	Ι	Lat	Right anterior clinoid process
6	III	Lat	Midpoint of left lesser sphenoid wing
7	III	Lat	Midpoint of right lesser sphenoid wing
8	II	Lat	Lateral border of left small sphenoid wing
9	II	Lat	Lateral border of right small sphenoid wing
10	III	Lat	Deepest point of left middle fossa
11	III	Lat	Deepest point of right middle fossa
12	II	Lat	Most internal point, upper border of left petrous part
13	II	Lat	Most internal point, upper border of right petrous part
14	III	Lat	Midpoint of left petrous part (between the most internal and the
			apex of petrous part points)
15	III	Lat	Midpoint of left petrous part (between the most internal and the
			apex of petrous part points)
16	II	Lat	Apex of left petrous part
17	II	Lat	Apex of right petrous part
18	III	Mid	Internal occipital crest
19	II	Mid	Foramen caecum

 Table 1 Definition of the landmarks used in our study and their classification according to Bookstein.