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2	vapor-liquid equilibrium
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37 Abstract

38 Several types of laboratory experiments were conducted to evaluate isotope fractionation caused by 39 phase transfer process for a selection of common environmental contaminants. Carbon and hydrogen isotope fractionation caused by vaporization of non-aqueous phase liquid (NAPL), by 40 41 volatilization from water and by dissolution into an organic solvent (tetraethylene glycol 42 dimethylether or TGDE) was investigated under closed system experimental setups to isolate the air-43 liquid partitioning process. A selection of aromatic, aliphatic and chlorinated compounds along with one fuel oxygenate (methyl tert-butyl ether or MTBE) were evaluated to determine isotope the 44 45 enrichment factor related to respective phase transfer process. During NAPL vaporization, the 46 residual mass of aromatic compounds, aliphatic compounds and MTBE became progressively 47 depleted in heavy carbon and hydrogen isotopes. In contrast, during volatilization from water, the 48 residual mass of aromatic compounds and MTBE dissolved in the water became progressively 49 enriched in heavy hydrogen isotopes, whereas no significant change in carbon isotope was observed, 50 except for MTBE showing a significant depletion. For the air-TGDE partitioning process, most of the 51 aromatic compounds tested led to no significant carbon (except ethylbenzene) or hydrogen (except 52 toluene and o-xylene) isotope fractionation. In contrast, significant carbon isotope fractionation was 53 observed for aliphatic and chlorinated compounds and hydrogen isotope fractionation for aliphatic 54 compounds, and are comparable to progressive NAPL vaporization in direction and magnitude. The isotope fractionation factors determined in this study are key for interpreting the change in isotope 55 56 ratios when assessing the fate of gas-phase VOCs present in the soil air or when gas-phase VOCs are 57 sampled using TGDE as the sink matrix. The results of this study contribute to expand the list of 58 common environmental contaminants that can be assessed by the compound-specific isotope analysis (CSIA) method deployed in the frame of gas-phase studies. 59

60

Keywords: Stable isotopes, carbon isotope, hydrogen isotope, VOC volatilization, organic liquid
vaporization, isotope fractionation.

64 **1. Introduction**

65 Volatile organic compounds (VOCs) represent an important class of subsurface environmental contaminants, among which chlorinated solvents and petroleum hydrocarbons are commonly 66 67 encountered. In general, these contaminants have penetrated into the subsurface as non-aqueous 68 phase liquids (NAPL) due to accidental releases above ground or improper storage tank containment 69 in the subsurface. During downward migration through the unsaturated zone, portions of the moving 70 NAPL become immobilized in the soil pores, resulting in a residual saturation ranging from 2 to 20% 71 of the soil pore volume (Boulding, 1995). Due to their high vapor pressure, VOCs are expected to 72 volatilize from the immobilized NAPL and migrate by gas-phase diffusion through the soil porosity, 73 thus forming gas-phase plumes. potentially posing threats to human health if entering buildings (so 74 called vapor intrusion process) (Johnson and Ettinger, 1991; Verginelli et al., 2016) or contaminating 75 sub laying groundwater resources (Grathwohl et al., 2003). Biodegradation and chemical oxidation 76 are naturally occurring attenuation processes limiting gas-phase plume expansion by transforming 77 VOCs into less harmful compounds (see Rivett et al. (2011) for an extensive review of processes). 78 Aerobic biodegradation of VOCs during migration can be expected to readily occur for petroleum 79 hydrocarbons under field conditions (Lahvis et al., 1999; Baker et al., 2000; Christophersen et al., 2005; Davis et al., 2005; Höhener et al., 2006; Molins et al., 2010; Sihota et al., 2016). Aerobic 80 81 biodegradation of chlorinated compounds in the unsaturated zone may also naturally occur, with 82 biodegradation of *cis*-DCE and VC being commonly reported (Kirtland et al., 2003; Patterson et al., 83 2013; Kurt et al., 2014). The literature remains scarce regarding aerobic biodegradation of TCE. While 84 shown to occur in aqueous media (Barth et al., 2002; Chu et al., 2004; Clingenpeel et al., 2012), 85 occurrence during gas-phase transport in unsaturated soil remains to be demonstrated. Similarly, 86 chemical oxidation of TCE under oxic conditions was shown to occur in aqueous media (Pham et al., 87 2008; Schaefer et al., 2018), but occurrence during gas-phase transport in unsaturated soil also 88 remains to be validated. Finally, anoxic environments favorable to anaerobic biodegradation can also be developed at sites where the oxygen is consumed at higher rates than supplying rates (Molins et
al., 2010), which was also shown to contribute limiting petroleum hydrocarbon plume expenditure.

91

92 Natural source zone depletion (NSZD) by physical and reactive processes has been presented as a 93 viable site management strategy (Johnson et al., 2013). Relying on natural attenuation processes as a 94 site management strategy is acceptable when one can scientifically document the occurrence and 95 contribution of attenuation processes along with quantification of contaminant mass reduction rates, 96 while posing no risk to nearby population or natural resources. Quantification of mass reduction 97 rates associated with a source zone located in the unsaturated zone is generally carried out by 98 evaluating concentration changes for each targeted VOC in the soil gas over time (Cozzarelli et al., 99 2001; Lundegard and Johnson, 2006). However, understanding the VOC mass-transfer dynamics of a 100 multiple-component NAPL is critical to accurately assess fate and contaminant transport, and yet 101 represents a challenge to predict (Wang et al., 2003; Broholm et al., 2005; McColl et al., 2008). In 102 addition, while VOC concentrations are dependent on many environmental factors (for instance 103 seasonal temperature variation, recharge water or groundwater level fluctuation), representative 104 concentrations over space and time remain a limiting factor in quantifying accurate NSZD rates 105 (Ponsin et al., 2015; Sihota et al., 2016). When remediation actions on the source are required, some 106 engineered systems will take advantage of the high vapor pressure of the contaminants to accelerate 107 source mass reduction. Soil vapor extraction (SVE) systems were shown to be an effective method for 108 removing VOCs from the unsaturated zone, and thus became a common technology deployed by 109 remediation engineers. Over prolonged treatment duration, the treatment efficiency tends to 110 decrease indicated by reduced mass-removal rates and concentration tailings. This efficiency loss is 111 caused by different physical, chemical, and biological factors influencing contaminant transport 112 (McColl et al., 2008), from which emerges the question whether or not the treatment efficiency 113 reached its limitations and should thus be ended. To confidently determine proper NSZD rates in the 114 unsaturated zones or to appropriately evaluate the efficiency of remediation systems applied to unsaturated zones, field practitioners therefore need assessment tools that are suitable for gas-phase investigations.

117

118 Compound-Specific Isotope Analysis (CSIA) is a process-specific assessment tool increasingly used in 119 gas-phase studies. CSIA was shown to be an appropriate assessment tool to evaluate NSZD in the 120 unsaturated zone (Bouchard et al., 2008b; Höhener et al., 2008; Bouchard et al., 2011), to assess for 121 vapor intrusion (McHugh et al., 2011; Jeannottat and Hunkeler, 2013; Beckley et al., 2016) and to 122 evaluate the performance of remediation systems (Bouchard et al., 2017a; Bouchard et al., 2018b; 123 Zamane et al., 2020). Basically, the CSIA method aims at tracking the isotope ratio evolution of an element (¹³C/¹²C, ²H/¹H, ³⁷Cl/³⁵Cl) for selected VOC with time (and/or distance) to demonstrate VOC 124 125 biodegradation (Aelion et al., 2010) or to identify the dominant attenuation process (Kuder et al., 126 2009; Bouchard et al., 2018a). The impact of biodegradation on the isotopic composition of VOC is 127 well understood and leads to an accumulation of heavy isotopes (i.e. isotope enrichment) in the 128 remaining pool of VOCs due to slower reaction kinetics of molecules including a heavy isotope. 129 However, it was shown that some physical attenuation processes, which had been neglected when 130 applying CSIA for VOCs in saturated soil conditions (i.e. groundwater), must be considered in 131 unsaturated soil conditions as causing significant isotope fractionation. For instance, vaporization of 132 organic liquid, air-water partitioning and gaseous diffusion are physical processes known to change 133 the isotopic composition of gas-phase VOCs (Bouchard et al., 2008b; Kuder et al., 2009). These 134 processes are hence expected to impact the isotopic signature of the VOCs during the course of 135 passive vaporization (such as during natural source zone depletion) or during an active engineered 136 remediation treatment. The direction (i.e. enrichment or depletion in heavy isotopes) and the 137 magnitude of the isotope fractionation is, however, dependent on the conditions under which the processes are occurring. For equilibrium conditions in a closed system (i.e. static conditions), an 138 139 isotope fractionation is expected due to different vapor pressure properties for isotopically different 140 molecules (and called isotopologues) (Van Hook, 2006; Wolfsberg et al., 2010). In contrast, for nonequilibrium conditions in an open system (i.e. dynamic conditions), isotope fractionation due to kinetic processes such as gaseous and aqueous diffusion is occurring, and the observed isotopic change reflecting the processes interplay (vapor pressure and diffusion) is explained by the Craig-Gordon model (Kuder et al., 2009; Jeannottat and Hunkeler, 2012; Julien et al., 2015b; Kopinke and Georgi, 2017). Currently, the direction and the magnitude of the isotope fractionation caused by vaporization and air-water partitioning process (under equilibrium conditions) are known for only few VOCs and elements, which limits the number of VOCs that can be assessed on field sites.

148

149 Lastly, application of CSIA in gas-phase studies is still largely limited due to lack of appropriate 150 sampling devices specific to isotope measurements. A simple and robust solvent-based method 151 specifically developed to facilitate isotope measurement on collected gas-phase VOCs has been 152 recently documented as an alternative sampling method (Bouchard et al., submitted). The method 153 uses tetraethylene glycol dimethylether (TGDE) as a liquid sink matrix to accumulate the gaseous 154 VOCs. TGDE was selected among other potential solvents due to its lower vapor pressure property, 155 increased affinity with polar and apolar compounds, and lastly due to absence of interference with 156 the analytes during the analytical measurements (Bouchard et al., 2017b). During the sampling 157 process, dissolution of gas-phase VOC into TGDE is controlled by the air-solvent partitioning process. This air-solvent partitioning process potentially leads to an isotope fractionation during the sampling 158 159 process, which warrants further evaluations.

160

This study has two objectives, both focusing on isotope fractionation caused by phase transfer processes. First, carbon and hydrogen isotope fractionation factors related to vaporization from organic liquid and air-water partitioning under equilibrium conditions were determined for a selection of aromatic, aliphatic and fuel oxygenate compounds. A large subset of hydrocarbons was tested to evaluate the isotope fractionation variability related to different molecular structure, and in view to expand the CSIA application in soil gas studies to additional common contaminants observed

at contaminated sites. Second, with the aim to support the development of the solvent-based sampling method specific to CSIA, carbon and hydrogen isotope fractionation related to air-TGDE partitioning was evaluated for a selection of aromatic, aliphatic, fuel oxygenate and chlorinated compounds. An isotope-specific analytical simulation was subsequently conducted reproducing the progressive accumulation of VOCs into TGDE during a sampling event. The analytical simulation was performed with the purpose of gaining comprehensive insights on the isotope fractionation caused by air-TGDE partitioning process during transient and steady state conditions.

174

175 2. Material & methods

176 **2.1. Laboratory experiments**

177

2.1.1. Stepwise NAPL vaporization in closed system

178 Multi stepwise NAPL vaporization experiments were carried out as previously performed for chlorinated ethenes by Jeannottat and Hunkeler (2012) to quantify carbon and hydrogen isotope 179 180 fractionation factor for benzene (B), toluene (T), o-xylene (o-X), cyclopentane, n-octane, 2,2,4-181 trimethylpentane (isooctane) and methyl tert-butyl ether (MTBE) (99% purity, various suppliers). The 182 experimental setup consisted of two 5 mL air-tight syringes (Hamilton – model 1005 RN SYR for screw 183 RN nut end tip) connected using a custom-designed screwing metal connector and maintained in 184 vertical position during the experiment. A small 25 µL metal receptacle was welded on the lower 185 syringe plunger to contain the NAPL. Once spiked in the receptacle, the NAPL was allowed to 186 equilibrate (20 minutes) with a fixed volume of air inside the lower syringe only by keeping the lock 187 closed. The selected air volume was the smaller, the higher the VOC vapor pressure. The lock was 188 reopened, and the headspace of the lower syringe was then transferred into the upper syringe. Once 189 the syringes disconnected, clean air was reintroduced in the lower syringe whereas isotope analysis 190 for the gas-phase compound contained in the upper syringe was performed. The VOC mass removed 191 per step was related to the syringe volume and the vapor pressure of the compound at the 192 experimental temperature (25°C). The mass removal evolution was tracked by using the total integrated peak area obtained during each isotopic analysis (see further explanation in Supplementary material). Repeated equilibration and dispense steps were performed until >95% of the NAPL mass was depleted, except for MTBE (>88%). Each compound was individually tested. For each VOC, carbon and hydrogen isotopes were evaluated separately respectively in duplicate and in triplicate experiments.

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2.1.2. Stepwise air-water partitioning in closed system

200 Multi stepwise air-water partitioning experiments were carried out as previously performed for 201 chlorinated ethenes by Jeannottat and Hunkeler (2012) to quantify carbon and hydrogen isotope 202 fractionation factor for BTo-X and MBTE. An aqueous solution of 10 mg/L was separately prepared 203 for each VOC in a 250 mL glass vial. Ten (10) mL of the solution was introduced into a 25 mL gas-tight 204 glass syringe with the plunger fully extended to the 25 mL mark, hence leaving 15 mL of headspace. 205 The syringe was closed and was slightly shaken (200 rpm) for at least 20 minutes to reach the air-206 water equilibrium. The headspace was dispensed in a fume hood and followed by the introduction of 207 15 mL of ambient air in the syringe. The equilibration-dispense step was repeated until a defined 208 mass proportion was removed based on Henry's law (at room temperature). The relative VOC mass 209 decrease over time was validated by concentration analysis carried out on each aqueous sample. 210 One experimental series involved six (6) independently produced water samples with increasing 211 number of equilibration steps. Three series were conducted for each compound (individually tested), 212 except for MTBE (2 series). Isotope analyses were performed on the water samples in duplicates.

- 213
- 214

2.1.3. Air-TGDE partitioning in closed system

Single step air-TGDE partitioning experiments were carried out to quantify carbon and hydrogen isotope fractionation factor for a selection of aromatic compounds (BTo-X, ethylbenzene (E) and *m,p*xylene (*m,p*-X)), aliphatic compounds (cyclopentane, *n*-hexane and *n*-octane) and one fuel oxygenate compound (MTBE). In addition, a selection of chlorinated compounds including perchloroethene

219 (PCE), trichloroethene (TCE), 1,1,2-trichloroethane (1,1,2-TCA), cis 1,2-dichloroethene (cis-DCE) and 220 1,2-dichloroethane (1,2-DCA) were evaluated to quantify carbon isotope fractionation factor. TGDE 221 was obtained from Sigma-Aldrich (>99% purity) whereas the chlorinated compounds were obtained 222 from various suppliers (all > 99 %). One (1) mL of TGDE was pipetted into a 20 mL glass vial. A defined volume of one pure compound was pipetted into the vial containing TGDE and then closed with 223 224 teflon-lined septa using screw caps. The volumes were chosen according to the air-tetraglyme 225 partitioning constant to produce about equal concentrations of each element C or H in the 226 headspace. Additional vials without TGDE and reduced NAPL volume were prepared to measure the 227 isotope ratio of the neat material (Table 1), again adjusting the amount of C and H to about equal 228 vapor concentrations. These concentrations produced peaks within the IRMS linear range calibration, 229 with amplitudes equal to reference gas peaks. For each VOC, a set of 5 vials with and without TGDE 230 was prepared and left to equilibrate for 24 hours at 30°C. Carbon and hydrogen isotopes were 231 evaluated in separate experiments.

233 Table 1: Volume of NAPL added per 20 mL vial containing TGDE (1 000 μL) or without TGDE, for

234 each VOC tested.

Compound	With	TGDE	Without TGDE		
	(μL)		(μL)		
	For ¹³ C For ² H		For ¹³ C	For ² H	
_	analysis	analysis	analysis	analysis	
Benzene	20	158	0.5	0.5	
Toluene	34	269	0.5	0.5	
Ethylbenzene	115	462	1	1	
<i>m,p-</i> xylene	230	230	0.5	0.5	
<i>o</i> -xylene	115	400	0.5	2	
cyclopentane	4	7	0.7	1	
<i>n</i> -hexane	5	7	0.6	1	
<i>n</i> -octane	40	8	0.6	1	
MTBE	230		0.5		
PCE	80		1.2		
TCE	101		1.4		
1,1,2-TCA	695		1.4		
cis-DCE	150		1		
1,2-DCA	180		1		

²³⁵

237 **2.2.** Analytical methods and data treatment

238 . The methods for concentration and for carbon and hydrogen isotope analysis are described in the

239 Supplementary Material.

240

For all cases, isotope ratios are reported in the delta (δ) notation (Coplen, 2011):

242

243
$$\delta = \left(\frac{R}{R_{std}} - 1\right)$$
 eq. 1

where R and R_{std} are the isotope ratio of the sample and the international reference standard,

245 respectively (VPDB for carbon and SMOW for hydrogen). The δ value obtained was then multiplied

by 1000 to conveniently express it in units of per mil (‰).

247

For single step equilibrium conditions, the isotope fractionation caused by phase partitioning(between the gas and liquid) was quantified using:

²³⁶

$$250 \qquad \alpha = \frac{(1000 + \delta_{gas})}{(1000 + \delta_{liquid})} \qquad \qquad \text{eq. 2}$$

251 Where α is the isotope fractionation factor, and where δ_{gas} and δ_{liquid} are the isotope values for the 252 VOC in gas phase and in the liquid phase, respectively. For convenience, the isotope fractionation 253 factor is further transformed into an isotope enrichment factor (ϵ) using:

254
$$\varepsilon = (\alpha - 1) * 1000$$
 eq. 3

An ANOVA test was carried out to evaluate difference between δ_{gas} and δ_{liquid} measurements, and the standard deviation reported for ε is the sum of the two standard deviations of the mean for each sample.

For multi stepwise equilibrium conditions, the general equation to quantify the isotope fractionation factor for NAPL-vapor equilibration and air-water partitioning was developed in Jeannottat and Hunkeler (2012):

261
$$\Delta \delta_{liquid} = \varepsilon (1 - f_{liquid})$$
 eq. 4

262

263 Where $\Delta \delta_{liquid}$ is the isotopic shift measured in the liquid, and f_{liquid} is the fraction of the VOC in 264 the liquid. Since the latter expression was shown to provide equivalent results to the Rayleigh 265 approach, the air-NAPL ($\varepsilon_{air-NAPL}$) and the air-water ($\varepsilon_{air-water}$) enrichment factor for each compound 266 was quantified using the Rayleigh equation:

267
$$\ln \left[(\delta + 1000) / (\delta_i + 1000) \right] = \frac{\varepsilon}{1000} \ln f$$
 eq. 5

268 Where δ_i is the initial isotopic composition of the compound, δ is the isotopic composition of the 269 compound at the remaining fraction *f*. For each compound, ε (for C and H) was determined by plotting $\ln [(\delta + 1000)/(\delta_i + 1000)] *$ 1000 as function of $\ln f$, where ε is the slope of the linear regression. A slope was determined for each replicate and also by regrouping triplicate experiments as a whole to report the bulk slope. The slope was not forced through the origin, as suggested by Scott et al. (2004). A Student-T test for regression method (to validate a slope different than zero) and a 95% confidence interval (for slope uncertainty) were calculated using the Statistics module from Grapher-Golden software[®].

Finally, the relationship $\Lambda = H - \varepsilon_{air-NAPL} / C - \varepsilon_{air-NAPL}$ was applied to report Λ values as $H - \varepsilon_{air-NAPL}$ and $C - \varepsilon_{air-NAPL}$ were determined in separate experiments. The Λ values contribute to enlarge the dataset used in the frame of 2D-CSIA approach to assess the dominant contaminant mass removal process during remediation treatment (Bouchard et al., 2018a).

280 2.3. Isotope modeling

A simple analytical simulation was performed describing the increasing VOC concentration into a solvent when an air stream loaded with gaseous VOCs is forced through. The analytical simulation incorporates isotope fractionation during dissolution process to investigate for potential isotope fractionation effect. The mathematical solution applied was previously described in Huybrechts et al. (2001):

286
$$C_L = \frac{c_g}{\kappa} \left(1 - e^{\left(-\frac{KF}{V}\right)t} \right)$$
eq. 6

287 Where C_L is the VOC concentration in the liquid at time t, C_g is the VOC concentration in the gas 288 phase, K is the air-solvent partitioning constant for the VOC, F is the air flow rate passing through the 289 solvent and V is the total volume of air injected. At infinite time (leading to VOC concentration 290 equilibrium between gas and solvent), equation 6 simplifies to:

$$291 C_L = \frac{C_g}{K} eq. 7$$

To evaluate the isotope composition evolution of TCE used as the modeled compound, TCE molecules including a 13 C (13 C-TCE) or without (12 C-TCE) were distinguished (forming 2 isotopologues)

and modeled as two different contaminants. Different initial concentrations and air-solvent
 partitioning coefficients were attributed to each isotopologue, which were determined as follow:

initial concentrations: For a given initial TCE concentration (C_{TCE}) in the gas stream and isotopic composition (δ^{13} C), initial concentrations for ¹³C-TCE ($C_{13C-TCE}$) and ¹²C-TCE ($C_{12C-TCE}$) were determined using:

299
$$C_{13C-TCE} = \frac{C_{TCE}}{1 + \frac{1000}{\delta^{13}C + 1000}/R_{std}}$$
 eq. 8

300
$$C_{12C-TCE} = \frac{C_{TCE}}{1 + \frac{\delta^{13}C + 1000}{1000} * R_{std}}$$
 eq. 9

301 *air-solvent partitioning constants:* To account for isotope fractionation during dissolution, the air-302 TGDE isotope fractionation factor ($\alpha_{air-TGDE}$) for TCE determined in this study was used. The literature 303 air-TGDE partitioning constant (*K*) was attributed to ¹²C-TCE (*K*_L) and the *K* value for ¹³C-TCE(*K*_H) was 304 determined by:

$$305 \quad \propto_{air-solvent} = \frac{K_H}{K_L} \qquad \text{eq. 10}$$

The two isotopologues were independently treated to evaluate their respective accumulation in the solvent during a simulated sampling event. Forgiven periodicities, the simulated quantities of the two isotopologues were combined to calculate the δ^{13} C. See Bouchard et al. (2011) for further explanation on the isotopic modeling approach.

Finally, a second simulation was performed to reproduce the isotope change measured during a multi step air-water equilibrium experiment. The equation 4 was expanded to include the number of equilibrium steps (*n*) as follow:

313
$$\Delta \delta_{liquid}^n = n\varepsilon(1 - f_{liquid})$$
 eq. 11

314 with

315
$$f_{liquid} = \left(\frac{C_n}{C_0}\right)^{1/n}$$
 eq.12

where C_n and C_0 are respectively the concentration in aqueous phase after *n* equilibration steps and Initial concentration in aqueous phase.

318

319 3. Results

320 **3.1. NAPL vaporization**

321 During stepwise NAPL vaporization of VOCs conducted in closed systems, the residual VOC mass 322 became progressively depleted in heavy carbon and hydrogen isotopes for all tested VOCs (Figure 1 323 and 2). The depletion trends indicate that molecules with heavy isotopes are more volatile than 324 those with light isotopes only. For carbon, the magnitude of isotope fractionation is small, but was 325 consistently reproduced over triplicate experiments. The carbon enrichment factors (C-Eair-NAPL) 326 obtained for the bulk slope of the triplicates range between 0.14 \pm 0.04 ‰ (cyclopentane) and 0.38 \pm 327 0.05 ‰ (MTBE) (Table 2). The enrichment factors for aromatic compounds are within the same range 328 as aliphatic compounds, whereas the oxygenate compound (MTBE) provided a larger factor. For 329 hydrogen, the isotope enrichment factors (H-Eair-NAPL) determined were at least an order of 330 magnitude larger than for carbon, and ranged between $2 \pm 1\%$ (MTBE) and $12 \pm 2\%$ (*n*-octane) 331 (Table 3). The hydrogen enrichment factors for aromatic compounds are smaller than for aliphatic 332 compounds, whereas the oxygenate compound (MTBE) provided a significantly smaller factor 333 compared to the two other groups. The variation of enrichment factors (C and H) among all tested 334 VOCs is best visualized when plotting H- $\epsilon_{air-NAPL}$ values as function of C- $\epsilon_{air-NAPL}$ where the resulting A 335 values (H- $\varepsilon_{air-NAPL}$ /C- $\varepsilon_{air-NAPL}$) allowed dissociating the 3 main compounds groups (Figure 3). The fuel 336 oxygenate (MTBE) provided the lowest Λ value (Λ =5), whereas the aliphatic compounds (*n*-octane, 337 cyclopentane and isooctane) provided the largest values (Λ ranging from 35 to 59), and with the 338 aromatic compounds (BTo-X) positioning in between (Λ ranging from 20 to 26).

- **Table 2:** Carbon enrichment factors (C- $\varepsilon_{air-NAPL}$) related to stepwise NAPL vaporization and air-water
- 340 partitioning process in closed system experimental setups (measured in the current study) and
- 341 related to gas-phase diffusion and biodegradation (literature data) for selected aromatic, aliphatic,

342 oxygenate and chlorinated compounds.

Compound	Air-NAPL partitioning	Air-water partitioning	Gas-phase diffusion*	Aerobic biodegradation**				
	ε (‰)							
Benzene	0.18 ± 0.03	n.s. to 0.09 ± 0.05	-1.71	-0.5 to-3.65				
Toluene	0.22 ±0.04	n.s. to 0.17 ± 0.09	-1.28	n.d.to -3.3				
Ethylbenzene			-1.00	-0.4 to -0.6 ^a				
<i>m,p-</i> Xylene			-1.00	-0.6 to-1.7 (<i>m</i> -X)				
<i>o</i> -xylene	0.24 ± 0.04	n.s.	-1.00					
<i>n</i> -octane	0.21 ± 0.03		-0.88	-0.7 to -1.1				
cyclopentane	0.14 ± 0.04		-2.05					
isooctane	0.17 ± 0.02		-0.88					
MTBE	0.38 ± 0.05	0.22 ± 0.06	-1.42	-1.5 to-2.4				
PCE			-0.45					
TCE			-0.69	-11.6±4.1 ^b to -18.2 ^c				
1,1,2-TCA			-0.66					

n.s.= not significant. * calculated as described in Aelion et al. (2010), using 28,8 g/mol for air mass. **
 In Aelion et al. (2010), if not specified. ^aDorer et al. (2014), ^bClingenpeel et al. (2012), ^cBarth et al.

345 (2002).

- **Table 3:** Hydrogen enrichment factors (H- $\varepsilon_{air-NAPL}$) related to stepwise NAPL vaporization and air-
- 348 water partitioning process in closed system experimental setups (measured in the current study) and
- related to gas-phase diffusion and biodegradation (literature data) for selected aromatic, aliphatic,

350 oxygenate and chlorinated compounds.

Compound	Air-NAPL partitioning	Air-water partitioning	Gas-phase diffusion*	Aerobic biodegradation**				
	ε (‰)							
Benzene	4 ± 1	-5 ± 1	-1.71	-11 to -17				
Toluene	6 ± 1	-5 ± 2	-1.28	-8.6 to-159				
Ethylbenzene			-1.00	+4 to -28ª				
<i>m,p</i> -Xylene			-1.00					
<i>o</i> -xylene	6 ± 1	-9 ± 4	-1.00					
<i>n</i> -octane	12 ± 2		-0.88					
cyclopentane	9 ± 2		-2.05					
isooctane	6 ± 1		-0.88					
МТВЕ	2 ± 1	-9 ± 3	-1.42	-29 to -66				
PCE			-0.45					
TCE			-0.69					
1,1,2-TCA			-0.66					

* calculated as described in Aelion et al. (2010), using 28,8 g/mol for air mass. ** In Aelion et al.
(2010), if not specified. ^aDorer et al. (2014).

353

354 **3.2.** Air-water partitioning

355 During stepwise air-water partitioning of MTBE in closed systems, the remaining MTBE in the water 356 became progressively depleted in heavy carbon isotopes, indicating that MTBE molecules with a 357 heavy carbon isotope are more volatile than those including light carbon isotopes only (Figure 4). Significant change occurred for MTBE in both duplicate experiments, from which a carbon 358 enrichment factor (C- $\varepsilon_{air-water}$) of 0.22 ± 0.06‰ was calculated based on the bulk slope of the two 359 360 repetitions (Table 2). For benzene and toluene (with mass removed proportion > 97%), only one repetition of each VOC showed a significant depletion trend (respectively C- $\epsilon_{air-water}$ of 0.09 ± 0.05‰ 361 and 0.17 ± 0.09‰), whereas the other two repetitions and the bulk slope of the three repetitions 362

363 indicated no significant change (Figure 4). Finally, no significant carbon isotope enrichment was 364 observed for o-xylene in all three repetitions, for a mass removed proportion >97% (Figure 4). For 365 hydrogen, in contrast, the residual VOC in water became progressively enriched in heavy hydrogen 366 isotopes for every repetition of all four tested compounds (Figure 5), hence indicating that molecules 367 with a heavy hydrogen isotope are less volatile than those with light hydrogen isotopes only. The 368 bulk slope for BTo-X compounds respectively provided hydrogen enrichment factors (H-Eair-water) of -5 \pm 1‰, -5 \pm 2‰ and -9 \pm 4‰, whereas the oxygenate MTBE compound provided H- $\epsilon_{air-water}$ = -9 \pm 3‰ 369 370 (Table 3).

371

372 3.3. Air-TGDE partitioning

373 Petroleum hydrocarbons: During single step air-TGDE partitioning in closed systems, the carbon 374 isotope composition of gas phase aliphatic compounds (cyclopentane, n-hexane and n-octane) 375 became enriched in heavy carbon isotopes, indicating that molecules with a heavy carbon isotope 376 are less soluble in TGDE than molecules with light isotopes only (inverse isotope effect). The carbon 377 enrichment factors (C- $\varepsilon_{air-TGDF}$) determined for the three aliphatic compounds tested were uniform 378 and averaged 0.4 ± 0.2 ‰) (Table 4). For the aromatic compounds, in contrast, no significant isotopic 379 change compared to the initial NAPL composition was measured for benzene, toluene, m,p-xylene 380 and o-xylene (Table 4), with the exception of ethylbenzene. The isotopic composition of gas phase 381 ethylbenzene became depleted in heavy carbon isotopes, indicating a normal isotope effect (C- ϵ_{air} $_{TGDE}$ = -0.6 ± 0.2‰). For hydrogen, the isotope composition measured for benzene and ethylbenzene 382 383 in the gas-phase showed no significant change compared to the initial NAPL value, whereas the 384 isotope composition of gas-phase toluene (H- $\varepsilon_{air-TGDE}$ = 6 ± 4 ‰) and *o*-xylene (H- $\varepsilon_{air-TGDE}$ = 28 ± 2 ‰) 385 became enriched in heavy hydrogen isotopes (Table 4). Accordingly, molecules with a heavy 386 hydrogen isotope are less soluble in TGDE than those with light isotopes only (inverse isotope effect). 387 For the aliphatic compounds, no significant isotope composition change was observed for n-hexane and *n*-octane, whereas the isotope composition of gas phase cyclopentane (H- $\varepsilon_{air-TGDE} = 6 \pm 1 \%$) became enriched in heavy hydrogen isotopes (Table 4).

390

391 *Chlorinated compounds:* gas-phase composition of all five chlorinated compounds tested (PCE, TCE, 392 1,1,2-TCA, *cis*-DCE and 1,2-DCA) became enriched in heavy carbon isotopes, indicating that molecules 393 with a heavy carbon isotope are less soluble in TGDE than molecules with light isotopes only (inverse 394 isotope effect) (Table 4). The C- $\varepsilon_{air-TGDE}$ determined for the chlorinated compounds ranged from 0.3 ± 395 0.2 ‰ (*cis*-DCE) to 1.1 ± 0.3 ‰ (1,1,2-TCA) (Table 4), which are generally larger than those 396 determined for petroleum hydrocarbons.

398 **Table 4:** C and H enrichment factors ($\epsilon_{air-TGDE}$) related to air-TGDE partitioning process for selected

399 aromatic, aliphatic, oxygenate and chlorinated compounds.

	Carbon					Hydrogen					
Compound	δ ¹³ C (‰)		ε (‰)		δ ² Η (‰)			ε (‰)			
	pure	std	with TGDE	std	Thiswork	literature	pure	std	with TGDE	std	Thiswork
Benzene	-24.3	0.2	-24.1	0.1	0.2 ± 0.2	n.s. ^a	-52	1	-50	2	2 ± 3
Toluene	-26.4	0.2	-26.3	0.1	0.1 ± 0.3		-28	2	-22	2	6 ± 4
Ethylbenzene	-27.0	0.1	-27.6	0.1	-0.6 ± 02		-13	2	-9	2	4 ± 4
<i>m,p-</i> Xylene	-25.1	0.2	-25.2	0.1	-0.1 ± 0.3		-49	1	-47	1	2 ± 2
<i>o</i> -xylene	-29.2	0.1	-29.1	0.1	0.1 ± 0.2		-138	1	-114	1	24 ± 2
<i>n</i> -octane	-49.6	0.1	-49.3	0.1	0.3 ± 0.2		-213	3	-212	2	1±6
<i>n</i> -hexane	-27.0	0.2	-26.6	0.1	0.4 ± 0.2		-101	2	-99	1	2 ± 3
cyclopentane	-22.6	0.1	-22.2	0.1	0.4 ± 0.2		-22	1	-16	1	6 ± 2
MTBE	-27.3	0.1	-27.7	0.1	0.4 ± 0.2						
PCE	-26.5	0.1	-26.1	0.10	0.4 ± 0.2						
TCE	-25.8	0.1	-24.8	0.1	1.0 ± 0.2	0.7 ^a					
1,1,2-TCA	-33.6	0.2	-32.5	0.1	1.1 ± 0.3						
<i>cis</i> -DCE	-22.9	0.1	-22.6	0.1	0.3 ± 0.2						
1,2-DCA	-28.0	0.1	-27.1	0.1	0.9 ± 0.2						

400

n.s.= not significant. ^aBouchard et al. (2017b). Italic font indicates no significant change.

401

402 **4. Discussion**

403

4.1. Phase transfer isotope effect

404 The distribution of isotopologues between the gas phase and liquid phase upon equilibrium in closed 405 systems is controlled by quantum mechanics, which accounts for the free energy involved for 406 molecule translation, rotation and vibration in the system. The energy difference between the two 407 isotopologues results in a vapor pressure isotope effect (VPIE) (Bigeleisen, 2006). The chemical 408 environment provided by each phase will impact the energy distribution involved in the system. The 409 chemical environment in the gas phase is governed by the intramolecular (vibrational) forces, while 410 the chemical environment in the liquid phase is governed by the intermolecular forces (rotation and 411 translation) and the intramolecular forces (Bigeleisen, 2006). Therefore, the different chemical 412 environment provided by the NAPL compared to water is expected to influence the direction (inverse or normal isotope effect) and the magnitude of the isotope fractionation. For partitioning systems where the solute is dissolved into water, the isotope effect related to a different solubility property (i.e. the Henry constant) of each isotopologue can be evaluated using the same theoretical considerations (i.e. translation, rotation and vibration energy) as for the NAPL system described above (Jancso, 2002).The discussion below will first treat results involving NAPL vaporization, and will be followed by results obtained when VOC is a solute in water.

419

420

4.1.1. Isotope fractionation during stepwise NAPL vaporization

421 The results of all experiments evaluating NAPL vaporization for a selection of petroleum 422 hydrocarbons and one fuel oxygenate consistently underlined a higher volatility for molecules with a heavy isotope (either a ¹³C or ²H), hence producing an inverse isotope fractionation effect. This 423 424 inverse direction of the isotope fractionation observed for hydrocarbons was expected as previously 425 discussed by Van Hook (2006) and reflects the interaction of intramolecular and intermolecular molecular forces in the NAPL. An inverse isotope effect is generated when the effect of 426 427 intramolecular forces associated with vaporization becomes larger than the effect of intermolecular 428 forces in the NAPL. For hydrocarbons, the reduction of the vibrational frequencies of molecules in 429 the NAPL relatively to the air-phase due to interactions among the molecules creates this inverse 430 isotope effect (Jancso and Van Hook, 1974). The reduced vibrational frequencies for hydrocarbons 431 was empirically rationalized by Bartell and Roskos (1966) to be caused by longer C-H bonds compared 432 to C–D bonds, which leads to a preferential retention of light isotopes in the NAPL. However, this empirical molar vapor isotope effect (MVIE) does not consider the temperature effect, and only 433 434 applies to liquids near the melting point (Van Hook, 2006). Furthermore, theoretical calculations 435 performed by Lacks (1995) underlined the necessity to consider additional intermolecular effects 436 (intermolecular separations) to more accurately foresee MVIE.

The magnitude of $\varepsilon_{air-NAPL}$ values determined in this study compare well to the very few values 437 available in the literature determined using similar closed system experimental setups. For carbon, 438 439 similar depletion magnitudes of heavy isotopes in the NAPL caused by vaporization were observed 440 for benzene and for toluene (Table S-1 in Supplementary Material). For hydrogen, larger H-Eair-NAPL 441 were expected compared to carbon as the isotope effects in general depend on the masses of the 442 isotopic atoms involved and the force constants bonding 2 atoms in the molecule (Van Hook, 2006). 443 The H- $\varepsilon_{air-NAPL}$ determined for benzene and toluene in this current study are also in good agreement 444 with older literature (Table S-2 in Supplementary Material). It should be noted that studies 445 conducted with open system experimental setups (i.e. non-equilibrium conditions such as 446 progressive NAPL vaporization from an open beaker in a fume hood) give enrichment factors that 447 cannot be compared to our values due to additional isotope effects brought by diffusion (Kuder et 448 al., 2009; Jeannottat and Hunkeler, 2012; Julien et al., 2015a). The impact of open system 449 experimental setups on isotope fractionation is discussed further in section 4.1.3. Table S-1 and S-2 in Supplementary Material summarize $\epsilon_{\text{air-NAPL}}$ values determined for C and H, respectively, for studies 450 451 conducted using open system experimental setups.

452 The results of the current study for closed systems revealed smaller carbon isotope fractionation for petroleum hydrocarbons (carbon atoms number ranging from 5 to 8) compared to fuel oxygenate (5 453 454 carbon atoms) (Table 2) and also compared to chlorinated compounds (2 carbon atoms) (Table S-1). It must be considered that the C- $\varepsilon_{air-NAPL}$ values reported are "bulk" isotope effect, contrasting with 455 456 the concept of specific reactive position as considered for kinetic isotope fractionation during biodegradation (Elsner et al., 2005). For thermodynamic isotope fractionation at equilibrium 457 458 conditions, the molecular structure and the positioning of the heavy isotope were shown to be of importance (Van Hook, 2006). While all positions are considered reactive, the positioning of the 459 460 heavy isotope at the terminal position of a molecule is expected to lead to larger isotope 461 fractionation (Bigeleisen and Ishida, 1973). This terminal-position specific impact for carbon was 462 addressed for heptane by Julien et al. (2015a), but the difference in isotope fractionation compared

to the other position was very small. The latter study additionally showed minor position-specific 463 isotope fractionation for toluene. For hydrogen, isotope fractionation of monodeuterated benzene 464 (C_6H_5D) was shown to be equivalent to 1/6 of the isotope fractionation of perdeuterated benzene 465 (C₆D₆) (Jakli et al., 1978), thus also suggesting no significant reactive position for hydrogen as well for 466 467 petroleum hydrocarbons. Our results agree with this lack of reactive-position isotope fractionation, 468 showing no clear trend between isotope enrichment factors obtained for petroleum hydrocarbons 469 and increasing number of C or H atoms (Table 2). Therefore, the larger C- $\epsilon_{air-NAPL}$ values for MTBE and 470 TCE are more likely related to the presence of the oxygen or chlorine atoms in the molecule, 471 respectively, impacting more significantly the vibrational frequencies of molecules in the NAPL phase 472 compared to petroleum hydrocarbons. Furthermore, the molecular structure and H-saturation 473 extent of petroleum hydrocarbon seems to be a distinctive aspect for H-Eair-NAPL values, as discernible 474 on Figure 3 where Λ values distinguish the aromatic compounds from aliphatic and fuel oxygenate 475 compounds. Distinction is mainly due to the various magnitudes of $H-\varepsilon_{air-NAPL}$ values. While the 476 molecular structure impacts differently the motion (rotation and translation) of molecules in the 477 NAPL phase, hydrogen bonds and different polarization potential of molecules also contribute to the 478 isotope fractionation (Lacks, 1995; Wade, 1999). In our case, the electrical configuration of aliphatic 479 compounds (involving H-saturation) suggests a stronger polarization impact on the H-Eair-NAPL values 480 compared to aromatic rings (involving π electrons) and MTBE. This polarization impact trend on the 481 $H-\epsilon_{air-NAPL}$ values for the three different groups respect the polarizability potential expressed by each 482 group, with aliphatics having the least polarizability potential and MTBE having the largest (Reichardt 483 and Welton, 2010). However, this polarizability potential impact trend is not respected when 484 considering chlorinated solvents. A H- $\varepsilon_{air-NAPL}$ value for TCE larger than for petroleum hydrocarbons was reported in the literature (Table S-2) while the polarizability potential would predict a smaller 485 486 value, hence suggesting additional chemical interactions contributing to isotope fractionation for 487 chlorinated solvents.

489

4.1.2. Isotope fractionation caused by stepwise air-water partitioning

490 The air-water partitioning experiments conducted in this study indicated that the partitioning of BTo-X compounds between the air and water (polar protic solvent) does not create significant carbon 491 492 isotope fractionation (not significant in 2 out of 3experiments). Our results for toluene agree with the 493 published work investigating this process using a closed system experimental setup (Table S-1). This 494 absence of a systematic carbon isotope fractionation for BTo-X is contrasting with the systematic, yet 495 small, observed carbon isotope fractionation caused by NAPL vaporization (Table 2). While for MTBE 496 the air-water partitioning process created a significant inverse carbon isotope fractionation (C- $\varepsilon_{air-water}$ 497 = 0.22 ± 0.06 %), the latter process also created a smaller isotope fractionation compared to air-NAPL 498 vaporization (C- $\varepsilon_{air-NAPL}$ = 0.38 ±0.05 ‰), which is in agreement with MTBE values reported by 499 previous works using similar experimental setups (Table S-1). Based on different isotope 500 fractionation magnitude obtained for the two liquids, the intermolecular forces applied by the water 501 on aromatic compounds and MBTE seem to generate a smaller difference in free energy between 502 heavy and light isotopes than the NAPL phase, which is leading to smaller isotope fractionation. This 503 trend for larger carbon isotope fractionation caused by air-NAPL vaporization compared to air-water 504 partitioning was also observed for TCE by Jeannottat and Hunkeler (2012) and Horst and Lacrampe-505 Couloume (2020) (Table S-1). The reported C- $\varepsilon_{air-water}$ values for TCE are larger compared to BTo-X and 506 MTBE determined herein. In this case, the presence of chlorine atoms in the molecules and the 507 different molecular structure of chlorinated compounds compared to aromatic compounds are likely 508 impacting differently the intermolecular forces with water. As mentioned above, values derived from 509 open system experimental setups cannot be compared to our values but are summarized in Table S1 510 and S-2 respectively for carbon and hydrogen.

For hydrogen, the normal isotope fractionation observed during air-water partitioning is contrasting with the inverse hydrogen isotope fractionation observed for air-NAPL vaporization, as predicted when changing the chemical environment from a nonpolar to a hydrogen bonded liquid (Wolfsberg et al., 2010). In this case, the motion forces (rotation and translation) exerted by water molecules

515 surrounding BTX and MTBE compounds outweigh the vibrational forces, generating higher volatility 516 for molecules including a heavy hydrogen isotope in the molecular structure. The motion forces seem 517 more impactful for o-xylene and MTBE compared to benzene and toluene, generating a smaller H-Eairwater value for the latter two compounds (Table 3). For MBTE, the polar nature of the compound likely 518 519 initiating stronger interactions with water molecules would explain the larger H- $\varepsilon_{air-water}$ value 520 compared to benzene and toluene. However, the forces leading to larger H- $\varepsilon_{air-water}$ value for o-xylene 521 compared to benzene and toluene requires more detailed investigations. In addition, the normal 522 isotope fractionation for TCE (H- $\varepsilon_{air-water}$ = 5.2 ±2.6 ‰) and for trichloromethane recently reported by 523 Horst and Lacrampe-Couloume (2020) and contrasting with the inverse isotope fractionation obtained herein for aromatic compounds and MTBE would also require more detailed investigations. 524

525

4.1.3. Significance for gas-phase VOC assessments

526 Extending the application of CSIA to soil gas studies will significantly improve assessment strategies 527 relying on VOC concentration measurements such as NSZD monitoring or remediation treatments 528 like SVE or air-sparging systems. The enrichment factors determined in this study during volatilization 529 of common subsurface contaminants contributes to increase the number of VOC candidates for CSIA-530 based assessments. However, ε values for CSIA data interpretation should be cautiously selected. 531 Whilst our study focused on closed system experiment setups (i.e. equilibrium conditions) to 532 determine isotope fractionation strictly related with the phase partitioning process, several other 533 studies have reported values determined using open system experiment setups (i.e. non-equilibrium 534 conditions). In the latter case, the gas-phase volume above the liquid is constantly renewed at an 535 experiment-specific rate, hence involving additional physical isotope fractionation process such as 536 gas-phase diffusion. Table S-1 and S-2 (in Supplementary Material) compiles respectively carbon and 537 hydrogen isotope fractionation factors determined using open system experiment setups for VOC 538 volatilization from NAPL or water. One can note a wider ε value range for open system experimental 539 setups, even observing opposite fractionation direction in the case for carbon, thus confirming the 540 contribution of one or several other isotope fractionation processes. For a porous system with very 541 slow air renewal rate, one can assume quasi-equilibrium conditions to control the isotope fractionation evolution in the soil air immediately surrounding the residual NAPL. In contrast, non-542 543 equilibrium conditions would control the isotope fractionation evolution during forced air flow 544 circulation applied by specific remediation treatment systems. Therefore, the interplay of physical 545 processes (i.e. phase transfer and diffusion) must be properly considered to correctly interpret CSIA 546 field data. For instance, during NSZD of trapped NAPL in the unsaturated zone, NAPL vaporization 547 leads to VOC mass input into soil gas and pore water. With small C- $\epsilon_{air-NAPL}$ and insignificant C- $\epsilon_{air-water}$ 548 determined for BTX, progressive NAPL vaporization will not create significant change in isotope 549 composition of gas-phase VOCs over time as long as the gas-phase VOC concentration in soil air 550 remains in equilibrium with the NAPL (i.e. steady state condition) (Bouchard et al., 2008a; Bouchard 551 et al., 2011). This can also be assumed although the occurrence of biodegradation as the kinetic rate 552 of VOC mass input at the NAPL fringe may overwhelm the biodegradation rate, hence masking the 553 isotopic effect of the latter as commonly observed for groundwater assessments within source zone 554 areas. However, once the VOC mass in the NAPL has significantly decreased and equilibrium 555 conditions can no longer be maintained, hence initiating a transient state condition, gas-phase 556 diffusion process will lead isotope composition towards enriched values. For all petroleum 557 hydrocarbons tested herein, the larger carbon isotope fractionation related to gas-phase diffusion 558 (Table 2) will dominate over air-NAPL partitioning. This evolution from constant to gradually enriched 559 isotope composition appears to be a more reliable metric than concentration analysis to support 560 source vanishing. Given the small and narrow range of C- $\varepsilon_{air-NAPL}$ values determined for petroleum 561 hydrocarbons herein, similar enrichment trends can be expected for other petroleum hydrocarbons 562 such as ethylbenzene and trimethylbenzene, hence allowing additional compounds to be assessed. 563 For hydrogen, with inverse H- $\epsilon_{air-NAPL}$ and normal H- $\epsilon_{air-water}$ values determined for BTX (Table 3), the interplay between air-NAPL, air-water and gas-phase diffusion during steady and transient state 564 565 conditions should be further investigated to confidently predict the direction and the magnitude of 566 the isotope change.

567 For remediation techniques focusing on physical mass removal process such as SVE systems, the airliquid isotope effects can be exploited to monitor the progress and the limitations of the treatment. 568 569 Contrasting with NSZD, the isotope composition in this case will evolve as for an open system (i.e. 570 non-equilibrium conditions). During non-equilibrium conditions, not only that the diffusive isotope 571 effect is additive to air-liquid isotope effect, but a number of studies have previously described that 572 the expression of the diffusive isotope effect is impacted by the air volume renewal rate (Bouchard et 573 al., 2008a; Bouchard et al., 2008b; Höhener et al., 2008; Kuder et al., 2009; Julien et al., 2015b; 574 Bouchard et al., 2017a; Zamane et al., 2020). In cases where biodegradation occurs during the VOC 575 transit through the unsaturated soil (as for petroleum hydrocarbons), this third process will also need 576 to be considered to appropriately interpret isotopic trends. Due to those many uncertainties, the 577 mass removal process is best characterized with the use of two elements (i.e. dual-isotope 578 assessment) (Kuder et al., 2009; Bouchard et al., 2017a). To appreciate the additive isotope effects 579 on VOCs studied herein, enrichment factors related to gas-phase diffusion and biodegradation under 580 aerobic conditions are reported in Table 2 and 3, respectively for carbon and hydrogen. For carbon, 581 the diffusion effect will systematically mask both the air-NAPL and air-water partitioning effects for 582 every petroleum hydrocarbon tested, leading to carbon isotope enrichment (as biodegradation 583 does). For hydrogen in contrast, the air-NAPL partitioning is the only process leading to significant 584 hydrogen isotope depletion. Thorough combined interpretation of the carbon and hydrogen isotope 585 evolution pattern over time will therefore indicate the controlling mass-removal process.

586

587

4.2. TGDE and isotope fractionation

4.2.1. Isotope fractionationcaused by air-TGDE partitioning

588 Despite a single equilibration step, C- $\varepsilon_{air-TGDE}$ values determined for air-TGDE partitioning (Table 4) can 589 be directly compared to ε values derived by multistep equilibration experiments based on equation 590 4.Accordingly, the lack of significant carbon isotope fractionation observed for benzene, toluene and 591 both xylenes during air-TGDE partitioning is akin to inconsistent carbon isotope fractionation 592 observed for the same compounds during air-water partitioning and also to the lack of significant 593 carbon isotope fractionation previously reported for benzene during similar testing with TGDE 594 (Bouchard et al., 2017b). These non-significant carbon isotope fractionations observed for BTX 595 compounds during air-TGDE partitioning underline minor impact for phase transfer process, and 596 where the prevailing partitioning forces would be more comparable to water than neat compounds. 597 The significant fractionation obtained for ethylbenzene, in contradiction to the other 4 aromatic 598 compounds tested, are counter intuitive. Additional evaluations are needed to understand this 599 distinctive behavior. In contrast to aromatic compounds, the phase transfer process involving 600 aliphatic and chlorinated compounds generated significant isotope fractionation. The global set of 601 chlorinated compounds showed isotope fractionation generally of larger magnitude, with chlorinated 602 ethane compounds more likely to generate larger fractionation compared to chlorinated ethene 603 compounds (Table 4). The lack of available works assessing isotope fractionation of aliphatic and 604 chlorinated compounds during air-water and air-NAPL partitioning, however, limits the comparison 605 with air-TGDE. For PCE, the C- $\varepsilon_{air-TGDE}$ value determined (0.4 \pm 0.2 ‰) is in the range of the C- $\varepsilon_{air-water}$ 606 value reported by Jeannottat and Hunkeler (2013) (0.46 ± 0.04 %), although no air-NAPL partitioning 607 values for PCE are available for comparison. For TCE, the C- $\varepsilon_{air-TGDE}$ values determined (1.0 \pm 0.2 ‰) 608 and previously reported (0.7 ‰) (Bouchard et al., 2017b) are in the range of reported C- $\varepsilon_{air-NAPL}$ values 609 (ranging from 0.4 to 1.0 %), and slightly larger than the reported C- $\varepsilon_{air-water}$ range values (0.4 to 0.7 610 ‰) (Table S-1 in Supplementary Material). The result comparison for different compounds outlines 611 some partitioning specificities. Overall, this polar aprotic solvent with multiple ether oxygen atom 612 functional groups generates inverse carbon isotope effect as for water and NAPL, with magnitude of 613 isotope fractionation being larger for chlorinated compounds compared to aromatic and aliphatic 614 compounds. Once more, the degree of H saturation of the molecules and the presence of chlorine 615 atoms in chlorinated compounds seem to be responsible for the various isotope fractionation 616 magnitudes observed for those three different types of compounds.

618 For hydrogen, no clear molecular structural trend can be outlined when comparing compounds 619 expressing no isotope fractionation (benzene, ethylbenzene, *m*,*p*-xylene, *n*-hexane and *n*-octane) 620 with those undergoing isotope fractionation (toluene, o-xylene and cyclopentane) during air-TGDE 621 partitioning. Nonetheless, the inverse isotope fractionation observed for aromatic and aliphatic 622 compounds during air-TGDE partitioning, when significant, is analogous to air-NAPL vaporization, and 623 is contrasting with the normal isotope fractionation observed for air-water partitioning. The 624 magnitude of $H-\epsilon_{air-TGDE}$ values determined for toluene and cyclopentane are relatively in the same 625 range or lower than for NAPL vaporization, hence suggesting that the vibrational forces out weighting 626 the motion forces in TGDE are similar to the air-NAPL system, and that functional groups of TGDE act 627 on those compounds differently than do water molecules. In this regard, influence of different 628 functional groups causing isotope fractionation was recently investigated using benzene and toluene 629 as tested compounds (Imfeld et al., 2014). The latter study demonstrated the occurrence of isotope 630 fractionation for specific functional groups represented by a subset of different organic solvents. For 631 instance, 1-octanol (hydroxyl functional group with hydrogen-bridging interaction) led to significant 632 inverse carbon and hydrogen isotope fractionation for benzene. However, as their experimental 633 setup involved solute partitioning into two liquids (solvent and water), the additional hydrophobic 634 factor impacting the isotope fractionation impedes direct ε comparison with our study. Finally, the 635 larger H- $\varepsilon_{air-TGDE}$ value determined for o-xylene compared to the other aromatic compounds is 636 prominent. Additional evaluations are needed to understand this distinctive behavior.

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4.2.2. TGDE and gas-phase VOC sampling

Gas-phase sampling process: an innovative solvent-based method has been recently developed to perform CSIA on VOCs collected in the gas-phase (Bouchard et al., 2015). The sampling method consists in pulling the ambient air through a solvent via an adapted sampling device, hence relying on the VOC affinity with the solvent to accumulate (by dissolution) during the sampling process. To evaluate TCE dissolution efficiency and the potential for isotope fractionation during the process, a

gas-phase sampling event was mimicked at the laboratory scale (see Bouchard et al. (2017b) for 644 further experimental details). While the measured dissolved TCE concentrations in TGDE over time 645 followed the theoretical expectation (equation 6), no δ^{13} C evolution simulation was attempted to 646 reproduce the measured isotope values. The equation 6 and $C-\varepsilon_{air-TGDE}$ were used herein to scrutinize 647 648 the potential carbon isotope fractionation caused during the sampling process and to reproduce the 649 isotope values measured over the 3 mimicked gas-phase sampling events. The calculations made use of two TCE isotopologues (¹³C-TCE and ¹²C-TCE) as described in section 2.4. Both C- $\varepsilon_{air-TGDE}$ values 650 651 available (0.7 ‰ and 1.0 ‰) were sequentially implemented to evaluate the sensitivity of the parameter. Table 5 summarizes the values used for ¹³C-TCE and ¹²C-TCE isotopologues for the 652 calculations, as well as the fixed parameters. 653

Table 5: Initial gas phase concentration (Cg) and air-TGDE partitioning coefficient (*K*) specific to ¹³C-

TCE and ¹²C-TCE isotopologues, TCE initial isotopic composition (δ^{13} C), air flow rate (*F*) and volume of solvent (*V*) used for the analytical simulation.

	Simulation 1			ation 2	Simulation 3		
Parameter	¹² C-TCE	¹³ C-TCE	¹² C-TCE	¹³ C-TCE	¹² C-TCE	¹³ C-TCE	
Cg (ug/m3)	20.7736	0.2264	78.1484	0.8516	165.1999	1.8001	
K (unitless)	0.0003400 ^a	0.0003402	0.0003400 ^ª	0.0003402	0.0003400 ^ª	0.0003402	
with $\varepsilon_{air-TGDE}$ = 0.7‰							
K (unitless)	0.0003400 ^a	0.0003403	0.0003400 ^ª	0.0003403	0.0003400 ^ª	0.0003403	
with $\epsilon_{air-TGDE}$ =1.0‰							
F (mL/minute)	800		200		100		
<i>V</i> (mL)	35		35		35		
Initial δ^{13} C (‰)	Initial δ^{13} C (‰) -30.3		-30.3		-30.3		
a(Bouchard et al., 2017b)							

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The simulated dissolved concentration and δ^{13} C results obtained for three different gas-phase TCE 658 659 concentrations (21, 79 and 167 μ g/m³) over a sampling duration of t = 50h are presented on Figure 6. The simulated concentrations indicate a non-linear TCE increase in TGDE during the sampling 660 process. The steady state is reached (i.e. saturation condition) within 10h for the smallest gas-phase 661 concentration (figure 6a, 21 μ g/m³), while a quasi-steady state is reached around t = 50h for gas-662 phase concentration of 79 μ g/m³ (figure 6b), and a transient state is still observed at t=50h for the 663 gas-phase concentration of 167 μ g/m³ (Figure 6c). Note that the difference in concentration 664 665 evolution is due to different sampling flow rates used (Table 5), and not the gas-phase concentration. 666 During the sampling event, the isotopic composition of dissolved TCE evolved from -30.30 ‰ 667 (equivalent to the gas-phase TCE composition) to a maximum depleted value of -30.98 ‰ (using C-668 $\varepsilon_{air-TGDE} = 0.7$ ‰) and -31.25 ‰ (using C- $\varepsilon_{air-TGDE} = 1.0$ ‰) when saturation conditions are reached 669 (Figure 6A). At early sampling phase, the higher solubility of ¹²C-TCE is not yet apparent, hence the 670 dissolved TCE isotope composition was reflecting the gaseous TCE isotope composition. With time, the higher solubility of ¹²C-TCE eventually disrupts the initial ¹³C-TCE / ¹²C-TCE mass proportion, 671 672 which leads to a gradual depleting isotope trend. The shift of 0.68 ‰ and 0.95 ‰ observed at 673 saturation conditions compared to the source signal (Figure 6A) is respectively equivalent to the C-674 $\varepsilon_{air-TGDE}$ of 0.7‰ and 1.0 ‰ experimentally determined (Table 4). Moreover, this slight isotopic 675 depletion trend provided by the analytical solution was able to reproduce the measured laboratory 676 values, validating the impact of different solubility coefficient for the two distinct TCE isotopologues. While laboratory results for gas-phase TCE at 21 μ g/m³ (Figure 6A) and 167 μ g/m³ (Figure 6C) are 677 mostly contained within the variation expressed by the two different C- $\varepsilon_{air-TGDE}$ values, the laboratory 678 results for gas-phase TCE at 79 μ g/m³ (Figure 6B) showed good fit only at later time (quasi-steady 679 680 state). This can perhaps be related to a gas leak in the system delivering less TCE than expected.

681

According to these isotopic simulations, sampling gas phase VOCs using TGDE (or any other solvent) 682 will create an isotopic shift that can be predicted by the $\epsilon_{\text{air-TGDE}}$ value. Based on $\epsilon_{\text{air-TGDE}}$ values 683 684 reported in Table 4 for the tested VOCs, no significant carbon isotope shift is expected for BTX, aliphatic compounds (n-octane, n-hexane, cyclopentane), MTBE, PCE and cis-DCE, no significant 685 686 hydrogen isotope shift is expected for B, E, m,p-X, n-hexane and n-octane, and lastly no significant 687 chlorine isotopic shift for TCE, as respective $\varepsilon_{air-TGDF}$ value is either not detectable or within the common analytical uncertainty of 0.5 ‰ (for C and Cl) and 5 ‰ (for H). However, carbon isotope 688 measurements for ethylbenzene, TCE, 1,1,2-TCA and 1,2-DCA, and hydrogen isotope measurement 689 690 for toluene, o-xylene and cyclopentane will request an adjustment for sampling conducted until the 691 saturation condition is reached. In this case, the equivalence of the $ε_{air-TGDE}$ value has to be added to 692 provide a more positive final δ¹³C composition (or more negative in the case of ethylbenzene).

693 Post sampling event: An additional simulation was further carried out to evaluate the impact of 694 repeated headspace volume exchange potentially occurring during sample handling and storage on 695 the isotope composition of the dissolved VOCs. This evaluation made use of equation 11 to calculate 696 the related hydrogen isotopic shift caused by multi equilibrium steps using either TGDE or water as 697 solvent. Between each equilibrium step, a complete headspace renewal with fresh air was 698 considered. For the evaluation purpose, toluene was the modeled compounds as results of the air-699 water and air-TGDE partitioning experiments performed in the laboratory led to significant hydrogen 700 isotope fractionation. In addition, the dataset collected during the air-water partitioning experiment 701 can be used as reference to validate the calculations. Table 6 summarizes the parameters and 702 coefficients used for those two air-liquid partitioning evaluations.

Table 6: Parameters used to calculate the hydrogen isotope shift expected for toluene caused by
 multistep air-water or air-TGDE partitioning process (equilibrium conditions).

Parameter	Coefficient	Unit
H-ε _{air-TGDE}	6*	‰
H-ε _{air-water}	-5**	‰
Co	1	μg/L
Air-water partitioning (Henry coefficient, at 25 °C)	0.244 ^a	unitless
Air-TGDE partitioning (K, at 25 °C)	0.000344 ^b	unitless
Vial volume	40	mL
Liquid volume	35	mL

*This study. ** Value obtained for replicate 2, Figure 5. ^aMackay et al. (2006). ^bHuybrechts et al. (2001)

Changes in hydrogen isotope composition of dissolved toluene caused by 12 equilibrium steps with water or TGDE are shown on Figure 7. For the air-water partitioning simulation, the equation reproduced well the isotopic trend observed with the laboratory experiment, to ultimately predict a significant hydrogen shift of 11.8 ‰ after 12 equilibration steps. For the air-TGDE partitioning simulation, a shift of 0.03 ‰ can be expected for the hydrogen isotope composition of dissolved toluene dissolved after proceeding to 12 equilibration steps. Compared to a common uncertainty 712 error of 5 ‰ for hydrogen analysis, this shift remains not significant. This negligible isotopic impact is 713 explained by the strong affinity of toluene with TGDE, providing a very small air-TGDE partitioning 714 coefficient (Table 6). Upon equilibrium, a mass fraction of 99.97% of toluene is expected to be in 715 TGDE, thus leaving a small 0.03% mass fraction in the gas-phase. This very small mass fraction of 716 gaseous toluene lost by each equilibrium step is not sufficient to significantly impact the isotope 717 signature of toluene dissolved in TGDE, although several multiple equilibrium steps are carried out. 718 This is in agreement with Bouchard et al. (2015) reporting no significant change in the carbon isotope 719 composition of TCE after 12 months of storage in methanol. Therefore, although carbon and 720 hydrogen isotope fractionation have been observed for diverse VOCs during the air-TGDE 721 partitioning process, no further impacts on the isotopic signature of dissolved VOCs is expected once 722 the sampling process is ended. This can be assumed for every compound with similar low range of 723 air-TGDE partitioning coefficients than toluene.

724

725 5. Conclusion

726 Several types of laboratory experiments were conducted in the present study to evaluate isotope 727 fractionation caused by phase transfer process for a selection of common environmental 728 contaminants, with the general objective being to contribute in expanding the use of CSIA as an 729 assessment tool for gas-phase studies. For this purpose, the occurrence of isotope fractionation 730 related to NAPL vaporization and VOC volatilization from different liquids (water or TGDE) was 731 investigated through closed system experimental setups. In contrast to open system, conducting 732 stepwise VOC mass removal from a closed system setup insures the isolation of the partitioning 733 process from other potential physical process. A selection of aromatic, aliphatic, fuel oxygenate and 734 chlorinated compounds was evaluated for carbon and hydrogen isotope fractionation. NAPL 735 vaporization consistently indicated a preferential volatility for molecules including a heavy isotope, 736 either ¹³C or ²H isotope, for all tested VOCs. The magnitude of the carbon isotope enrichment was small and relatively uniform among the selected petroleum hydrocarbons, and globally smaller 737 738 compared to chlorinated compounds. The magnitude of the hydrogen isotope enrichment was more 739 substantial and distinctive among petroleum hydrocarbons, but still globally smaller compared to 740 chlorinated compounds. The carbon and hydrogen isotope fractionation pattern, evaluated through 741 the Λ value, was found to differ between aromatic, aliphatic and fuel oxygenate compounds. 742 Furthermore, during VOC volatilization from the water, the partitioning process led to no significant 743 carbon isotope fractionation for aromatic compounds. These observations for aromatic compounds 744 contrast with significant fractionation observed for MTBE and the reported isotope fractionation for 745 chlorinated compounds (PCE and TCE), underlying for the latter three compounds a higher volatility 746 for molecules including a heavy carbon isotope. In contrast, for hydrogen, volatilization of BTX 747 compounds from water consistently created a normal isotope fractionation, translating into a 748 preferential solubility for molecules including the heavy hydrogen isotope. The numerous isotope 749 enrichment factors derived in this study relative to NAPL vaporization and air-water partitioning 750 process provide the possibility to expand the application of CSIA to a broader range of common 751 environmental contaminants in view to assess their fate in the unsaturated zone, either passively 752 attenuating (for instance, to document a natural source zone depletion in the unsaturated zone) or 753 actively removed (for instance, to assess the performance of a remediation treatment). The second 754 goal of this study was also achieved by quantifying carbon and hydrogen isotope enrichment factors 755 related to air-TGDE partitioning for a subset of VOCs. For carbon, most of the aromatic compounds 756 tested led to no significant isotope fractionation (except ethylbenzene), whereas MTBE, aliphatic and 757 chlorinated compounds led to significant enrichment, underlying potential for higher volatility of molecules including a heavy isotope similarly to progressive NAPL vaporization. The carbon isotope 758 759 composition of chlorinated compounds was found to be more affected than petroleum hydrocarbons 760 by air-TGDE partitioning. For hydrogen, selected aromatic and aliphatic compounds showed no 761 significant enrichment, except for toluene, o-xylene and cyclopentane, which showed significant 762 enrichment. When significant, both isotopes underlined the potential for higher volatility of 763 molecules including a heavy isotope similarly to progressive NAPL vaporization. The impact of isotope 764 fractionation related to air-TGDE partitioning during a sampling event using TGDE as a sink matrix to 765 collect gas-phase VOCs was further addressed by means of analytical simulations. The simulation 766 results indicated an isotopic shift equivalent to the enrichment factors, once the VOC concentration 767 equilibrium between the air and TGDE is reached. Therefore, the need for an isotopic correction post 768 sampling event only applies to VOCs having an enrichment factor larger than the GC-IRMS analytical 769 uncertainty. The quantification of enrichment factors by the current investigations and the 770 knowledge gained through analytical simulations support the field application of the solvent-based 771 sampling method specific to CSIA. Although a broad range of common environmental contaminants 772 were evaluated for carbon and hydrogen isotopes, potential for chlorine isotope fractionation 773 remains to be evaluated for chlorinated compounds.

774 Supplementary Material: Description of analytical techniques, Tables S1+S2,

775 Is available.

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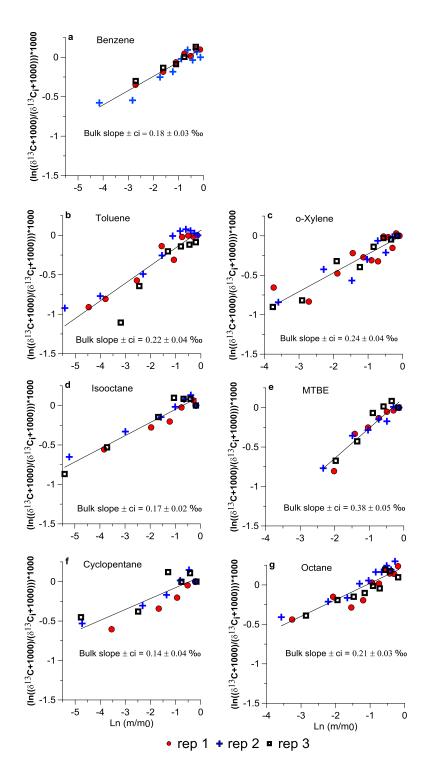
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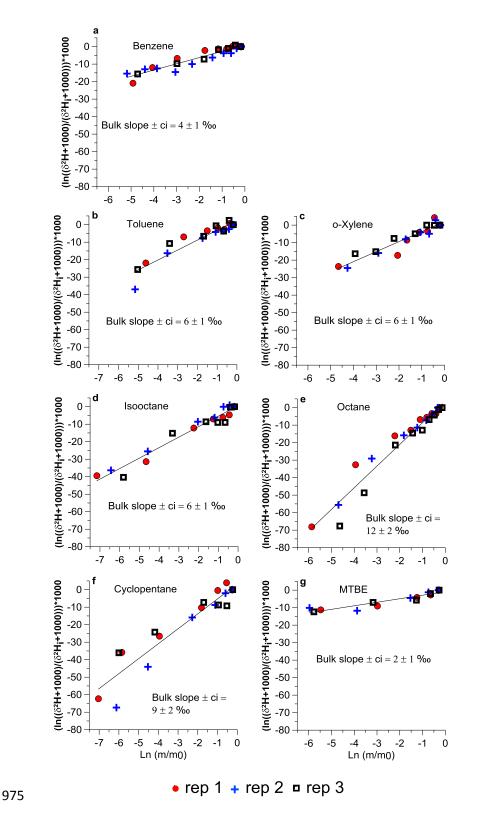
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970 Figure 1: Carbon isotope fractionation during stepwise air-NAPL vaporization for selected

- 971 compounds. The change in carbon isotope ratio is plotted as function of the remaining mass (m/m0)
- 972 in the Ln scale. The bulk slope and the 95% confidence interval (ci) were determined using all plotted
- 973 data (triplicate experiments). NAPL designates the neat liquid of each compound.



976 **Figure 2:** Hydrogen isotope fractionation during stepwise air-NAPL vaporization for selected

977 compounds. The change in hydrogen isotope ratio is plotted as function of the remaining mass

978 (m/m0) in the Ln scale. The bulk slope and the 95% confidence interval (ci) were determined using all

979 plotted data (triplicate experiments). NAPL designates the neat liquid of each compound.

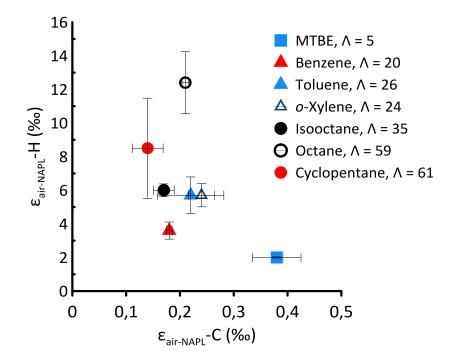


Figure 3: Plot of H- $\varepsilon_{air-NAPL}$ as function of C- $\varepsilon_{air-NAPL}$ measured for monoaromatic (BT*o*-X) aliphatic (*n*-

982 octane, isooctane and cyclopentane) and fuel oxygenate (MTBE) compounds caused by air-NAPL

983 vaporization. The Λ values reported represent H- ϵ / C- ϵ ratios.

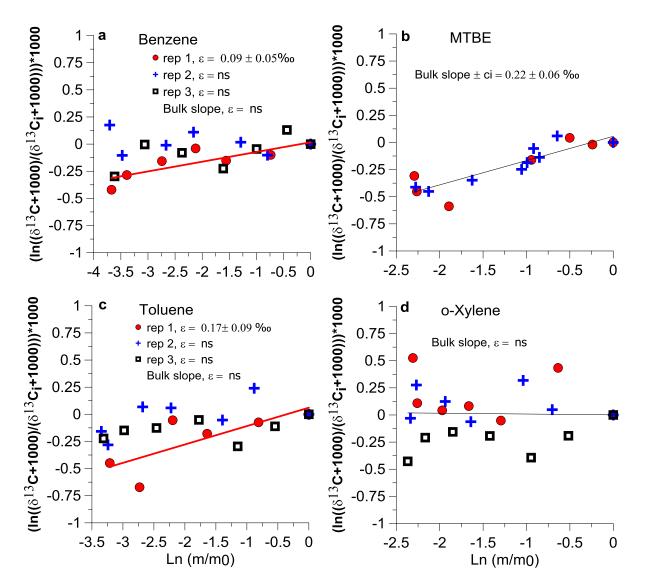




Figure 4: Carbon isotope fractionation during stepwise air-water partitioning for selected
compounds. The change in carbon isotope ratio is plotted as function of the remaining mass (m/m0)
in the Ln scale. The bulk slope (black line) and the 95 % confidence interval (ci) were determined
using all plotted data (triplicate experiments), except for MTBE (duplicate experiments). Replicatespecific slope is represented by a red line. ns: not significant.

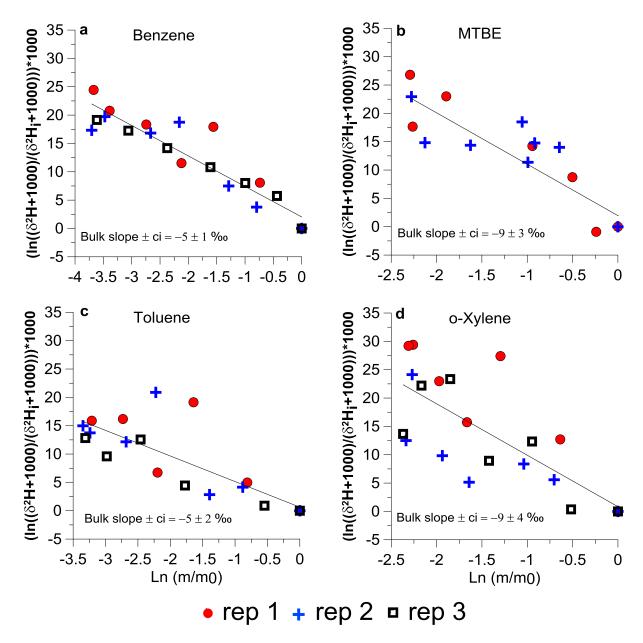


Figure 5: Hydrogen isotope fractionation during stepwise air-water partitioning for selected
 compounds. The change in hydrogen isotope ratio is plotted as function of the remaining mass

995 (m/m0) in the Ln scale. The bulk slope and the 95 % confidence interval (ci) were determined using

all plotted data (triplicate experiments), except for MTBE (duplicate experiments).

997

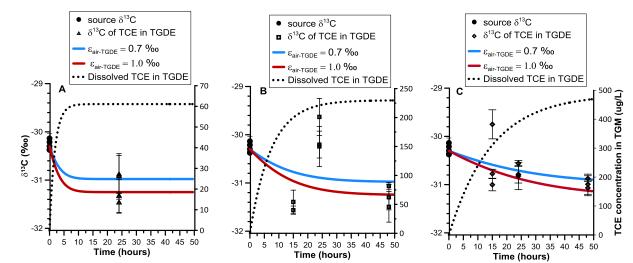


Figure 6: Evolution of concentrations (black line) and δ^{13} C composition (blue and red lines, considering different isotope enrichment factors $\epsilon_{air-TGDE}$) for TCE dissolved in TGDE during 3 simulated gas-phase sampling events using TCE gas-phase concentration of 21 µg/m³ (A), 79 µg/m³ (B), and 167 µg/m³ (C), and respective gas flow rate of 800, 400 and 200 mL/minute. Laboratory data from Bouchard et al. (2017b)

1007

1000

998

999

O Air-tetraglyme stepwise isotope fractionation for toluene: theoretical

- A Air-water stepwise isotope fractionation for toluene: theoretical
- Air-water stepwise isotope fractionation for toluene: experimental

