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# Extending the capabilities of vectorial ptychography to circular-polarizing materials such as cholesteric liquid crystals

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The problem of imaging materials with circular polarization properties is discussed within the framework of vectorial ptychography. We demonstrate, both theoretically and numerically, that using linear polarizations to investigate such materials compromises the unicity of the solution provided by this computational method. To overcome this limitation, an improved measurement approach is proposed, which involves specific combinations of elliptical polarizations. The effectiveness of this strategy is demonstrated by numerical simulations and experimental measurements on cholesteric liquid crystals films, which possess unique polarization properties. With the help of Pauli matrices algebra, our results highlight the technique's ability to discern between different types of circular polarizers, uniform vs. non-uniform, and determine their handedness.

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## 1. INTRODUCTION

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Vectorial ptychography is a recent imaging technique that uses 5 phase retrieval algorithms to provide quantitative maps of Jones 6 matrices [1]. It is used in optical microscopy to study speci-7 mens that strongly affect the phase and polarization of trans-8 mitted light, and it has a robust reference-free experimental 9 scheme [2]. This variant of optical ptychography [3] has been 10 11 successfully applied to various materials, ranging from natural substances like biomineral calcareous shells [4, 5] or biological 12 tissues [6] to advanced optical components such as holographic 13 polarization-controlled metasurfaces [7]. In previous studies, 14 vectorial ptychography has relied on linear polarization states 15 for both illumination and detection [2]. While this approach has 16 been effective in addressing various challenging situations, it 17 may have limitations when dealing with materials that exhibit 18 strong circular-polarization properties. Such materials are often 19 encountered in chiral molecular assemblies, which can make 20 21 reconstruction difficult.

This letter presents an extension to the capabilities of vectorial ptychography, demonstrating how it can be applied to circular-polarizing materials. Initially, we provide theoretical evidence of the underdetermination introduced by linear polarizations when investigating such materials. Subsequently, we propose an enhanced measurement scheme that relies on combinations of elliptical polarizations. We validate this approach through numerical simulations, where the results are analyzed with the help of Pauli matrices algebra. Finally, experimental vectorial ptychography measurements are conducted on cholesteric liquid crystal (CLC) films, which exhibit specific polarization properties.

## 2. THEORY

## A. Principle of vectorial ptychography

In vectorial ptychography, the recorded intensity at the *j*-th scanning position is represented as the square modulus of the far field

$$I_{jkl}(\mathbf{q}) = \left| \mathcal{F}\left( \psi_{jkl}(\mathbf{r}) \right) \right|^2$$
(1)

where where  $\psi_{jkl}$  denotes the scalar exit field after analysis for the *k*-th polarized probe and *l*-th polarization analysis [1]. The operator  $\mathcal{F}$  represents a propagation operator, while **r** and **q** are the direct and reciprocal space coordinates, respectively. The exit field can be expressed as

$$\psi_{jkl}(\mathbf{r}) = \mathbf{h}_l^{\mathsf{t}} \mathbf{J}(\mathbf{r} - \mathbf{r}_j) \mathbf{p}_k(\mathbf{r})$$
(2)

where  $\mathbf{h}_i$  is the polarization analysis operator, "t" denotes the transpose operator,  $\mathbf{J}(\mathbf{r} - \mathbf{r}_j)$  represents the Jones matrix map of the laterally shifted investigated object, and  $\mathbf{p}_k(\mathbf{r})$  corresponds to the vectorial field distribution of the polarized illumination probe.

The success of the iterative ptychography reconstruction depends on the changes observed in the recorded intensity patterns  $I_{jkl}(\mathbf{q})$  during spatial (*j*) and polarization (*k*, *l*) scanning [2]. Previous works have mainly focused on linear polarizations for both the illumination (at angle  $\alpha_k$ ) and analysis (at angle  $\theta_l$ ), given by

$$\mathbf{p}_{k}^{\text{lin}} \propto \begin{bmatrix} \cos \alpha_{k} \\ \sin \alpha_{k} \end{bmatrix} \quad \text{and} \quad \mathbf{h}_{l}^{\text{lin}} \propto \begin{bmatrix} \cos \theta_{l} \\ \sin \theta_{l} \end{bmatrix}.$$
(3)

While this measurement scheme has been employed to investi- 101 55

gate a wide range of optical properties [4], the specific case of 56

circular-polarizing materials has not been studied. 57

#### B. Circular-polarizing materials 58

Circular-polarizing materials possess the property of transform- 106 59 ing incident light into circularly polarized light, in transmission 107 60

and/or in reflection. Additionally, if a circular polarization of a

particular handedness (left or right) can be transmitted with the 108

same handedness, the circular polarizer is referred to as homoge-

neous [8]. In this study, it is important to note that we will adopt

110 a convention for which the term "left" refers to a polarized field 65 111

that circulates in a counterclockwise direction when observed 66

from the detector's viewpoint. 67

#### C. Linear polarization scheme 68

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For the sake of simplicity, let us consider a material that behaves 69

as a homogeneous left-circular polarizer in transmission, along 70 117 with transmittance properties  $T(\mathbf{r})$  that are independent of po-71 118 larization. This material can be described by the following Jones 72 119 matrix,

$$\mathbf{J}(\mathbf{r}) = \frac{1}{2}T(\mathbf{r})\begin{bmatrix} 1 & -i\\ +i & 1 \end{bmatrix}.$$
 (4) 121  
122  
123

Under a linear polarization scheme (Eq. 3), it can be demon-74 124 strated that the exit field, as given by Eq. 2, becomes 75

$$\psi_{jkl}^{\text{lin}}(\mathbf{r}) \propto T(\mathbf{r} - \mathbf{r}_j)e^{i(\theta_l - \alpha_k)}.$$
(5)

It is important to note that the polarization properties contribute 76 to the exit field solely as a spatially homogeneous phase factor in 77 Eq. 5. Consequently, the recorded intensity remains unchanged 78 according to Eq. 1, regardless of the combination of polariza-79 tions employed. In a more general context, it can be shown that 80 different types of circular-polarizing materials, whether left or 81 right, homogeneous or inhomogeneous, would yield the same 82 set of diffracted intensities  $I_{ikl}(\mathbf{q})$  and, therefore, would be in-83 distinguishable using this measurement scheme, compromising 84 the unicity of the ptychographic reconstruction. 85

#### D. Improved polarization scheme 86

139 87 The effective resolution of this ambiguity can be achieved by 140 88 utilizing a wider range of polarizations. By substituting the 141 operators  $\mathbf{p}_k$  and  $\mathbf{h}_l$  with the following expressions 89 142

$$\mathbf{p}_{k}^{\text{ell}} \propto \begin{bmatrix} \cos \alpha_{k} \\ -i \sin \alpha_{k} \end{bmatrix} \quad \text{and} \quad \mathbf{h}_{l}^{\text{ell}} \propto \begin{bmatrix} \cos \theta_{l} \\ -i \sin \theta_{l} \end{bmatrix}, \qquad (6)$$

147 which correspond to general elliptical polarizations with an azimuth of 0° or 90° and ellipticities defined by  $\tan \alpha_k$  and  $\tan \theta_l$ , 91 149 respectively, the resulting exit field described by Eq. 2, in the 92 example considered earlier, becomes 93

$$\psi_{jkl}^{\text{ell}}(\mathbf{r}) \propto T(\mathbf{r} - \mathbf{r}_j)(\cos \alpha_k - \sin \alpha_k)(\cos \theta_l + \sin \theta_l).$$
(7) <sup>152</sup>

As it will be illustrated later, the concept can be easily grasped by 154 considering the Poincaré sphere representation for polarizations.

It involves investigating multiple points on a sphere's meridian <sup>155</sup> 96 for both illumination and analysis, involving spherical, elliptical, 156 97 and linear polarizations. This situation allows us to overcome 157 98

the barrier imposed by linear polarizations positioned on the 158 99

sphere's equator. This modification introduces a clear signature 159 100

of the circular polarization properties, resulting in a change in the amplitude of the exit field as given by Eq. 7, depending on the specific combination of polarizations. Furthermore, it can be demonstrated that the amplitude factors in Eq. 7 take different algebraic forms, facilitating the unequivocal identification of the type of circular polarizer. The relevance of this latter approach has been tested on both numerical and experimental datasets.

## 3. MATERIALS AND METHODS

### A. Numerical simulations

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Numerical datasets were generated to simulate an experiment of vectorial ptychography at a wavelength  $\lambda = 635$  nm using 50-µm-diameter cropped Gaussian-shaped illumination probes under which an object is raster-scanned with a step size of 7 µm in both directions, resulting in an  $11 \times 11$  grid. Far-field intensity patterns were computed as if they were captured at an infinite distance, within a numerical aperture of 0.3, and recorded on a camera sensor with dimensions of  $122 \times 122$  pixels. To replicate the effects of shot noise on the sensor, a Poisson random number generator was employed. Typically, each frame had a total photon count of 10<sup>6</sup>. The datasets were processed using the vectorial ptychographic iterative algorithm detailed in a previous publication [1]. The algorithm employed random distributions (modulus and phase) for the initial guesses and was run for 30 iterations with known probes.

## B. CLC films

Cholesteric liquid crystals (CLC) films were experimentally investigated at  $\lambda = 635$  nm. These materials are characterized by a helical structure of pitch *p* that produces a specific optical response for wavelengths within a bandwidth centered at  $\lambda_0 = \bar{n}p$ , at normal incidence, where  $\bar{n}$  is the mean refractive index. The reflected light is circularly polarized. When unpolarized light is incident on a CLC, a maximum of 50% of light is reflected (matching the helix handedness), and 50% of the light is transmitted (circularly polarized with the inverse handedness) [9]. In the following, this case will be referred to as "Bragg film", in analogy with X-ray diffraction. On the contrary, if the wavelength  $\lambda$ is outside the bandwidth, the polarization rule is ineffective and the material will be referred to as "off-Bragg". Wacker-Chemie GmbH provided us with CLCs polysiloxane-based oligomers. Details regarding their chemical and physical properties, as well as relevant references, are given in a prior paper [10]. Their glass transition temperature  $T_g$  ranges from 40 to 55°C. The helix is left-handed. Thin films were produced between two plain glass substrates and annealed at 140°C in their viscous state. They can be vitrified by quenching below  $T_{g}$ , and their cholesteric structure is preserved at normal temperature in a solid state. The Silicon-Green chemical was used to fabricate the off-Bragg film (half height bandwidth 430-500 nm). It was annealed for 90 min without the top substrate, resulting in a blue-shift in the reflection band [11]. The thickness measures  $2.6 \pm 0.1 \,\mu\text{m}$ . The Bragg film (half height bandwidth 550–680 nm) is a 13:87 wt. % mixture of Silicon-Blue and Silicon-Red compounds. It was annealed for 10 min with both substrates present. The thickness measures  $15 \pm 2 \,\mu$ m.

### C. Vectorial ptychography measurements

Measurements were carried out at  $\lambda = 635$  nm on an optical setup described previously [2], adapted here by inserting two quarter waveplates properly oriented, one before the object, one after, as shown in Fig. S1 in Supplement 1. This modification

provides a simple solution to upgrade the linear polarization 160 scheme to the improved one proposed in this work. Thus, the 161 mechanical control of the polarization, formerly of the angles of 162 linear polarizations (Eqs. 3) acts now as a control of the polariza-163 tion ellipticities (Eqs. 6). Measurements were carried out with 164 an illumination probe of effective diameter 100 µm. The far-field 165 was collected through a numerical aperture of 0.4 and recorded 166 by a camera of effective dimensions  $320 \times 240$  pixels. Object 167 reconstructions were performed by means of 500 iterations of 168 a conjugate-gradient algorithm described in a previous work 169 [12], allowing the joint estimation of the three probes together 170 with the Jones maps of the object, with a pixel size of about 171  $0.73\times0.97~\mu m^2.$ 172

## **4. RESULTS AND DISCUSSION**



**Fig. 1.** Description of the simulated numerical object. (a) Jones maps  $J(\mathbf{r})$ . Inset show the colour encoding for complex values in the complex plane. (b) Pauli coefficients maps. (c) Values of the complex Pauli coefficients represented in the complex plane. Values have been averaged in the square dotted area shown on the maps of panel b. Scale bars are 20 µm.

<sup>174</sup> We performed a simulation using an object described by the <sup>175</sup> Jones matrix presented in Eq. 4. The transmittance properties <sup>176</sup>  $T(\mathbf{r})$  of the object corresponded to a uniform region intersected <sup>177</sup> by vertical and horizontal stripes with lower transmittance. The <sup>178</sup> resulting Jones maps are depicted in Fig. 1a. To better identify <sup>179</sup> the presence of circular polarization properties while preserving <sup>180</sup> all information, we expanded them as a sum

$$\mathbf{J}(\mathbf{r}) = \sum_{n=0}^{3} C_n(\mathbf{r}) \, \boldsymbol{\sigma}_n.$$
 (8) 1901

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<sup>181</sup> Here,  $\sigma_0$  denotes the identity matrix, and  $\sigma_1$ ,  $\sigma_2$ , and  $\sigma_3$  represent <sup>182</sup> the Pauli matrices [8]

$$\boldsymbol{\sigma}_{1} = \begin{bmatrix} 1 & 0 \\ 0 & -1 \end{bmatrix}; \, \boldsymbol{\sigma}_{2} = \begin{bmatrix} 0 & 1 \\ 1 & 0 \end{bmatrix}; \, \boldsymbol{\sigma}_{3} = \begin{bmatrix} 0 & -i \\ i & 0 \end{bmatrix}. \quad (9)^{196}_{197}_{198}_{199$$

The corresponding maps of the four complex Pauli coefficients the contrast  $C_n(\mathbf{r})$  are displayed in Fig. 1b. Their average values, obtained the near the center of the image, are illustrated in the complex plane the complex plane the circular the homogeneous left-circular the complex plane the circular the homogeneous left-circular the circular the circular the homogeneous left-circular the circular t



**Fig. 2.** Simulations of measurements with the linear polarization scheme. (a) Combinations of polarizations for illuminations ( $\mathbf{p}_k^{\text{lin}}$ , solid circle) and analyses ( $\mathbf{h}_l^{\text{lin}}$ , empty circle) shown on the Poincaré sphere.  $S_1$ ,  $S_2$  and  $S_3$  are the standard Stokes coefficients [8]. RCP and LCP stand for right and left circular polarization, respectively. (b) Illustration of these polarizations. The colour indicates the ellipticity, given by the latitude on the Poincaré sphere. (c-g) Pauli coefficient maps calculated from retrieved Jones maps, for several reconstruction, run independently, represented with the same convention as in Figs. 1b and c. Scale bars are 20 µm.

polarizer properties of the simulated object, where  $C_0$  and  $C_3$  are equal, positive, and real, while  $C_1 = C_2 = 0$ .

First, we conducted a simulation to replicate a measurement using the linear-polarization scheme. The specific angles employed were  $\alpha_1 = 0^\circ$ ,  $\alpha_2 = 60^\circ$ ,  $\alpha_3 = 120^\circ$ , along with  $\theta_1 = 0^\circ$ ,  $\theta_2 = 60^\circ$ ,  $\theta_3 = 120^\circ$  [7] in Eqs. 3, as visually depicted in Fig. 2a,b. Multiple independent algorithm runs were executed, yielding diverse solutions. Figs 2c-e provide an overview by displaying maps of the Pauli coefficients for each solution. Alongside the correct solution (Fig. 2c), which indicated a homogeneous leftcircular polarizer, several alternative solutions emerged. These alternative solutions comprised of either homogeneous rightcircular polarizers (Fig. 2d) or inhomogeneous ones (Fig.2e), thereby confirming the theoretical ambiguity highlighted. Remarkably, the discovered solutions extended beyond circularpolarizing characteristics, exhibiting different properties. For example, Fig. 2f corresponded to a horizontal quarter wave-



Fig. 3. Simulations of measurements with the improved polar-240 ization scheme. (a) Combinations of polarizations for illuminations ( $\mathbf{p}_k^{\text{ell}}$ , solid circle) and analyses ( $\mathbf{h}_l^{\text{ell}}$ , empty circle) shown <sup>241</sup> 242 on the Poincaré sphere. (b) Corresponding polarizations. (c) 243 Pauli coefficient maps calculated from retrieved Jones maps, 244 as obtained systematically for any reconstruction run indepen-245 dently, represented with the same convention as in Figs. 1b 246 and c. Scale bars are 20 µm.

plate, while the last case, Figs. 2g, represented more intricate 204 249 combinations. 205

250 Subsequently, the simulation was executed using an im-206 251 proved polarization scheme. The chosen angles were  $\alpha_1 = 15^\circ$ , 207  $\alpha_2 = 105^{\circ}, \, \alpha_3 = 45^{\circ}, \, \text{in conjunction with } \theta_1 = 0^{\circ}, \, \theta_2 = -45^{\circ}, \, _{252}$ 208  $\theta_3 = 45^\circ$  within Eqs. 6, visually represented in Fig. 3a. These 209 angles, chosen empirically, represented a combination of linear, 210 elliptical, and circular polarization states, allowing to probe effi-211 ciently a large variety of optical properties. Once again, multiple 255 212 runs of the algorithm were performed, resulting in consistent 213 214 convergence towards the correct solution, now. The obtained so-257

lution is illustrated in Fig. 3b, further validating the effectiveness 215 of this scheme. 216

Finally, Bragg and off-Bragg CLC films were investigated 217 259 using vectorial ptychography with the improved polarization 218 260 scheme. Fig. 4a illustrates the Jones and Pauli coefficients maps 219 261 obtained from the reconstruction algorithm. For the off-Bragg 220 262 film (Fig. 4a), the Pauli coefficients maps show that only the 263 221  $C_0(\mathbf{r})$  map has non-zero values. This confirms that the film has 264 222 265 an optical response that is insensitive to polarization. On the 223 266 contrary, the Bragg film (Fig. 4b) displays different maps of Pauli 224 coefficients, with  $C_0(\mathbf{r}) = C_3(\mathbf{r}) \neq 0$ , while  $C_1(\mathbf{r}) = C_2(\mathbf{r}) = 0$ . 225 268 This confirms that the film functions as a uniform left-circular 226 269 polarizer. Furthermore, variations in the Pauli coefficient allow 227 270 to report a texturing of the films (polygonal texture) that has 228 271 been discussed previously [11]. Addressing this aspect is beyond 229 272 the scope of the present study and will be the subject of a future 230 273 article. It is worth emphasizing the clear advantage of spatial 274 231 resolution and intrinsic phase-imaging capabilities offered by 275 232 vectorial ptychography [4]. In contrast, other interferometric 276 233 277 methods typically provide spatially averaged measurements 234 278 [13]. 235 279

#### 5. CONCLUSION 236

In conclusion, this letter presents a novel approach to vectorial 237 ptychography, expanding its capabilities to circular-polarizing 238 materials such as CLCs. By a measurement scheme based on 239

(a) Off-Bragg CLC film



Fig. 4. Investigation of two CLC films. Pauli coefficient maps. (a) Off-Bragg film. (b) Bragg film. Scale bars are 40 µm.

elliptical polarizations, the technique overcomes the limitations of linear polarizations and enables the accurate characterization of circular polarizers at a micrometer-scale resolution. This progress in quantitative imaging has implications for various fields, including the study of chiral molecular assemblies and other materials or advanced components with strong circularpolarization properties.

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Data Availability Statement. The datasets are available upon reasonable request from the corresponding author.

Supplemental document. See Supplement 1 for supporting content.

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