

Muscle metaboreflex activation during hypercapnia modifies nonlinear heart rhythm dynamics, increasing the complexity of the sinus node autonomic regulation in humans

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27 Running head: HRV during interaction of the muscle metaboreflex and28 hypercapnia

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Muscle metaboreflex activation during hypercapnia leads to enhanced pressive effects that 36 37 are poorly understood while autonomic responses including baroreflex function are not documented. Thus, we assessed heart rate variability (HRV) that is partly due to 38 39 autonomic influences on sinus node with linear tools (spectral analysis of instantaneous 40 heart period), baroreflex set point and sensitivity with the heart period-arterial pressure 41 transfer function and sequences methods, and system coupling through the complexity of 42 RR interval dynamics with nonlinear tools (Poincaré plots and approximate entropy (ApEn)). We studied ten healthy young men at rest and then during muscle metaboreflex 43 activation (MMA, postexercise muscle ischemia) and hypercapnia (HCA, PetCO2=+10 44 45 mmHg from baseline) separately and combined (MMA+HCA). The strongest pressive responses were observed during MMA+HCA, while baroreflex sensitivity was similarly 46 lowered in the three experimental conditions. HRV was significantly different in 47 48 MMA+HCA compared to MMA and HCA separately, with the lowest total power spectrum (p<0.05), including very low frequency (p<0.05), low-frequency (p<0.05), and high 49 50 frequency (tendency) power spectra decreases, and the lowest Poincaré plot short term variability index (SD1): SD1=36.2 ms (MMA+HCA) vs. SD1=43.1 ms (MMA, p<0.05) and 51 SD1=46.1 ms (HCA, p<0.05). Moreover, RR interval dynamics complexity was 52 significantly increased only in the MMA+HCA condition (ApEn increased from 1.04±0.04, 53 54 1.07±0.02, and 1.05±0.03 to 1.10±0.03, 1.13±0.04, and 1.17±0.03 in MMA, HCA, and 55 MMA+HCA conditions, respectively; p<0.01). These results suggest that in healthy young 56 men, muscle metaboreflex activation during hypercapnia leads to interactions that reduce 57 parasympathetic influence on the sinus node activity but complexify its dynamics.

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KEYWORDS: muscle metaboreflex, hypercapnia, heart rate variability, heart rhythm dynamics, nonlinear

61 INTRODUCTION

Patients with chronic obstructive pulmonary disease, obstructive sleep apnea syndrome, and heart failure are known not only to develop muscle metaboreflex activation and hypercapnia but also to have increased arrhythmic morbidity and mortality rates [GAGNON P 2012¹, OLSON TP 2014², BRUCE RM 2016³, GIANNONI A 2016⁴, UENO-PARDI LM 2017⁵]. Nonetheless, the effects of muscle metaboreflex activation during hypercapnia on the heart are poorly documented.

Inspired by the pioneering works of Ponikowski et al. [PONIKOWSKI P 2001⁶] and Lykidis et al. [LYKIDIS CD 2009⁷ and 2010⁸], we previously documented the cardiovascular response to forearm muscle metaboreflex activation during hypercapnia in healthy subjects [DELLIAUX S 2015⁹]. We reported partially additive pressor effects due to the attenuation of chronotropic and vasoconstrictive responses, the underlying mechanisms of which were not obvious.

74 Muscle metaboreflex activation in humans by postexercise muscle ischemia (PEMI) 75 simultaneously increases parasympathetic and sympathetic influences on sinus activity [NISHIYASU T 1994¹⁰, PIEPOLI M 1995¹¹, IELLAMO F 1999¹², FISHER JP 2010¹³]. 76 77 However, this increase in the cardiac parasympathetic influence on sinus activity might reflect, at least in part, the buffering effects of the arterial baroreflex stimulated by the 78 increased blood pressure [O'LEARY 199314, NISHIYASU 199410, IELLAMO F 199912, KIM 79 JK 2005^{15,16}, WATANABE K 2010¹⁷, INCOGNITO AV 2017¹⁸]. In addition, slight 80 hypercapnia in dogs strongly increased both vagal and sympathetic discharges to the heart 81 82 [KOLLAI M 1979¹⁹]. More recent pharmacological studies have confirmed the increasing effects of hypercapnia on cardiovagal activity [IKENOUE T 1981²⁰, SICA AL 2002²¹], and 83 similar results have been found in humans [SASANO N 2002²², BROWN SJ 2007²³]. 84

While muscle metaboreflex and hypercapnia generate signals that converge on common areas in the brain [SPYER KM 1994²⁴] and stimulate cardiovascular function through the autonomic nervous system, the effects of muscle metaboreflex activation during hypercapnia on sinus node activity, its autonomic regulation and, therefore, heart rate dynamics in humans still need to be documented and characterized. To fill this gap, we tested the hypothesis that these combined cardiovascular stimuli increase parasympathetic modulation of sinus node activity.

92 To assess the neural component of sinus node activity control, we performed RR interval93 (RRI) and systolic blood pressure (SBP) time series analyses including heart rate

94 variability, mainly through spectral analysis. Because RRI time series are the result of a 95 dynamically interacting complex system including muscle metaboreflex, hypercapnia, and 96 arterial baroreflex inputs, the behavior of these time series might be nonlinear. To capture 97 the nonlinear dynamics of heart rhythm, we also performed Poincaré plot analysis and 98 approximate entropy (ApEn) estimation. ApEn is a family of statistics that quantify 99 entropy and particularly the concept of changing complexity of the system [PINCUS SM 1991^{25,26}, 1994^{27,28}]. ApEn has already been used and validated in the field of cardiac 100 autonomic neuroscience [PINCUS SM 1992²⁹, FLEISHER LA 1993³⁰, AUBERT AE 2001³¹, 101 102 BOGAERT C 2001³², BECKERS F 2001³³].

103

104 Materials and methods

105 Subjects

106 Ten healthy young males were tested in this study. Their mean (± SEM) age, height 107 and weight were 24±1 years, 1.73±0.01 m and 64±1 kg, respectively. All subjects had no 108 history of smoking or taking any medication. Subjects were asked not to exercise for two 109 days before their participation and not to drink alcohol, coffee or tea 12 hours before the experiment started. The study was approved by the Ethical Committee of the University 110 of Tsukuba and was conducted in accordance with the Declaration of Helsinki. Each 111 112 subject provided written consent. All experiments were conducted in the presence of a 113 medical doctor.

114 Experimental procedures

115 Experimental procedures have been previously described [DELLIAUX S 2015⁹], and data were reanalyzed in an effort to better understand the mechanisms whereby the interaction 116 117 between the metaboreflex and hypercapnia resulted in a hypo-additive heart rate 118 response. Interventions consisted of measuring maximal voluntary contraction (MVC), stimulating the muscle metaboreflex, and performing a hyperventilation challenge. 119 Subjects were asked to grip a hand dynamometer (digital dynamometer; TKK 5101; Takei, 120 121 Niigata, Japan) and to perform three maximal voluntary contractions (MVCs) using their 122 dominant arm. The highest of the three measurements was used in the experiment. Local circulatory occlusion was used to trap metabolites produced by contracting muscles, 123 thereby evoking the muscle metaboreflex. Local circulatory occlusion with a suprasystolic 124 pressure of 240 mmHg was achieved with a rapidly inflatable cuff placed on the upper 125

part of the dominant arm. The cuff pressure reached 240 mmHg in less than 1 s. The voluntary hyperventilation challenge consisted of calibrated hyperventilation. Breathing frequency (BF) was maintained at 20 breaths per min regulated by an electronic metronome, and a 1,500 ml tidal volume (Vt) was targeted (with visual feedback of the instantaneous Vt). N₂ and CO₂ supplies were adjusted to ensure normoxia and normocapnia or hypercapnia.

132 In a single experimental day, subjects performed three protocols in random order. Each protocol entailed a sequence of three periods: control, reflex activation and recovery. The 133 control period was designed to provide 5 min of resting baseline data with normoxia, 134 135 normocapnia and spontaneous breathing. Reflex activation was characterized by a 6-min experimental stimulus: 1) muscle metaboreflex activation (MMA), which consisted of a 1-136 min isometric handgrip exercise at 50% MVC (set from previous experiments and 137 preliminary data) followed by a 5-min rest, all during normocapnia; 2) normoxic 138 hypercapnia (HCA), which consisted of a 6-min rest with +10 mmHg end-tidal CO₂ partial 139 140 pressure (PetCO₂) from baseline; and 3) muscle metaboreflex activation during 141 hypercapnia (MMA+HCA). In all three protocols, dominant upper-arm ischemia induced 142 by local circulatory occlusion and voluntary hyperventilation were maintained throughout the 6 min of Reflex Activation. Recovery consisted of a 5-min rest period (no exercise, no 143 144 ischemia) under free air breathing conditions. The protocols were separated by rest periods 145 of at least 30 min.

146 *Measurements*

147 Exclusive mouth breathing was ensured by having the subjects wear a nose clip. A 148 mouthpiece was connected to a pneumotachograph and a T-shaped non-rebreathing 149 Rudolph valve. N₂ and CO_2 supplies were controlled by manual flow meters connecting the inspiratory path to N₂ and CO₂ tanks. The expiratory path was opened to the room air. 150 Inspiratory and expiratory gases were sampled at the mouthpiece level, and composition 151 was analyzed by a mass spectrometer (Arco 2000; Arco System, Chiba, Japan). Analog 152 signals for the respiratory variables, electrocardiogram (3-lead; Nihon Kohden, Tokyo, 153 Japan), and arterial pressure waveform (finger photoplethysmography; Finometer; 154 Finapres Medical System, Amsterdam, The Netherlands) were digitized at a sampling 155 frequency of 1000 Hz (PowerLab 16/30 & LabChart Pro; ADinstruments Japan Inc., 156 Nagoya, Japan) and fed into a personal computer. 157

We used Doppler ultrasound to evaluate stroke volume (SV). We measured ascending aortic blood velocity with a hand-held transducer probe (operating frequency: 2 MHz) connected to a Doppler ultrasound system (Echodoppler; HDI 3500; Atl/Philips Ultrasound, Bothwell, WA, USA). SV was calculated as the product of the aortic blood velocity (cm/s) and aortic cross-sectional area (cm²), while aortic diameter was measured in a separate resting session.

164 Data analysis

Cardiorespiratory variables. We assessed the hemodynamic profile by measuring 165 MAP (using digital photoplethysmography), heart rate (HR, using ECG), and SV (using 166 echo Doppler), then calculated cardiac output as CO = SV × HR, from which we calculated 167 total peripheral resistance as TPR = MAP / CO. We assessed the ventilatory profile 168 through measured Vt, BF and Ve, and $PetCO_2$ and end-tidal O_2 partial pressure ($PetO_2$) 169 obtained from respiratory flows (using pneumotachography) and respiratory gas analysis 170 171 (using mass spectrometry), respectively. The ratio of inspiratory time to expiratory time 172 (Ti/Te) has been used as an index of respiratory drive.

Baroreflex operating point and sensitivity. Baroreflex control of HR was determined 173 from the relation and its spontaneous fluctuations (spontaneous arterial baroreflex) 174 175 between HR and systolic pressure. The operating point was determined as the HR and 176 SBP average values over 5 min for each period of the protocol, i.e., control, reflex activation 177 (during the 5-min local circulatory occlusion), and recovery. Systolic pressure was used because HR correlates closely with systolic pressure but not with diastolic pressure 178 179 [RUDAS L 1999³⁴]. To ensure the reliability of spontaneous arterial baroreflex sensitivity 180 (BRS) assessment, we applied two different methods, the sequences and the transfer function methods [BERTINIERI G 1985³⁵, PARATI G 1988³⁶, PINNA GD 2001³⁷]. 181 Concerning the sequences method, we first analyzed RRI and SBP beat-to-beat time series 182 and identified sequences, i.e., all joint RRI and SBP increases or decreases involving at 183 184 least 3 consecutive beats. The threshold change was set to 4 ms for RRI and 1 mmHg for SBP. For each sequence, linear regression between RRI and SBP was performed, and the 185 186 regression coefficient was considered only if the correlation coefficient was ≥ 0.85 . Mean value of the absolute values of the regression coefficients, i.e., the mean slope of rectified 187 regression lines, was taken as a measure of the BRS. Concerning the transfer function 188 189 method, we estimated BRS as the mean of RRI-SBP transfer function gains that had a squared coherence ≥ 0.85 in the frequency range of 0.05-0.15 Hz. The transfer function 190 between RRI and SBP was calculated as 191

$$H(f) = S_{RRI,SBP}(f)/S_{RRI,RRI}(f)$$

where $S_{RRI.SBP}(f)$ is the cross-spectrum of RRI and SBP signals and $S_{RRI.RRI}(f)$ is the autospectrum of the RRI signal. Transfer function gain (magnitude) was obtained from the real part of the complex function H(f), and the squared coherence function, which expresses the linear relationship between the input and output powers of the studied signals over the frequencies of interest, was calculated as

198
$$\operatorname{Coh}(f) = |S_{\operatorname{RRI},\operatorname{SBP}}(f)|^2 / [S_{\operatorname{RRI},\operatorname{RRI}}(f), S_{\operatorname{SBP},\operatorname{SBP}}(f)]$$

where $S_{RRI.SBP}(f)$ is the cross-spectrum of RRI and SBP signals, and $S_{RRI.RRI}(f)$ and S_{SBP.SBP}(f) are the auto-spectra of the RRI and SBP signals, respectively.

201 Autonomic influences on heart period. Heart rate variability (HRV) due to 202 sympathovagal and more generally to autonomic effects on the sinoatrial node activity was assessed by spectral analysis according to guidelines [TASK FORCE 1996³⁸]. We first 203 204 visually checked all signals to remove and correct artifacts or too many frequent ectopic 205 beats when necessary. Beat-to-beat RRI discrete time series were generated and then presented as a function of time. Cubic spline interpolation and resampling at 4 Hz 206 207 converted the non-equidistantly sampled RR interval time series to 1200 points at equal 208 time intervals. Then, we applied Welch's periodogram method for spectral density estimation. The RRI time series was divided into five equal ~66% overlapping segments of 209 210 512 points each. Linear detrending, Hanning windowing, and fast-Fourier transform were applied to each segment. The five generated spectra were averaged to provide spectrum 211 212 estimates of the initial beat-to-beat RRI discrete time series. The spectral resolution was ~0.008 Hz. Total power (TP; 0–0.4 Hz), very low-frequency (VLF; <0.04 Hz) power, low-213 214 frequency (LF; 0.03–0.15 Hz) power and high-frequency (HF; 0.15–0.35 Hz) power were 215 calculated by integrating spectral estimates over the frequency ranges of interest. LF and HF power were also expressed in normalized units (LFnu and HFnu, n.u.) and were 216 calculated according to LF / (TP – VLF) x 100 and HF / (TP – VLF) x 100. HF in ms^2 and 217 n.u. Finally, the ratio of LF [ms²] to HF [ms²] was calculated. 218

219 Poincaré plot. In some physiological and pathological states, concomitant 220 sympathetic and parasympathetic activation can occur, leading to more complex 221 autonomic modulation of sinus node activity. Acetylcholine and norepinephrine have a 222 complex interaction at the level of the sinus node, resulting in a typical condition favoring 223 the occurrence of complex HR dynamics (LEVY MN 1971³⁹, LEVY MN 1995⁴⁰). The 224 Poincaré plot, which is a diagram in which each RR interval of a tachogram is plotted as a function of the previous RR interval (first recurrence map), seems to be a useful tool in
such conditions [TULPPO MP 1998⁴¹, BRENNAN M 2001⁴², KOICHUBEKOV B 2017⁴³].
An ellipse was fitted to the plot; the standard deviation (SD) of the plot data on the minor
axis (SD1) described the instantaneous beat-to-beat variability, while the SD on the major
axis (SD2) described the continuous long-term variability of the data. The ratio SD1/SD2
was also computed and characterizes the shape of Poincaré plots said to highlight
nonlinear properties.

232 *Regularity.* We assessed the complexity of the nonlinear dynamical system that is the cardiovascular system by calculating the amount of regularity with approximate 233 entropy (ApEn) [PINCUS M 1991^{25,26}, PINCUS M 1994^{27,28}]. Entropy refers to system 234 randomness, regularity and predictability and allows systems to be quantified by the rate 235 of information loss or generation. ApEn more specifically measures the likelihood that 236 237 runs of patterns that are close will remain close for subsequent incremental comparisons. In the present study, ApEn was calculated according to Pincus' formula [PINCUS M 238 239 1991²⁵] with fixed input variables m = 2 and r = 15% (m being the length of the compared runs and r being a filter) [PINCUS SM 1994^{27,28}, GOLDBERGER AL 1994⁴⁴]. Higher 240 values of ApEn indicate a more complex structure in the time series. In this sense, ApEn 241 rises with complexity and with interactions when applied to complex adaptive systems 242 243 characterized by nonlinearly interacting components. ApEn was calculated as

$$ApEn(m, r, N) = \Phi^{m}(r) - \Phi^{m+1}(r)$$

where $\Phi^m(r) = (N - m + 1)^{-1} \sum_{i=1}^{N-m+1} \ln C_i^m(r)$, with N being the number of measured RRIs constituting the time series, *m* being a positive integer representing the length of the compared runs of data (i.e., the embedding dimension, where *m*=2), *r* is a positive real number specifying the filtering level (here, *r*=0.2. SD of RRI constituting the time series), and $C_i^m(r)$ is the relative number of vectors of length *m* for which $d(u_i, u_j) \leq r \forall i \in$ (1, 2, ..., N - m + 1) and $\forall j$.

251 *Statistics*

Before any analysis we checked relevance of sample size for our purposes. To achieve a power of 80% (type II error) and a level of significance of 5% (two-sided type I error), for detecting an effect size of 1 (strong effect) on the approximate entropy (ApEn) between pairs as defined by Cohen's d formula, the study should have required a sample size of 11 (number of pairs). A posteriori calculation of Cohen's d showed that effect size effect was

very strong (equal to 4) in our experiment and that sample size = 10 was sufficient. Data 257 258 are presented as the means \pm SEMs. Statistical analyses were performed using 2-way repeated-measures ANOVA. The two factors for ANOVA were the period (control and 259 reflex activation) and the experimental stimulus during reflex activation (HCA, MMA and 260 261 MMA+HCA). When main effects were observed, post hoc procedures (Tukey's test after 262 Bonferroni's correction) compared pairs of means. When appropriate, paired two-tailed t 263 tests were also used. Values of p<0.05 were considered significant. Because of the poor quality of the echo Doppler signal during some periods of the voluntary hyperventilation 264 265 challenge in 1 subject, some of his hemodynamic data were excluded.

266

267 **RESULTS**

268 Coactivation and cardiorespiratory macro-variables

269 The data during control and then during reflex activation periods in MMA, HCA and 270 MMA+HCA conditions are summarized in table 1. Accordingly, there was no difference in 271 any end-tidal, respiratory or hemodynamic variable at baseline across the three protocols, and the three experimental conditions led to HR, DBP, MBP, SBP, and CO increases 272 273 (p<0.001). When compared to HCA, MMA pressive response led to higher DBP, MBP, and SBP but to similar chronotropic effects with comparable HR. In contrast, MMA+HCA led 274 275 to the strongest cardiovascular response, including the highest HR, DBP, MBP, SBP, and 276 CO increases, and interestingly led to the only SV significant increase from the control 277 (p=0.017).

278 Coactivation and baroreflex function

Assessment of spontaneous arterial baroreflex function through determination of its 279 operating point and sensitivity during control and then during MMA, HCA, and 280 281 MMA+HCA is summarized in figure 1. In this figure, only the baroreflex sensitivities obtained from transfer function analysis are reported (oblique straight lines), and those 282 from sequence analysis are strongly stackable to them and not significantly different 283 284 (p=0.937). As shown, operating points as baroreflex sensitivities were similar across the 285 three protocols at baseline. In contrast, reflex activation periods modified operating points 286 accordingly to the experimental condition, i.e., on the one hand MMA and HCA and on the other hand MMA+HCA. MMA+HCA led to the strongest operating point change (p<0.001), 287 288 including the highest SBP increase (p<0.001) and the deepest RRI decrease (p<0.001). In addition, spontaneous arterial baroreflex sensitivity was lowered compared to the control
(p<0.001) but similarly by MMA, HCA and MMA+HCA (p=0.894), from 19.71±2.99,
18.76±3.02, and 18.09±3.18 to approximately 13.33±1.97, 12.94±1.82, and 13.17±1.63
ms.mmHg⁻¹, respectively, i.e., approximately -30%.

293 Coactivation and HR dynamics

HRV and autonomic influences. RRIs were significantly and markedly lowered 294 295 during MMA, HCA and MMA+HCA compared to the control (p<0.001), from 984±30ms, 296 1000 ± 30 ms and 968 ± 29 ms to 870 ± 20 ms, 833 ± 15 ms, and 789 ± 15 ms, respectively, as shown in figure 1. The evolution of the power spectra of the RRI time series according to the three 297 298 protocols is summarized in table 2. On one hand, overall variability, as expressed by TP, 299 which is equal to total variance, was significantly lowered only during the MMA+HCA condition (p=0.0262), reflecting mainly VLF and LF power decreases (p=0.042 and 300 p=0.0188, respectively), HF power tending to decrease only (p=0.068). On the other hand, 301 302 LFnu and HFnu were significantly lowered and increased, respectively, during 303 MMA+HCA (p=0.0189) only. Therefore, the LF/HF ratio was significantly lowered only during the MMA+HCA condition (p=0.0495). 304

Nonlinear HR behavior. An illustrative example of a Poincaré plot from a
representative subject is shown in figure 2, and statistics are reported in table 2. SD1,
SD2, and SD1/SD2 were similar at baseline across the three protocols. Concerning SD1
and SD2, the MMA+HCA condition led to significant decreases (p=0.0495 and p=0.02316,
respectively), while MMA and HCA tend to increase SD1 and had no significant effects on
SD2. In contrast, SD1/SD2 was significantly (p<0.001) and similarly higher during MMA,
HCA, and MMA+HCA.

312 *Complexity.* The evolution of ApEn during the protocol is summarized in Figure 3. 313 Reflex activation periods increased ApEn (p=0.000263), but while MMA and HCA alone 314 only tended to increase ApEn, the MMA+HCA condition was the only one to significantly 315 increase ApEn (0.00633), and the sum of the ApEn increases induced by MMA on one side 316 and HCA on the other side was lower (p=0.000572) than the ApEn increase induced by the 317 MMA+HCA condition.

318

319 **DISCUSSION**

320 To better understand how muscle metaboreflex activation during hypercapnia leads to hypo-additive pressive and chronotropic effects [DELLIAUX S 2015⁹], we assessed the 321 autonomic modulation of cardiovascular function from RRI and SBP time series analysis, 322 323 including HRV analysis, spontaneous arterial baroreflex operating point and sensibility 324 determination, and system coupling evaluation through RRI dynamics complexity in 325 healthy young men. MMA+HCA led to the strongest pressive and chronotropic changes, while baroreflex sensitivity was similarly lowered in the three experimental conditions. 326 327 The major findings of this study are the significant HR dynamics changes observed in the 328 only MMA+HCA condition, including concomitant spectral powers (depending on 329 parasympathetic system activity mainly) decrease and ApEn (complexity) increase. These 330 findings suggest that in healthy young men, when the metaboreflex is activated under 331 hypercapnic conditions, stimuli interactions lead to enhanced autonomic regulation of the 332 cardiovascular function with a decreased parasympathetic influence on sinus node activity 333 and a resetting of the spontaneous arterial baroreflex.

334 Complexity of HR dynamics

335 Our results strongly suggest otherwise demonstrate that muscle metaboreflex activation 336 during hypercapnia leads to interacting cardiovascular responses. The increase in ApEn in the MMA+HCA condition indicates an interacting dynamical system. ApEn assesses 337 and classifies complex systems, including both determinist chaotic and stochastic 338 processes, according to their complexity [PINCUS SM^{25,26,27,28}]. Large values of ApEn 339 340 indicate the complex structure of a time series and the complex behavior of the system 341 generating the time series. The more interacting the components of a system, the higher the complexity of the system [BARANGER M⁴⁵]. The higher the complexity of the system 342 is, the higher the ApEn [PINCUS SM^{25,26,27,28}]. The present data show that complexity in 343 344 HR dynamics increased during the MMA+HCA condition and that the sum of ApEn increases induced by MMA and HCA taken alone was lower (p<0.001) than the ApEn 345 increase of MMA+HCA. These results support a synergistic interaction and therefore 346 347 evoke rather a centrally-located interaction. This hypothesis seems to be corroborated by a recent study [SHAFER BM 2021⁴⁶] performed on 13 young healthy males that reported 348 a preserved muscle sympathetic nerve activity response to metaboreflex activation after 349 350 acute hypercapnic hypoxia. Increased ApEn measures of RRI time series are rarely available in the literature. Nevertheless, it has been shown that under varying 351 352 physiological conditions and in response to pharmacological denervation of arterial 353 baroreceptors, the entropy of RRI time series could reflect parasympathetic modulation of

HR in conscious dogs [PALAZZOLO JA 199847] and in humans [PENTTILA J 200348]. 354 355 What's more, high values of ApEn have been shown to decrease from childhood (highest values) to senescence (lowest values) [PIKKUJAMSA SM 199949], and exercise has been 356 associated with increased ApEn [TULPPO MP 2001⁵⁰]. Accordingly, we can consider that 357 358 during metaboreflex activation and hypercapnia, the sinus node undergoes the influence 359 of various direct and indirect reflexes (metaboreflex, chemoreflex, baroreflex etc.) that 360 "complexify" the behavior of heart rate on a beat-by-beat basis. Depending on the physiological scenario, parasympathetic and sympathetic components of the autonomic 361 362 nervous system can work simultaneously and have cross-influence that, in fine, can be inhibitory or excitatory. This could be the case in our experiments and could explain why 363 364 the complexity of the RR time series increases that cannot be precisely assessed via the 365 linear indexes of HRV.

366 Autonomic influences

367 Indexes from RRI time series spectral analysis are known to be markers of the autonomic 368 nervous system influences on the sinus node rhythmic activity. We found that TP and 369 VLF, LF, and HF spectral powers decrease during the MMA+HCA condition. Besides, we found that LFnu and HFnu decrease and increase, respectively, during the MMA+HCA 370 371 condition. According to first HRV guidelines [TASK FORCE 1996³⁸], one could interpret 372 these results as a sympathovagal balance change in aid of parasympathetic influence. On 373 the contrary, at least three elements should alert us to a most judicious interpretation. 374 First, a more recent and excellent review [REYES DEL PASO G 2013⁵¹] reminds us that scientific literature evidence pleads in favor of a mainly parasympathetic-determined 375 376 HRV when assessed by spectral analysis indexes. Second, mathematical constructs of 377 HRV normalized metrics can lead to confusing changes depending on whether LF and HF each other relatively vary as $LFnu = \frac{LF}{TP - VLF} \approx \frac{LF}{LF + HF}$ and $HFnu = \frac{HF}{TP - VLF} \approx \frac{HF}{LF + HF}$. Third, 378 379 Poincaré plot short term variability index SD1 was lowered during MMA+HCA condition. 380 SD1, that is the standard deviation of the plot data on the minor axis is mathematically equal to root mean square of successive differences of RRI duration described the 381 382 instantaneous beat-to-beat variability that is neurally supported by the only vagal nerve 383 [TULPPO MP 1996⁵²]. This interpretation is more coherent with MMA+HCA effects on cardiovascular macro-variables as MMA+HCA led to significantly higher HR and MBP 384 385 compared to MMA and HCA taken alone.

During PEMI, cardiac sympathetic [PIEPOLI M 1995¹¹, IELLAMO F 1999¹²] and 386 parasympathetic [O'LEARY DS 199314, NISHIYASU T 199410] influences have been 387 shown to be simultaneously increased and to result from muscle metaboreflex and arterial 388 baroreflex activations [FISHER JP 2010¹³, WATANABE K 2010¹⁷, DIPLA K 2013⁵³] and 389 their interactions [ICHINOSE M 2002⁵⁴, 2004⁵⁵, 2005⁵⁶]. Usually, this leads to enhanced 390 391 blood pressure, but PEMI-induced parasympathetic outflow to the sinus node overpowers 392 the metaboreflex-induced cardiac sympathetic activation, limiting HR increase. Similarly, hypercapnia is known to be associated with increased HR and increased vagal and 393 394 sympathetic modulation of sinus node activity [SASANO N 2002²²], independent of any 395 main direct effect on the baroreflex [COOPER VL 2005⁵⁷, SIMMONS GH 2007⁵⁸]. In our study, we observed significantly lowered LF and SD2 in the only MMA+HCA condition, 396 397 while BRS sensitivity was similar in the three experimental conditions. This suggests 398 globally inhibitory effects on combined parasympathetic/sympathetic/baroreflex modulation [SHAFFER F 2017⁵⁹] of sinus node activity. Because muscle metaboreflex and 399 400 hypercapnia alone did not significantly decrease LF and SD2 powers, one can suppose that 401 responses to MMA+HCA were triggered by their interactions. In our experiment, the 402 complex system that led to RRI time series included at least three potentially interacting components: muscle metaboreflex, hypercapnia with direct humoral cardiovascular effects 403 404 (hypercapnia *per se*) and indirect neurally supported cardiovascular effects (hypercapnia-405 evoked chemoreflex), and arterial baroreflex.

406 Baroreflex function

Even if the sum of the outputs of two systems taken separately is different from the output 407 408 of two systems taken together, it cannot be assumed that they interact directly. In 409 particular, our previous work could not exclude a limiting effect of the arterial baroreflex, 410 such that the mechanisms of the observed hemodynamic responses were still unclear. Here, baroreflex resetting during reflex activation was different according to the 411 experimental condition. In contrast, hemodynamic variable changes during MMA+HCA, 412 413 which we previously attributed to reflex interactions [DELLIAUX S 2015^{9}], seem not to be influenced by baroreflex function, as we found that BRS was similar in the three 414 experimental conditions during the reflex activation periods, possibly as a result of 415 416 resetting. Moreover, as the three experimental conditions led to the same magnitude of 417 BRS decrease, it would be reasonable to think that a lower limit of baroreflex sensitivity 418 was reached (a floor value, below which any measurements are out of range). The BRS 419 values we observed are not unusual and as it has been previously reported that muscle metaboreflex activation enhances BRS [SAMORA M⁶⁰] and that hyperventilation lowers
BRS (VAN DE BORNE P 2000⁶¹], it is more likely that a physiological condition common
to the three experimental conditions could have driven BRS lowering. An obvious
explanation could be the same respiratory pattern we set in the three experimental
conditions.

425 Limitations and strengths of the study

A potential limitation of this study is that we did not directly assess sympathetic and 426 parasympathetic tones. We used indirect indexes (spectral powers) from spectral analysis 427 of RRI time series that are known to capture only linear properties of HR dynamics. 428 Nevertheless, in stationary conditions, LF and HF, expressed in ms² as well as in 429 430 normalized units, are largely thought to reflect sympathetic and parasympathetic modulatory effects on sinus node activity [TASK FORCE 1996³⁸]. But as afore mentioned, 431 a more recent review study [REYES DEL PASO G 201351] compiling effects of 432 433 manipulations affecting sympathetic and vagal activity on HRV, predictions of group 434 differences in cardiac autonomic regulation from HRV, relationships between HRV and 435 other cardiac parameters, and the theoretical and mathematical bases of the concept of 436 autonomic balance evaluates the suitability of low LF heart rate variability as an index of 437 sympathetic cardiac control and the LF/HF ratio as an index of autonomic balance. Authors concluded that available data challenge the interpretation of the LF and LF/HF 438 439 ratio as indices of sympathetic cardiac control and autonomic balance, respectively, and 440 suggest that the HRV power spectrum, including its LF component, is mainly determined by the parasympathetic system. Thus, LF and HF are said to be of limited interest in some 441 442 physiological conditions, especially during interacting processes that, by definition, lead to nonlinear behavior [CASTIGLIONI P 201162, SILVA LE 201763]. In relation to the 443 444 complexity of the sinus node activity modulation system, predominantly nonlinear behavior must be assumed. Thus, the detailed description and classification of dynamic 445 changes using time and frequency measures is often not sufficient. SD1 is known to be 446 correlated with short-term HR variability [COPIE X 1996⁶⁴] and HF [CONTRERAS P 447 2007⁶⁵, GUZIK P 2007⁶⁶]. SD1 is also proposed as a marker of vagal activity [TOICHI M 448 1997⁶⁷]. But Poincaré plot analysis and SD1 have been described as limited in their ability 449 450 to capture nonlinear behavior, as the underlying metric is linear [BRENNAN M 2001⁴², CICCONE AB 201768], even if lag return maps are usually used to assess phase space in 451 452 nonlinear phenomena. In contrast, ApEn is a tool that can assess nonlinear properties [PINCUS SM 1991^{25,26}, 1994^{27,28}]. In general, high entropy is a characteristic of healthy 453

454 complex systems, in which regulatory mechanisms are working on their best performance 455 and, therefore, are capable of adapting to adverse conditions. Entropy has been described to be correlated with vagal activity through pharmacological and physiological tests 456 [PENTILLÄ J 2003⁴⁸, BOLEA J 2014⁶⁹, SILVA LE 2017⁷⁰] and at short scales would reflect 457 vagal modulation of HR [BARI V 2014⁷¹, SILVA LE 2016⁷⁰, 2017⁶³]. But observed ApEn 458 459 should not be considered as a mere expression of vagal activation since exercise, which is 460 known to notoriously lead to vagal withdrawal and sympathetic activation, is associated with ApEn increase [TULPPO MP 2001⁵⁰]. Evidence is still lacking to understand 461 462 precisely what are the changes in the sympathetic and parasympathetic modulations of 463 heart during MMA+HCA conditions.

Previous studies highlighted that HRV is dependent on the mean HR [PLATISA MM 464 200672,73, STAUSS HM 201474, MONFREDI O 201475, SACHA J 201476, GASIOR JS 465 466 2015⁷⁷]. It is reasonable to think that the lower the heart period is, the more restricted its range of variation will be. Nevertheless, autonomic influences on HRV cannot be 467 468 disregarded to the extent of considering HRV a surrogate of HR [DIAS DA SILVA VJ 2015⁷⁸, YANIV Y 2014⁷⁹]. Here, we showed that even if MMA+HCA led to the highest HR 469 470 (shortest heart period), MMA+HCA also led to the increase in ApEn. Now, ApEn is insensitive to HR variance. The ApEn method extracts the intrinsic dynamic properties of 471 472 the RR time series, and the algorithm disregards total variability through the tolerance 473 factor. This factor (r) was taken as a percentage of the time series standard deviation, 474 introducing a normalization procedure. Therefore, the results presented here can be assumed to be related to cardiac autonomic modulation and not to the effect of different 475 476 mean HRs among subjects and conditions.

A second limitation of the study could be the necessary precaution or even caution to take before generalizing these results. Three points needs to be addressed to consolidate conclusions: even if our sample size was sufficient for a data re-analysis, it has not been calculated on all variables of interest of the study that has not been designed accordingly; female gender was not represented in our volunteer subjects, and all subjects were healthy young men having daily physical activity. Further studies are needed to confirm and generalize our first results.

484 Perspective and Significance

In conclusion, this study showed that, in healthy young men, the muscle metaboreflex
during hypercapnia modifies HRV dynamics. The interaction of physiological functions led

to highly nonlinear HRV behavior that needed to be assessed by appropriate tools. In this
study, the variable of ApEn coupled with HRV spectral indexes and Poincaré plot SD1
inde highlighted that the muscle metaboreflex during hypercapnia modifies autonomic

490 influences on the heart, particularly decreasing vagal modulation of the sinus node

491 activity and complexifying its dynamics. In addition, our results strongly encourage the

492 use of such nonlinear tools to study interactions between physiological functions.

493 LEGENDS

FIGURE 1. Spontaneous arterial baroreflex set point and sensitivity during control and reflex activation periods

496 Set points (5-min average RRI (ms) as a function of 5-min average SBP (mmHg)) during control periods 497 (circles) and reflex activation periods (triangles) are plotted according to the experimental condition, 498 i.e., MMA (white), HCA (light gray), and MMA+HCA (dark grey). Set-points are shown as the means 499 (markers) \pm SEMs (error bars). The BRS values of the control and reflex activation periods are 500 represented by continuous and dashed lines, respectively. ***, significant difference vs. control at 501 p<0.001; ### significant difference vs. MMA at p<0.001; £££, ££, significant difference vs. HCA at 502 p<0.001 and p<0.01, respectively. There was no difference in BRS across the three reflex activation 503 periods: NS, nonsignificant.

504

FIGURE 2. Representative subject data: tachograms, power spectra, and Poincaré plots under the MMA, HCA, and MMA+HCA experimental conditions

507 Tachograms, power spectra, and Poincaré plots of a representative subject are reported in the upper, 508 middle, and lower panels, respectively, with the MMA, HCA, and MMA+HCA experimental conditions 509 presented in the left, middle, and right panels, respectively. Tachograms represent the RR interval (RRI, 510 ms) as a function of time (min) of the running protocol and the corresponding phases that consisted 511 of rest (5 min); muscle contraction, if any (1 min, MMA, and MMA+HCA); and venous occlusion (5 min) 512 leading to PEMI when contraction occurred. Power spectra express the power density (ms²) of the RRI 513 as a function of frequency (Hz) according to protocol periods, i.e., rest (dashed gray line) and reflex 514 activation (continuous black line). Poincaré plots (scatter plots) represent the nth RRI (ms) as a function 515 of the n-1th RRI (previous beat, ms) of the RRI time series corresponding to rest (gray markers and line) and reflex activation (dark markers and line) periods. Lines are shown to illustrate the complexitytrajectory of RRI pairs.

518

519 FIGURE 3. Approximate entropy of the RR interval (RRI) during control and reflex activation periods

Approximate entropy (ApEn, unitless) values are box plotted (median, 25-75th percentiles, and 1-99th percentiles) as a function of phase experiments (control and reflex activation) according to experimental condition: MMA (white), HCA (light gray), and MMA+HCA (dark gray). *** p<0.001 for reflex activation vs. control when MMA, HCA, and MMA+HCA data are aggregated (phase effect with ANOVA); ** p<0.01 for reflex activation vs. control in the MMA+HCA experimental condition (condition effect with ANOVA); # p<0.05 for MMA+HCA vs. MMA; T 0.05<p<0.1 for MMA+HCA vs. HCA. ApEn is significantly enhanced in the MMA+HCA condition.

527

TABLE 1. Cardiorespiratory macro-variables during control (Baseline) and reflex activation (Reflex) periods

530 Values are means ± SEMs. Control, resting period with free breathing and no stimulus; reflex activation, 531 period defined by the experimental conditions (voluntary hyperventilation and local circulatory 532 occlusion in the dominant arm plus hypercapnia or muscle metaboreflex activation or hypercapnia plus 533 muscle metaboreflex activation). PetCO₂, end-tidal partial pressure of CO₂; PetO₂, end-tidal partial pressure of O₂; Ve, minute-ventilation calculated from the raw data and then rounded to the nearest 534 535 significant digit; BF, breathing frequency; Vt, tidal volume; Ti/Te, inspiratory time/expiratory time; HR, 536 heart rate; SBP, systolic blood pressure; MBP, mean blood pressure; DBP, diastolic blood pressure; CO, 537 cardiac output; SV, stroke volume. *, **, ***, significant difference vs. control at p<0.05, p<0.01, and p<0.001, respectively; *, ***, **** significant difference vs. MMA at p<0.05, p<0.01, and p<0.001, 538 respectively; ^f, ^{ff}, ^{fff} significant difference vs. HCA at p<0.05, p<0.01, and p<0.001, respectively. 539

540

541 TABLE 2. HRV during control (Baseline) and reflex activation (Reflex) periods

542 Values are means (rounded to the nearest significant digit) ± SEMs of spectral analysis and Poincaré 543 plot indexes calculated from the 5-min RRI time series. Control, resting period with free breathing and 544 no stimulus; reflex activation, period defined by the experimental conditions (voluntary 545 hyperventilation and local circulatory occlusion in the dominant arm plus hypercapnia or muscle 546 metaboreflex activation or hypercapnia plus muscle metaboreflex activation). HCA, hypercapnia; MMA, muscle metaboreflex activation; MMA+HCA, muscle metaboreflex activation during 547 548 hypercapnia; TP, total power; VLF, very low-frequency power; LF, low-frequency power; HF, high-549 frequency power; LFnu, low-frequency power in normalized units; HFnu, high-frequency power in 550 normalized units; LF/HF, low-frequency power to high-frequency power ratio; SD1, standard deviation 551 of points along the minor axis (perpendicular to the line of identity) of a fitted ellipse; SD2, standard 552 deviation of points along the major axis (along the line of identity) of a fitted ellipse; SD1/SD2, SD1 to SD2 ratio. ^T, *, **, ***, significant difference vs. control at 0.05<p<0.1, p<0.05, p<0.01, and p<0.001, 553 respectively; #, ##, significant difference vs. MMA at p<0.05 and p<0.01, respectively; f significant 554 555 difference vs. HCA at p<0.05.

557 TABLE 1. Cardiorespiratory macro-variables during control (Baseline) and reflex activation (Reflex) 558 periods

	MMA		НСА		MMA+HCA	
	Baseline	Reflex	Baseline	Reflex	Baseline	Reflex
PetCO ₂ , mmHg	46.1±0.7	46.7±0.8	45.9±0.6	56.8±0.5 ^{***,###}	46.0±0.7	56.8±0.7 ^{***,###}
PetO ₂ , mmHg	100±1	102±1	100±1	102±1	100±1	101±1
Ve, L.min⁻¹	8.5±0.4	29.5±0.5***	8.1±0.4	31.4±0.5 ^{***,##}	8.2±0.3	31.9±0.4 ^{***,##}
BF, min ⁻¹	13±1	20±0***	12±1	20±0***	12±1	20±0***
Vt, L	0.7±0.0	1.5±0.0***	0.7±0.0	1.6±0.0 ^{***,#}	0.7±0.1	1.6±0.0 ^{***,#}
Ti/Te, no units	68±4	97±3 ^{***}	62±3	90±2 ^{***,##}	71±5	85±3 ^{***,##}
HR, min ⁻¹	61±3	69±3 ^{**}	60±3	72±4 ^{***}	62±3	76±4 ^{***,###,££}
SBP, mmHg	119±3	146±3***	117±4	137±4 ^{***,###}	123±6	163±6 ^{***,###,£££}
MBP, mmHg	79±2	101±2***	80±3	91±3 ^{**,#}	82±3	110±3 ^{***,##,£££}
DBP, mmHg	60±2	78±2 ^{**}	61±3	69±3 ^{*,#}	62±3	82±3 ^{***,#,£}
CO, L.min ⁻¹	5.21±0.42	6.48±0.24**	5.02±0.24	6.44±0.47**	5.12±0.27	7.44±0.45 ^{***,##,££}
SV, mL	86±3	94±3	84±3	89±3	84±4	98±4 ^{*,#,£}



FIGURE 1. Spontaneous arterial baroreflex set point and sensitivity during control and reflex
 activation periods

SPB, mmHg

566 TABLE 2. HRV during control (Baseline) and reflex activation (Reflex) periods

	ММА		НСА		MMA+HCA	
	Baseline	Reflex	Baseline	Reflex	Baseline	Reflex
Spectral analysis						
TP, ms ²	4530±1277	2185±539	4256±1152	2311±803	4441±948	1485±297*
VLF, ms ²	898±379	378±63	1205±396	507±100	1137±343	326±70*
LF, ms ²	1891±1010	452±108	1361±619	316±74	1386±398	267±87 ^{*,#}
HF, ms ²	1741±591	1355±501	1689±504	1489±671	1918±691	892±217
LFnu, n.u.	42.6±7.4	33.3±6	39.1±8.2	27.7±4.8	46.4±9.5	25.0±5.3 ^{*,#,£}
HFnu, n.u.	57.4±7.4	66.7±6	60.9±8.2	72.2±4.8	53.6±9.5	75.0±5.3 ^{*,#,£}
LF/HF	1.09±0.5	0.33±0.1 ^T	0.80±0.4	0.21±0.1	0.72±0.4	$0.29{\pm}0.0^{*}$
Poincaré plot						
SD1, ms	38.3±7.1	43.1±7.0	35.5±7.6	46.1±9.4	39.8±8.2	36.2±6*
SD2, ms	70.9±9.9	52.6±5.0	66.8±12.3	53.0±6.4	74.6±11.5	47.6±3.9 [*]
SD1/SD2	0.54±0.06	0.8±0.008***	0.53±0.04	0.87±0.09**	0.53±0.07	0.76±0.08 ^{**}

FIGURE 2. Representative subject data: tachograms, power spectra, and Poincaré plots under the 569 MMA, HCA, and MMA+HCA experimental conditions 570







FIGURE 3. Approximate entropy of the RR interval (RRI) during control and reflex activation periods



579 **DECLARATIONS**

580 Ethical Approval

The study was approved by the Ethical Committee of the University of Tsukuba and was
conducted in accordance with the Declaration of Helsinki. Each subject provided written
consent.

- 584 *Competing interests*
- 585 Authors declare to have no competing interests.
- 586 Authors' contributions

587 S.D. conceptualized and designed the study, performed the experiments, processed the 588 data, analyzed the results, wrote the manuscript, and led the research. M.I. gave advice 589 on the study design, performed the experiments, analyzed the results, and discussed and 590 critiqued the manuscript. K.W. gave advice on the experimental setup and performed the 591 experiments. N.F. led the experimental setup, performed the experiments, analyzed the 592 results and discussed the manuscript. T.N. gave advice on the study conception and design 593 as well as the data processing and discussed and challenged the manuscript.

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- 600 Availability of data and materials
- 601 Data are available on reasonable request to the corresponding author.

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