

Ex vivo susceptibility to antimalarial drugs and polymorphisms in drug resistance genes of African Plasmodium falciparum, 2016-2023: a genotype-phenotype association study

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- 1 Ex vivo susceptibility to antimalarial drugs and polymorphisms
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- ³ 2016-2023: a genotype-phenotype association study
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- 36
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38 Abstract

Background: Given the altered responses to both artemisinins and lumefantrine in Eastern Africa,
monitoring antimalarial drug resistance in all African countries is paramount.

41 Methods: We measured the susceptibility to six antimalarials using *ex vivo* growth inhibition 42 assays (IC₅₀) for a total of 805 *Plasmodium falciparum* isolates obtained from travelers returning 43 to France (2016-2023), mainly from West and Central Africa. Isolates were sequenced using 44 molecular inversion probes (MIPs) targeting fourteen drug resistance genes across the parasite

45 genome.

46 Findings: *Ex vivo* susceptibility to several drugs has significantly decreased in 2019-2023 versus

47 2016-2018 parasite samples: lumefantrine (median IC₅₀: 23.0 nM [IQR: 14.4-35.1] in 2019-2023

48 versus 13.9 nM [8.42-21.7] in 2016-2018, p<0.0001), monodesethylamodiaquine (35.4 [21.2-

49 51.1] versus 20.3 nM [15.4-33.1], p<0.0001), and marginally piperaquine (20.5 [16.5-26.2]

50 versus 18.0 [14·2-22·4] nM, p<0·0001). Only four isolates carried a validated *pfkelch13* mutation.

51 Multiple mutations in *pfcrt* and one in *pfmdr1* (N86Y) were significantly associated with altered

52 susceptibility to multiple drugs. The susceptibility to lumefantrine was altered by *pfcrt* and *pfmdr1*

mutations in an additive manner, with the wild-type haplotype (*pfcrt* K76-*pfmdr1* N86) exhibiting
the least susceptibility.

55 Interpretation: Our study on *P. falciparum* isolates from West and Central Africa indicates a low 56 prevalence of molecular markers of artemisinin resistance but a significant decrease in 57 susceptibility to the partner drugs that have been the most widely used since a decade -lumefantrine 58 and amodiaquine. These phenotypic changes likely mark parasite adaptation to sustained drug 59 pressure and call for intensifying the monitoring of antimalarial drug resistance in Africa.

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64 Research in context

65 Evidence before this study

66 Artemisinin-based combination therapies (ACTs) have been introduced since the 2000s as the first-line curative treatment of malaria. ACTs combine an artemisinin derivative, which rapidly 67 68 reduces parasite load, with another antimalarial drug -known as partner drug- which eliminates the 69 remaining parasites thanks to its longer half-life. This approach reduces the likelihood of parasites 70 developing resistance to both drugs, thereby increasing treatment efficacy and delaying the 71 emergence of resistance. However, resistance to artemisinins and then to some partner drugs was 72 identified in Southeast Asia more than a decade ago and has spread throughout the region. 73 Artemisinin partial resistance is now emerging in the East and Horn of Africa. It manifests as 74 delayed parasite clearance from the bloodstream after treatment, increasing the parasite load in 75 contact with the partner drug only and the likelihood of selecting resistant parasites. It is, therefore, important to monitor antimalarial drug susceptibility and drug resistance mutations in 76 77 contemporary African isolates, especially in the understudied West and Central African regions, 78 to anticipate the spread of multidrug-resistant parasites.

79 We searched for articles on antimalarial drug resistance published between January 1, 2000, and July 1, 2024, using the PubMed search terms "antimalarial resistance", "Africa", and "ex vivo". 80 81 Of the 69 published studies, only six encompassing a total of 827 isolates across five West and 82 Central African countries from 2016 to 2022 combined *ex vivo* drug assays with genotyping data. Parasites with an increased rate of ex vivo survival to artemisinins were reported in one study from 83 Ghana (7/90 isolates in 2018) and another from The Gambia (4/41 isolates in 2017). Only the 84 85 Ghanaian study reported mutations in the non-propeller domain of *pfkelch13* gene, whereas the 86 Gambian study reported mutations associated with reduced susceptibility to lumefantrine (7%, 87 3/41). In Mali, Senegal and Burkina Faso, most isolates were susceptible to commonly used antimalarial drugs (chloroquine, amodiaquine, piperaquine, mefloquine, lumefantrine and 88 89 dihydroartemisinin) using standard growth inhibition assays. In Ghana, reduced susceptibility to 90 artemisinin, mefloquine and amodiaquine was observed. The relative lack of recent data on 91 parasite susceptibility to antimalarial drugs in recent parasites from West and Central Africa 92 prompted us to conduct this study.

94 Added value of this study

Ex vivo susceptibility to six antimalarial drugs (dihydroartemisinin, lumefantrine, mefloquine,
chloroquine, monodesethylamodiaquine, and piperaquine) and mutations in fourteen drug
resistance genes were evaluated in 805 isolates collected between January 2016 and February 2023
from 35 African countries, mainly from West and Central Africa.

99 Median IC_{50} values were in the low nanomolar range, indicating good potency against *P*. 100 *falciparum*. However, worrying trends emerged from 2019 onwards, with median IC_{50} values for 101 lumefantrine that increased from 13.9 nM in 2016-18 to 23 nM in 2019-23 and for amodiaquine 102 from 20.3 nM to 35.4 nM.

The high prevalence of resistance alleles in *pfdhfr*, *pfdhps*, *pfmdr1* and *pfcrt* genes underscores the sustained pressure exerted by antimalarial drugs on parasite populations. Notably, although the triple mutant *pfdhfr* N51I-C59R-S108N was highly prevalent, the *dhfr-dhps* quintuple mutant (with extra *pfdhps* A437G-K540E), which is responsible for sulfadoxine-pyrimethamine treatment failure in adults and children, was rare. In addition, the analysis revealed some geographic and temporal variations in mutation prevalence.

The genotype-phenotype association analysis performed in this study elucidates the relationship between genetic variants and *ex vivo* drug susceptibility, providing valuable information for understanding the molecular basis of resistance and informing future treatment strategies. For example, mutations in the *pfcrt* and *pfmdr1* genes, mainly K76T and N86Y, were associated with altered susceptibilities to most drugs. Haplotypic association analysis further indicated that the two genes have cumulative effects on the susceptibility to lumefantrine, with the wild-type haplotype (*pfcrt* K76-*pfmdr1* N86) exhibiting the least susceptibility.

116 Implication of all the available evidence

While the susceptibility to most antimalarials suggests continued efficacy, the observed decrease in susceptibilities to lumefantrine and amodiaquine in parasites from West and Central Africa from 2019 onwards suggests an ongoing adaptation of parasites, possibly related to the increasing use of ACT treatments in Sub-saharan Africa since a decade. These phenotypic changes over time were accompanied by small changes in the prevalence of resistance alleles in *pfcrt* and *pfmdr1* genes. Additional changes, potentially leading to larger decreases in drug susceptibilities, can be

- 123 expected over time. The large-scale analysis presented here provides invaluable, contemporary
- 124 insights into the current landscape of susceptibility to antimalarial drugs and molecular markers of
- 125 resistance in *P. falciparum* isolates from West and Central Africa. While the data suggests that
- 126 ACTs and sulfadoxine-pyrimethamine are likely to be effective in these regions, the phenotypic
- 127 changes we observed call for intensifying the monitoring of antimalarial drug resistance in Africa.

128 Introduction

129 Malaria continues to take a heavy toll on public health in Africa. Antimalarials remain a 130 cornerstone in the fight against malaria, and the evolution of drug resistance poses a significant threat to the efficacy of treatment regimens.^{1,2} Monitoring antimalarial drug resistance and 131 132 treatment efficacy is paramount, as drug selection pressures are ongoing, and no alternatives to current first-line treatments will be available in the coming years.^{3,4} Artemisinin-based 133 combination therapies (ACTs) as first-line curative treatments that were introduced in the 2000s. 134 135 ACTs combine an artemisinin derivative, which rapidly reduces parasite load, with another 136 antimalarial drug -known as partner drug- which eliminates the remaining parasites thanks to its 137 longer half-life.

138 However, the emergence of artemisinin partial resistance (ART-R) has raised concerns about the long-term efficacy of ACTs for effective malaria treatment.^{5,6} After ART-R emerged, treatment 139 140 failures with dihydroartemisinin (DHA)- piperaquine (PPQ) were reported in Southeast Asia as parasites acquired additional resistance to PPO.^{7,8} ART-R has recently emerged in East Africa and 141 the Horn of Africa,⁹⁻¹¹ creating the potential for selection of parasites resistant to the partner 142 drug(s) and threatening the long-term efficacy of ACTs in Africa.^{12,13} Worryingly, decreased 143 144 susceptibility of *P. falciparum* isolates to the partner drug lumefantrine (LMF) has also been reported in northern Uganda.^{14,15} In West and Central Africa, treatment efficacy studies have 145 146 confirmed the clinical efficacy of ACTs in several settings overall.^{16,17} However, few studies have 147 reported ex vivo antimalarial drug assays for West and Central African isolates, with little evidence of reduced susceptibility to partner drugs.^{18,19} Molecular surveillance has also revealed the 148 sporadic presence of validated ART-R pfkelch13 mutations in these two regions.²⁰ 149

150 To better understand the current situation in West and Central Africa, we analyzed the ex vivo 151 susceptibility to six drugs (DHA, LMF, mefloquine (MFQ), chloroquine (CQ), mono-152 desethylamodiaquine (MDAQ), and PPQ; assessed by growth inhibition assays) of 805 P. 153 falciparum isolates collected between 2016 and 2023 from imported malaria cases in returned 154 travelers to mainly West and Central Africa. The isolates were also sequenced using high-155 throughput molecular inversion probes (MIPs) targeting 815 overlapping targets across fourteen 156 drug resistance genes to determine the prevalence of drug resistance markers and to estimate 157 genetic correlates of drug susceptibility phenotypes.

158 Methods

159 Sample and data collection

The French National Malaria Reference Center (FNMRC) coordinates a nationwide network of hospitals in mainland France to survey imported malaria. Blood samples from civilian or military hospitals participating in the FNRMC network were sent to the FNRMC reference laboratory (Bichat Hospital in Paris) (see Study Group). Inclusion criteria were: diagnosis of *P. falciparum* malaria recorded between January 2016 and February 2023 and confirmed by microscopy and PCR, available DNA sample, information on the country visited, and available IC₅₀ data against CQ, MFQ, PPQ, MDAQ, LMF and DHA. A total of 813 isolates fulfilled the inclusion criteria.

167 Ex vivo assays

168 CQ, DHA, MDAQ, MFQ, LMF, and PPQ were purchased from Alsachim (Illkirch Graffenstaden, 169 France). The susceptibility of the isolates to the antimalarial drugs was assessed without culture 170 adaptation. 100 μ L of parasitized erythrocytes (final parasitaemia of 0.5% and a final hematocrit 171 of 1.5%) were aliquoted into 96-well plates preloaded with a concentration gradient of antimalarial 172 drugs. The plates were incubated for 48 hours in a controlled atmosphere of 85% N₂, 10% O₂, 5% 173 CO₂ at 37°C. Drug susceptibilities were determined as half-maximal inhibitory concentrations (IC₅₀s) using the standard 42-hour [³H]hypoxanthine uptake inhibition assay (Supplementary 174 Methods)²¹. Resistance thresholds for the six compounds were previously reported by Pradines, 175 Kaddouri and others.²²⁻²⁴ 176

177 DNA extraction and MIP sequencing of drug resistance genes

Genomic DNA was extracted from 200 µL whole blood using a MagNA Pure automaton (Roche
Diagnostics, USA), eluted in 100 µL, and stored at -20°C. DNA extracts from the included samples
were sent to Brown University for MIP sequencing. All samples were then genotyped using
molecular inversion probes (MIPs, n=815) targeting known drug resistance SNP mutations in 14
genes across the *P. falciparum* genome, as previously described (Table S1, Appendix 2).^{12,25}
Sequencing was performed on an Illumina NextSeq 550 instrument (150 bp paired-end reads) at
Brown University (RI, USA).

186 MIP data analysis and estimating drug resistance prevalence

187 Sequencing data processing and variant calling were performed using MIPtools (v0.19.12.13; 188 <u>https://github.com/bailey-lab/MIPTools</u>). The prevalence for each drug resistance marker was 189 calculated as p = x / n * 100, where p is the prevalence, x is the number of infections with mutant 190 alleles, and n is the number of successfully genotyped infections, as previously described.¹² Mixed 191 genotypes, i.e. a sample with a reference allele and an alternative allele in a given sample, were 192 considered mutant regardless of the within-sample mutant allele frequency. Haplotypes were 193 reconstructed for all samples using the major allele in a given codon (**Supplementary Methods**).

194 Phenotype–genotype association analysis

Isolates with >30% missing genotype data were discarded. We then retained only biallelic nonsynonymous SNPs with minor allele frequency (MAF) >0.01 for phenotype-genotype association analysis. Phenotype-genotype association was performed with the SNPassoc R package (v.2.1.0) ²⁶ using the built-in WGassociation function under a dominant model. For each drug, a linear regression model was fitted with continuous IC_{50} values as the outcome and individual SNP genotypes as independent variables. The model was adjusted for the complexity of infection (COI), year, and the visited country of imported cases as covariates.

202 Ethical aspects

203 Informed consent was not required because the clinical and biological data were collected from 204 the FNMRC database by the common public health mission of all National Reference Centers in 205 France, in coordination with the Santé Publique France organization for malaria surveillance and 206 care. According to article L1221–1.1 of the Public Health Code in France, the study was considered 207 non-interventional research and only required the patient's non-opposition (according to article 208 L1211-2 of the Public Health Code). All data were anonymized before use. The FNMRC got the 209 authorization to use their databases according to the National Data Protection Commission (CNIL) 210 (number 1223103). Human DNA was not analyzed.

211 **Role of the funding source**

212 The funders of the study had no role in design, data collection, data analysis, data interpretation,

213 or writing of the report.

214 Results

215 Geographical origin and year of collection

From January 2016 to February 2023, 1,126 P. falciparum isolates from travelers were evaluated 216 217 for ex vivo antimalarial drug susceptibility at the FNMRC laboratory. Of these, 813 isolates were 218 included in this study, corresponding to samples with validated IC_{50} for the following six drugs: 219 DHA, LMF, MFQ, MDAQ, CQ, and PPQ. According to reported travel history, infections 220 originated from thirty-five African countries, but most isolates were from travelers who had visited 221 West (59%, 471/805) and Central (38%, 306/805; Figure 1A) African countries. The most visited 222 countries were Côte d'Ivoire (27%, 217/805), Cameroon (21%, 169/805), Mali (9%, 69/805), 223 Guinea (7%, 58/805), and Republic of the Congo (6%, 45/805) (Figure 1A and Table S2). The 224 number of samples collected per year was higher in 2016 (165/805), 2017 (166/805) and 2018 225 (167/805) than in 2019 (100/805) and beyond (Figure 1B). No differences were seen in the 226 distribution of countries between 2016-2018 and 2019-2023 (p>0.05, Table S3). Travelers were 227 predominantly male (62%), with a median age of 40 years and a median parasitemia of 1.7%228 (Table 1).

Half-maximal inhibitory concentration (IC₅₀) for six antimalarial compounds

IC₅₀ values of *P falciparum* 3D7 laboratory reference strain are indicated in Table S4. For the 230 231 isolates tested in this study, the median IC_{50} estimated with the standard growth inhibition assay 232 was less than 30 nM for all assessed antimalarial compounds, consistent with potent activity. The 233 median IC₅₀ values for the six drugs were 1.1 nM [IQR: 0.8-1.7] for DHA, 16.7 nM [9.9-27.4] 234 for LMF, 29.5 nM [19.1-45.5] for MFQ, 23.4 nM [17.1-39.0] for MDAQ, 26.7 nM [18.0-41.2] 235 for CO, and 18.5 nM [15.1-24.3] for PPO. Using published resistance thresholds,²²⁻²⁴ 49% of the 236 isolates were classified as resistant to MFQ ($IC_{50} > 30 \text{ nM}$), 10% to CQ ($IC_{50} > 100 \text{ nM}$), and 3% to MDAQ 237 $(IC_{50} > 80 \text{ nM})$. Less than 1% of isolates had an IC₅₀ above the threshold for DHA (10 nM), LMF (150 nM)

- **238** and PPQ (135 nM) (Figure S1).
- 239 Remarkably, when compared to samples collected in 2016-2018, a significant decrease in
- susceptibility was observed in 2019-2023 samples for LMF (median IC₅₀: 13.9 nM [IQR: 8.42-
- 241 21.7] in 2016-2018 versus 23.0 nM [14.4-35.1] in 2019-2023; p<0.001), MDAQ (20.3 nM [15.4-
- 242 33.1] versus 35.4 nM [21.2-51.1]; p<0.001), and modestly for PPO (18.0 nM [14.2-22.4] versus
- 243 20.5 [16.5-26.2]; p<0.001) (Figure 2, Table S5). In contrast, a modest improvement in

244 susceptibility was observed in 2019-2023 samples for CQ (27.7 nM [19.0-45.0] versus 25.1 nM 245 $[17\cdot3-38\cdot9]$; p=0.05), and there was no significant change for MFO. Altered drug susceptibilities 246 between the two time periods were again detected after data stratification into West and Central 247 African countries, except for DHA, which was significantly altered only in samples from Central 248 Africa, and for CO (**Table S6**), possibly because of less power for CO. We note that these temporal changes in drug susceptibility were not significant when using 1-year bins during the 2016-2023 249 250 study period (**Table S7**). The frequency of CQ-resistant isolates ($IC_{50} > 100 \text{ nM}$) decreased nearly 251 by half in 2019-2023 compared to 2016-2018 (7% in 2019-2023 versus 12% in 2016-2018, p<0.05) (Table S8). 252

- 253 There were several significant drug-drug IC₅₀ correlations, most of which were positive (Figure
- 254 S2). The largest positive correlations involved the following drug pairs: LMF-MFQ, CQ-MDAQ,
- 255 DHA-LMF, and DHA-MFQ. The negative correlations were much less intense and involved CQ-
- LMF and CQ-MFQ.

257 Prevalence of validated antimalarial resistance mutations

Sequence data generated with MIPs covered 43 exons from 14 genes reported to be associated with drug resistance. 805 out of the 813 included isolates were successfully genotyped. The average number of reads per sample was 120 (range: 48-258) (**Figure S3**). Nearly 60% of the isolates (477/805) had a COI >1. We first examined the prevalence of mutations in five robustly validated drug resistance genes: *pfdhfr* and *pfdhps* (associated with resistance to pyrimethamine and sulfadoxine, respectively), *pfcrt* and *pfmdr1* (associated with multidrug resistance), and *pfkelch13* (associated with ART-R).

- 265 More than 85% of isolates carried the *pfdhfr* N51I, C59R, and S108N mutations (Figure 3A), 266 which were predominantly found as the IRN triple mutant haplotype and marginally as the ICN 267 and NRN double mutants (Figure S4), regardless of the country of infection (Figure S5, Table 268 **S9**). We found a low prevalence of the *pfdhps* I431V (8.5%), K540E (6%), A581G (8%) and 269 A613S (15%) mutations, and a large prevalence of S436A and A437G (53% and 78%, 270 respectively). At pfdhps codons 436-437-540-581 considered jointly (haplotypes, Figure S4), 271 single mutants AAKA and SGKA were the most common haplotypes (35% and 50% respectively). 272 The quintuple mutant *dhfr+dhps* haplotype **IRN**+A437**G**-K540**E**, which is responsible for SP
- treatment failure in adults and children, was detected in 3% of samples (19/600).

In *pfmdr1*, the prevalence of the N86Y, Y184F, D1246Y mutations were 11%, 71%, and 1%,

- respectively (Fig 3A), and the prevalence of the NYD, NFD and YFD haplotypes at codons 86-
- 276 184-1246 were 38.8%, 54.8% and 5.6%, respectively (Figure S4). Other minor haplotypes were
- found in less than 1% of isolates.
- 278 The *pfcrt* K76T mutation was found in 30% of isolates (Figure 3A) and was absent in the Central
- African Republic (Figure S5). Regarding the classical eight *pfcrt* codons 74-75-76-220-271-326-
- 280 356-371, three main haplotypes were detected (Figure S4; per country, see Table S10 and Figure
- 281 S6): the wild-type MNKAQNIR (77%) and the mutants IETSENTI (also known as Cam783;
- 282 15%) and **IETSENII** (also known as GB4; 6%).
- Four isolates carried a validated *pfkelch13* mutation in the propeller domain (Figure 3B): A675V
 (2/734, from Rwanda in 2019 and Uganda in 2020), Y493H (1/705, from Mali in 2016), and I543T
- (1/731, from Côte d'Ivoire in 2018). The two isolates carrying the A675V mutation showed a susceptibility to DHA (IC₅₀ = 1.78 nM and IC₅₀ = 1.67 nM) larger than the upper quartile. The A675V isolate from Rwanda also had elevated IC₅₀ values for LMF (IC₅₀ = 41.57 nM) and MFQ (IC₅₀ = 49.12 nM). Two other mutations were detected in the propeller domain: A578S (8 isolates) that is known as a polymorphism and E612D (1 isolate). Most of the other non-synonymous *pfkelch13* mutations occurred in the N-terminal-coding domain (Figure 3B, Figure S7), K189T
- being very frequent (58.4%) and also known as polymorphism.
- Finally, the prevalence of "background" mutations associated with ART-R in South East Asia²⁷
- was low: *pffd* D193Y at 0·27%, *pfmdr2* T484I at 0%, *pfpib7* C1484F at 0·16%, and *pfpp* V1157L
 at 0% (Table S11).
- 295 We then analysed mutation prevalence over time (Figure 3C, Figures S8 and S9, and Table S12). 296 Remarkably, when compared to samples collected in 2016-2018, a significant decrease in 297 prevalence was observed in 2019-2023 samples for pfcrt K76T (33% in 2016-2018 versus 25% in 298 2019-2023; p<0.05) and *pfmdr1* N86Y (14% versus 5%; p<0.001), and there was a nearly 299 significant decrease for *pfmdr1* Y184F (73% versus 66%; p=0.07) (Figure 3C). The prevalence 300 of the wild-type haplotype at pfcrt 76 and pfmdr1 86 (K76-N86) was largely dominant across the 301 whole study period and slightly increased from 73% in 2016-2018 to 79% in 2019-2023 (p=0.05, 302 Figure 3D, Figure S8B). Analysis of SNP-SNP correlation in isolates from Côte d'Ivoire and

Cameroon indicated that *pfindr1* N86Y and *pfcrt* K76T were not in linkage disequilibrium with
each other (Figure S10).

305 Association between SNPs and drug susceptibility

306 Genotype-phenotype association analysis across 362 SNPs and susceptibilities to 6 drugs showed 307 several significant associations (Figure 4, Tables S12 for the full list of associations, and S13 and 308 S14 after correction for multiple testing). Most strikingly, multiple well-known SNPs in *pfcrt* were 309 strongly associated with susceptibilities to multiple drugs (CQ, MDAQ, DHA, LMF) and were 310 borderline significant after multiple testing correction for MFQ and PPQ. Mutant pfcrt alleles were associated with decreased susceptibility to CQ ($p = 10^{-38} - 10^{-50}$ for 7 amino acids) and MDAQ 311 $(p = 10^{-6} - 10^{-8} \text{ for } 7 \text{ amino acids})$ whereas wild-type *pfcrt* alleles were associated with decreased 312 susceptibility to DHA ($p = 10^{-6}$ for 6 amino acids) and LMF ($p = 10^{-5}$ for 3 amino acids). There 313 was also a strong association between the well-characterised mutation N86Y in *pfmdr1* and 314 susceptibility to MFO ($p = 10^{-6}$), LMF ($p = 10^{-5}$), and DHA (p=0.0003, although non-significant 315 after correction). Finally, the pfubp1 N1704K and K1705N mutations were associated with 316 decreased susceptibility to CQ ($p = 2 \cdot 10^{-5}$ and $0 \cdot 0001$ respectively). 317

318 To control for the major *pfcrt* effect, we added *pfcrt* K76T as a covariate (Table S13). All the 319 associations involving another SNP in pfcrt (except M74I for CQ) and pfubp1 N1704K and 320 K1705N were lost, suggesting they were dependent on the *pfcrt* K76T genotype. The associations 321 involving *pfmdr1* (with LMF and MFQ) were maintained and no new associations were detected. 322 A model with *pfmdr1* N86Y as a covariate abolished only the association of *pfubp1* K1705N for 323 CQ (but not the one of N1704K) (Table S13). These data indicate that, among the 362 tested SNPs, 324 pfcrt and pfmdr1 SNPs were the major drivers of susceptibility to most tested drugs and could 325 interact in a likely additive manner in the case of LMF.

326 Effect of *pfcrt* and *pfmdr1* haplotypes on IC₅₀s

As compared to the wild-type *pfcrt* (MNKAQNIR), mutant haplotypes **IETSENTI** and **IETSENII** (that differ at I356T only) were significantly associated with decreased susceptibility to CQ and MDAQ (Kruskal-Wallis test, p-value range: <0.0001-0.0003; **Figure S11**) and improved susceptibility to DHA, LMF and PPQ (Kruskal-Wallis test, p-value range: <0.0001-0.05). **IETSENTI**, but not **IETSENII**, was associated with improved susceptibility to MFQ (Kruskal-Wallis test, p = 0.0001), suggesting that I356T has some role in MFQ transport activity.

333 Isolates carrying the *pfmdr1* NYD wild-type haplotype had decreased susceptibility to DHA, LMF,

MFQ and improved susceptibility to PPQ as compared to the mutant YFD (Kruskal-Wallis test,
p-value range: <0.001 - 0.01; Figure S11).

Finally, the analysis of *pfcrt* K76T - *pfmdr1* N86Y haplotypes identified three main drug patterns
(Figure 5). The susceptibility to LMF, MFQ, and also marginally to DHA, improved with the
number of *pfcrt-pfmdr1* mutations suggesting these mutations work in additive ways. The most
decreased susceptibility to LMF was associated with the wild-type haplotype (*pfcrt* K76-*pfmdr1*N86). The susceptibility to CQ and MDAQ decreased mostly with mutant *pfcrt*, and the addition
of mutant *pfmdr1* had a lesser effect. The susceptibility to PPQ was more difficult to interpret with
little haplotypic effects.

343 Discussion

Whereas there are recent reports of emerging ART-R and decreased susceptibility to LMF in P. 344 *falciparum* parasites from East Africa, ²⁸ few recent studies have investigated the resistance status 345 of contemporary West and Central African isolates at a large scale. Here, we report the temporal 346 347 trends of ex vivo susceptibilities to six antimalarials using standard growth inhibition assays and 348 their association with mutations in fourteen drug resistance genes in 805 P. falciparum samples 349 collected between 2016 and 2023 in returned travelers to West and Central African countries. We 350 found an overall excellent susceptibility of isolates to the six evaluated antimalarials, but the one 351 to ACT partner drugs LMF and MDAQ decreased in recent years. Concomitantly, the prevalence 352 of wild-type pfcrt K76 and pfmdr1 N86 alleles increased in recent years, consistent with a large 353 selective pressure exerted by the use of LMF and the discontinuation of CQ in these regions. 354 Finally, altered susceptibility to most drugs was strongly associated with *pfcrt* and/or *pfmdr1* 355 genotypes.

Regarding molecular ART-R, only four isolates among 805 carried a WHO-validated *pfkelch13* mutation. A675V was detected in two travelers returning from East Africa (Rwanda and Uganda), consistent with the spread of this mutation in this region.^{10,29} The returned traveler from Uganda showed delayed clearance under artesunate therapy,³⁰ whereas the A675V isolate from Rwanda, had elevated IC₅₀ values for LMF (41.57 nM) and MFQ (49.12 nM), consistent with clinical and *ex vivo* data reported by others for Ugandan A675V isolates.^{11,14,15} Two other validated mutations,

362 Y493H and I543T, were identified in one isolate from Mali in 2016 and one from Côte d'Ivoire in 363 2018, respectively. These isolates did not have unusual IC_{505} against any of the drugs tested. As 364 these two mutations were not detected in more recent samples, these seem to be sporadic cases. Altogether, pfkelch13 ART-R mutations were detected but uncommon in Central and West African 365 366 isolates during the study period (2016-2023). Contrary to the current situation in East Africa, 367 pfkelch13-mediated ART-R is seemingly not emerging during 2016-2023 in West and Central 368 African regions. Continuous monitoring of *pfkelch13* mutations should however be reinforced as 369 they could either emerge locally or be introduced in these regions by intracontinental migration 370 from East Africa.

We found a significant reduction in susceptibility of *P. falciparum* to LMF and MDAQ for samples collected in recent years. LMF and MDAQ are the two main partner drugs used in West and Central Africa during the last decade. The use of ACTs may have selected parasites whose response to the partner's drug is altered in these regions. A similar trend was previously reported for LMF in northern Uganda,¹⁴ which possibly predated the emergence of ART-R in this region. ¹⁰ The clinical effects of the decreased susceptibilities to LMF and MDAQ observed in our study are unknown, but the spread of ART-R may be facilitated in such phenotypic backgrounds.

378 Remarkably, wild-type *pfcrt* and *pfmdr1* genes were individually associated with decreased 379 susceptibility to LMF, consistent with previous *in vitro* and clinical findings.^{31,32,33} Haplotypic 380 association analysis further suggests that the two genes have cumulative effects, with the wild-381 type haplotype (*pfcrt* K76-*pfmdr1* N86) exhibiting the largest decrease in susceptibility to LMF. 382 The increasing prevalence in recent years of parasites carrying the wild-type allele at either gene 383 or at both could, therefore, explain the LMF data. In contrast the double mutant haplotype (pfcrt 384 76T-pfmdr1 86Y) exhibited the largest decrease in susceptibility to MDAQ. Taken together, these 385 findings support the rationale for implementing regimens with drug combinations that exert opposing selective pressures.³⁴ Of note, susceptibility to MDAQ decreased in recent years, 386 whereas the prevalence of the *pfcrt* K76T mutation decreased slightly. This is surprising as this 387 388 mutation is strongly associated with decreased susceptibility to MDAQ in our dataset and other studies. We have no solid explanation for this result, but one possibility could be that other 389 390 mediators of altered susceptibility to MDAQ exist and that our targeted MIP sequencing and 391 association studies did not capture them.

392 Intriguingly, two novel *pfubp1* mutations, N1704K and K1705N, were associated with reduced susceptibility to CO in our data set. The effect of these *pfubp1* mutations seems to depend on the 393 394 pfcrt K76T genotype. However, as these two mutations have low prevalence (10.0 and 7.9%, 395 respectively) and likely a modest effect, we have low statistical power to conduct a haplotypic 396 analysis. Several studies reported an association of different upb1 mutations with altered survival or susceptibility to DHA in mouse malaria or P. falciparum,^{35,14} and recently to MFQ, LMF and 397 PPQ in the *Plasmodium yoelii* mouse malaria model.³⁶ However, as the two new mutations are 398 399 located in an N/K repeat protein region that is not part of the reported active site(s) of the enzyme, 400 gene editing experiments are needed to formally address their role in modulating drug response to CO.³⁷ 401

The high prevalence of pyrimethamine resistance mutations in the study period indicates the high level of SP pressure in West and Central Africa. Nevertheless, the low prevalence of *pfdhps* K540E (<10%) and of the SP-resistant quintuple *dhfr-dhps* mutant (3%) is reassuring that SP is still effective for preventive therapies. Indeed, the WHO recommends for countries to withdraw SP for IPTp use when the prevalence of *Pfdhps* K540E>95% and A581G>10% and for IPTi use when the prevalence of *Pfdhps* K540E>50%.³⁸

408 This study was not conducted without limitations. First, we have not evaluated *ex vivo* resistance 409 to artemisinin derivatives with the dedicated ring survival assay, the standard measure of in vitro 410 susceptibility to artemisinins. We, however, report very rare ART-R mutations in pfkelch13, and 411 at this time, these are the major determinants of ART-R in patients. Second, we have not evaluated 412 copy number variations in pfmdr1 and pfplasmepsins 1-2 that are associated with altered 413 susceptibility to MFQ and PPQ, respectively. Third, our geographical coverage of West and 414 Central Africa is biased, with two countries contributing to almost 50% of the total isolate sampling 415 (169 isolates from Cameroon in Central Africa and 217 isolates from Côte d'Ivoire in West Africa). 416 Therefore, trends at the country level could not be robustly drawn. Fourth, our analyses focused 417 on imported malaria cases to France and infections in travelers may differ in several aspects from 418 those in people living in endemic areas. Finally, we used a targeted sequencing approach to genotype isolates and focused on validated and some candidate drug resistance genes. Detecting 419 420 emerging resistance mutations in novel genes would need a larger genomic coverage.

In conclusion, our study provides much-needed information on molecular and phenotypic resistance in contemporary isolates from West and Central Africa. It shows how current and past control efforts have been affecting malaria parasite populations in those regions and calls for intensifying the monitoring to inform as quickly as possible on future changes regarding antimalarial drug susceptibilities and resistance.

426 Author contributions

JR, AAF, SC, VS, RCo, JC, JAB, and SH designed the study. SC, VS, RZ, AB, LC, and LH did
the *ex-vivo* IC₅₀ assays, and archived data. LM, MT, BP, JC and SH provided administrative and
logistical support. JR, AAF, JC, RCr, and JAB analysed and did the genotyping. JR and AAF
verified and analysed the data and did the statistical analysis. All authors had full access to all the
data. All authors contributed to the writing of the manuscript.

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492 Conflict of interest

493 The authors declare no conflicts of interest.

494 Data availability

- 495 All sequencing data are available under accession no. SAMN42141774 to SAMN42142576 at the
- 496 Sequence Read Archive (SRA), and the associated BioProject ID is PRJNA1129163. De-identified
- 497 datasets generated during the current study and used to make all figures are available as
- 498 supplementary files or tables. The data and R scripts developed in this study were deposited in the
- 499 GitHub repository (<u>https://github.com/jrosados/CNR_IC50_MIPs</u>). Additional software packages
- 500 and tools that are useful when working with MIP data are available at <u>https://github.com/bailey-</u>
- 501 <u>lab/MIPTools</u> and <u>https://github.com/Mrc-ide/mipanalyzer</u>.

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Table 1. Epidemiological characteristics of travelers returning to France anddescription of isolates from 2016 - 2023

	Participant characteristics	n = 805	
	Age, median [IQR]	39.2 [27.1, 51.40]	
	Sex, n (%)		
	Female	306 (38.0%)	
	Male	499 (62.0%)	
	Isolates characteristics		
	Parasitemia* % (Parasites / 100 RBC), median [IQR]	1.70 [0.81, 3.46]	
	IQR: Interquartil range; RBC: Red blood cells. * Missing data for two isolates.		
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Figure 1. Geographical origin and collection year of isolates. A) Map of Africa showing the origin of malaria
cases imported into France. Colors indicate sample size, and countries with fewer than three isolates are not shown.
B) Bar plot showing the temporal distribution of imported malaria cases included in this study.



Figure 2. Half-maximal inhibitory concentration (IC₅₀) for six antimalarial drugs. A) Distribution of IC₅₀ for the six antimalarial drugs in 2016-18 and 2019-23. Box plots show the median IC₅₀ (in nM) and interquartile range.
Change in susceptibility over time was tested by Mann-Whitney test. P-values were adjusted using Benjamini-Hochberg correction.



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Figure 3. Prevalence of key mutations associated with resistance to different drugs. A) Prevalence of key
mutations in *pfcrt*, *pfdhfr*, *pfdhps* and *pfmdr1* genes detected in isolates from this study. B) Prevalence of mutations
in the *pfkelch13* gene. Green bar plots indicate the prevalence of mutations in the N-terminal-coding domain, while
orange bar plots indicate the prevalence of mutations in the propeller domain. SNPs marked with an asterisk (*) are
validated or candidate SNPs by WHO. C) Prevalence of the main key mutations in *pfcrt* and *pfmdr1* over time. D)
Prevalence of *pfcrt* 76-*pfmdr1* 86 haplotypes over time. Color code for the 4 haplotypes: purple, wild-type K76N86; green, single mutant K76-86Y; blue, single mutant 76T-N86; red, double mutant 76T-86Y. P-values were

680 calculated by Fisher's exact test.



Figure 4. Manhattan plot showing the significance of SNPs associated with six antimalarial drugs. Each dot represents 1 of 362 SNPs with MAF>0.01 colored by chromosome. The x-axis represents the chromosomal location of the SNPs, and the y-axis represents the -log10 of the P-value obtained from the linear regression model analysis. The blue line represents the nominal P-value ($P \le 0.05$), and the red line represents the P-value after Bonferroni correction ($P \le 1x10^{-4}$). The full list of SNPs associated with IC50 is shown in **Table S13**.

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Figure 5. Effect of *pfcrt* 76-*pfmdr1* 86 haplotypes on IC₅₀ for six drugs. A) IC₅₀ for mefloquine (MFQ), lumefantrine (LMF) and dihydroartemisinin (DHA) disaggregated by *pfcrt-pfmdr1* haplotypes. B) IC₅₀ for chloroquine (CQ), monodesethylamodiaquine (MDAQ) and piperaquine (PPQ) disaggregated by *pfcrt-pfmdr1* haplotypes. Haplotypes were built with *pfcrt* K76T and *pfmdr1* N86Y using the major allele per position. n: number of isolates carrying the haplotype. Differences in the IC₅₀ of wild-type (WT|WT, crt-K76|mdr1-N86) versus single mutants (Mut|WT, crt-76T|mdr1-N86), (WT|Mut, crt-K76|mdr1-86Y), and double mutant (Mut|Mut, crt-76T|mdr1-

 $696 \qquad 86Y) were calculated with a Pairwise Wilcoxon tests with Benjamini-Hochberg correction. *: p<0.05; **: p<0.001.$